

1 **Saving the world's ash forests calls for international cooperation now**

2 Ash forests in North America and Eurasia are rapidly being lost to two invasive alien
3 species: Emerald Ash Borer and Chalara Ash Dieback Fungus. We assert here that better
4 regulatory policy and science-based intervention can help slowing losses. To this end,
5 we recommend an international consortium for co-ordinating science-based
6 intervention.

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30 Global losses of *Fraxinus* species can be traced to the Emerald Ash Borer (EAB), a wood-
31 boring beetle (*Agrilus planipennis* Fairmaire), and Chalara Ash Dieback Fungus (ADF), an
32 Ascomycete fungus (*Hymenoscyphus fraxineus* (T. Kowalski) Baral, Queloz & Hosoya), both
33 of which are indigenous to Asia (Fig. 1). Losses to both harmful organisms may be abated with
34 swift international cooperation using readily available resources. To illustrate this, we analyze
35 the problem then examine policy solutions including harmonized phytosanitary regulations,
36 best practices for detecting pathogen infection, and available research resources. These
37 solutions, policy and scientific, are best co-ordinated by forming an international consortium.
38 Ash forest losses in North America and Eurasia can be slowed with timely intervention.

39

40 **Fig. 1** Ash (*Fraxinus*) species distribution and secondary ranges of two invaders, Emerald Ash
41 Borer (EAB, *Agrilus planipennis*)⁴, www.emeraldashborer.info and Chalara Ash
42 Dieback Fungus (ADF, *Hymenoscyphus fraxineus*)^{13,15}: (1) Distribution of Asian ash species
43 with primary ranges of EAB and ADF; (2) Distribution of European and North American ash
44 species; (3) Secondary range of EAB; (4) Secondary range of ADF. Distributions in Canada,
45 Scandinavia and Spain are generated based on real observations, and in Russia and the US are
46 based on administrative regions (districts and states) where EAB and ADF were found. Left
47 photo (by Lene Rostgaard Nielsen) - Fruiting bodies of ADF observed in Denmark; Right photo
48 (by Yuri Baranchikov) - EAB observed in Voronezh District, Russia.

49

50 **Problem analysis**

51 The world's 48 *Fraxinus* species in the Northern Hemisphere consist of large and small trees
52 or shrubs (Table 1)¹. Among them, five species, namely white ash (*Fraxinus americana*), green
53 ash (*F. pennsylvanica*) and black ash (*F. nigra*) of North America, common ash (*F. excelsior*)
54 of Europe, and Manchurian ash (*F. mandshurica*) of northeast Asia are the most widely
55 distributed and commercially important species. Ash species are also prized for ecological

56 value, comprising over 20% of the urban tree species across the United States alone², and thus
57 are deemed essential for urban-coupled human-forest ecosystems. They serve as keystone
58 species in a variety of forest ecosystems while providing food sources for wildlife.

59 In North America, ash forests are rapidly being lost to the exotic EAB, which dates back to
60 the late 1990s³. EAB spends most of its life cycle hidden under bark causing no visible
61 symptoms^{4,5}. Only a few beetles can rapidly infest an entire forest and kill trees within a few
62 years³. Total losses to date are roughly 689 million m³ volume for standing ash timber in the
63 United States^[1]. EAB has since been detected in 35 States from the Atlantic coast westward to
64 Colorado and in five Canadian Provinces: Ontario, Quebec, New Brunswick, Nova Scotia and
65 Manitoba (www.emeraldashborer.info, accessed October 11, 2018). Estimated costs of ash
66 losses in urban areas from EAB alone, including tree removal and replacements, are \$7.6 billion
67 in Ohio and \$26 billion for Illinois, Indiana, Michigan and Wisconsin⁶. Annual damages from
68 EAB have reached \$38 million for the federal government, \$850 million for local governments,
69 \$380 million for residential property value loss and \$60 million for forest landowner timber
70 sales⁷. Thus, EAB is the most costly forest insect to have invaded the United States to date.

71 Human transport has been responsible for the beetle's trans-continental dispersal, occurring
72 mainly via freight packing materials, lumber, firewood, live plants, and various manufactured
73 wood articles⁵. EAB found in the North American ash forests originated in China's Hebei
74 Province and nearby Tianjin City, indicating China's wood trade^[2] as the entry point of EAB
75 into North America⁹.

76 In Europe, ADF, as the most acute forest pathogen problem at this time, is also thought to
77 have been introduced from East Asia, particularly Japan and northeastern China¹⁰. It decimated
78 *F. excelsior* since the early 1990s; millions of trees are now dying¹¹. While ADF spores are

[1] Source: U.S. Forest Service Forest Inventory and Analysis Program 'EVALIDator'.

[2] Since the countries' participation to the World Trade Organization (WTO) in 2001, China has become highly important as a producer and a consumer of forest products, and is currently the largest producer and exporter of wood-based panels⁸.

79 transported across landscapes, its dispersal is aided by the movement of nursery plants and
80 possibly by movement of firewood and logs^{11,12}.

81 Russia is the first country to report losses due to both ADF and EAB. ADF is found nearly
82 everywhere in European Russia, from its western borders to the Volga River¹³. EAB has spread
83 over a total area of 150,000 km² from Moscow outward to eleven other regions of the Russian
84 Federation and is presently moving westward at a rate of 12 km per year¹⁴. EAB is predicted to
85 reach Central Europe within 15–20 years⁴. However, in July 2018, active EAB populations
86 were observed in Rossosh region of Voronezh District, about 6 km from Russia's border with
87 Ukraine (unpublished data, Y.N.B.), suggesting that it may be moving faster towards areas with
88 higher-density ash forests.

89 ADF infection of North American ash species may only be a matter of time. Seven North
90 American ash species already exhibit susceptibility to the fungus¹⁴. Like EAB in North
91 America, disease impact of ADF will become more pronounced when forest owners accelerate
92 logging of uninfected forests in order to acquire maximum prices for healthy logs¹⁵.

93 Observations in Europe have shown while some trees can withstand the infection of ADF¹⁵, far
94 greater losses are to be expected if EAB meets ADF⁴. Similarly, ash trees surviving EAB
95 attacks in North America may be damaged by ADF if the fungal pathogen is introduced there¹⁴.
96 Now nearly extinct, chestnut and elm forests were lost to two Ascomycete fungal species,
97 namely chestnut blight (*Cryphonectria parasitica*) and Dutch elm disease (*Ophiostoma novo-*
98 *ulmi*) both of which altered North American forest ecosystems in the early 20th century⁵.

99 Once invasion of EAB combines with ADF, ash forests could follow the demise of American
100 chestnut and elm forests. Each pest has its own way of killing ash trees and their combined
101 attack is therefore expected to be more lethal than either of them alone. Even so, loss of ash
102 forests in North America and Eurasia need not to be a foregone conclusion. Policy solutions
103 exist as does scientific intervention. Together these can be brought under the aegis of a new
104 international consortium. The best available scientific knowledge for ash forests is now

105 abundant yet underutilized. For example, ash species from eastern Asia are more resistant to
106 EAB and ADF than other ash species, possibly due to shared co-evolutionary history between
107 the forest species and its attackers^{4,14}. Breeding pest resistance is thus feasible as a policy
108 solution.

109

110 **Policy solutions**

111 *Harmonizing phytosanitary regulations* across North America and Eurasia could slow entry
112 of EAB, ADF and other pests of *Fraxinus* species. Although regulations are in place to prevent
113 the introduction and spread of forest pests via transport and trade (see reference¹⁶), they should
114 be continuously updated with science-based knowledge.

115 In North America, both EAB and ADF appear in the Phytosanitary Alert System of North
116 American Plant Protection Organization (NAPPO) (www.pestalert.org), but ADF exists only
117 as an emerging pathogen because it is not yet present in North America. Here, classifying ADF
118 as a “regulated pest” could help preventing the introduction of ADF into North America.

119 In Europe, EAB is listed in the A2 List of the European Plant Protection Organization
120 (EPPO) for pests recommended for regulation (www.eppo.int). Previously, ADF was also in
121 the A1 List but has not been contained and the pathogen has already spread across Europe. Our
122 concern is that current legislation is insufficient to prevent invasion, establishment and spread
123 of other non-indigenous pests within the European Union unless general pathways of
124 introductions are controlled earlier along with earlier professional and public engagement¹⁷.
125 Treating the European Union as a single biosecurity unit with a stricter regulation may also
126 slow spread of future invasive alien species.

127 In the Eurasian Economic Union (EAEU) countries, ADF should be re-classified as an A2
128 species. Although ADF is present in Russia, it is currently listed only as an A1 species that is
129 absent in the EAEU ([https://vniikr.ru/edinyij-perechen-karantinnyix-obektov-evrazijskogo-](https://vniikr.ru/edinyij-perechen-karantinnyix-obektov-evrazijskogo-ekonomicheskogo-soyuza)
130 [ekonomicheskogo-soyuza](https://vniikr.ru/edinyij-perechen-karantinnyix-obektov-evrazijskogo-ekonomicheskogo-soyuza), accessed September 8, 2018). EAB is on the joint A2 List for Russia

131 and all four other countries in EAEU, except Kazakhstan
132 (<https://gd.eppo.int/taxon/AGRLPL/categorization>, accessed September 8, 2018).

133 Professional awareness is also a necessary complement to better phytosanitary regulations.
134 Low professional awareness is apparent from a survey conducted in nine European countries
135 with 392 tree professionals¹⁸. Many lacked awareness or knowledge about either EAB (64.9%)
136 or ADF (40%)¹⁸. Raising awareness can be an effective intervention strategy: wood packaging
137 material infestation rates in the United States dropped by 36–52% after International Standards
138 for Phytosanitary Measures No. 15 (ISPM 15) came into force, leading to better inspection and
139 treatment of wood packaging materials¹⁹.

140 A related problem is that specific phytosanitary action against a particular organism often
141 takes place after its entry has already occurred. The World Trade Organization (WTO)
142 facilitates international trade so a pest is sometimes banned only after proven economic
143 damage¹⁷. In such cases, intervention could occur too late. The better course of action is to be
144 proactive. One option is for phytosanitary inspectors to implement the rapid molecular
145 diagnosis kits already available for ADF²⁰. This kit can be integrated with other best practices
146 in phytosanitary regulations harmonized across North America and Eurasia.

147

148 **Scientific solutions**

149 *Using biological control agents against EAB*

150 Biological controls can be effective yet have unpredictable outcomes. To date, classical
151 biological control methods are viewed as successful for EAB control in North America⁵. For
152 example, Hymenoptera insects parasitic to EAB were previously introduced from East Asia as
153 control agents. Although these EAB parasites failed to protect mature ash trees, they did
154 enhance saplings' survival and promoted some recovery of the ash in southern Michigan²¹.
155 However, this was not the outcome for the Moscow region, the epicenter of the EAB secondary
156 range in Europe; here the EAB invader populations collapsed due to the polyphagous parasite

157 *Spathius polonicus* Niezabitowski⁴. *Spathius polonicus* is indigenous to Western Europe and
158 may have reduced outbreak incidence once it spread to the central distribution of European
159 ash²². This observation calls for studying interacting population dynamics of host and parasite
160 across national borders. To study this, research co-ordination would be essential.

161

162 ***Rapid resistance breeding coupled with phenotype-based methods***

163 The good news is that European ash species show high genetic variation in ADF resistance¹⁵
164 and so ADF resistance is currently being identified in a range of genetic backgrounds using
165 both field-testing and genome- and transcriptome-wide screening of European ash. A
166 population survey of ash trees in Denmark showed ADF tolerance can be screened using single
167 nucleotide polymorphisms (SNPs) and gene expression markers^{23,24}. Even so, further research
168 is necessary to identify a large set of reliable SNP markers and these markers must be tested on
169 phenotyped trees across Europe before rapid screening can become operational, and this too
170 requires international cooperation. Identification of resistance mechanisms European ash will
171 provide new insights and better policy solutions.

172 A related point is that seeds and pollen of European ash spread rapidly across landscapes²⁵
173 and this allows for the identification of more ADF resistant trees. Both newly established and
174 old-growth forests may be protected by combined natural and artificial selection if ash
175 phenotypes selected for high resistance spread their alleles into naturally occurring ash forests.
176 Resistance breeding for ash trees is ongoing in both North America and Europe^{15,26}, but
177 molecular shortcuts are essential because they save time²⁴.

178 Research continues towards characterizing susceptibility of different ash species to either
179 EAB or ADF or to both pests. Although studies in Europe show that *F. americana* and *F.*
180 *pennsylvanica* are susceptible to ADF¹⁴ and observations from Russia show that *F. excelsior*
181 are infested by EAB²², there seem to be variation among species. Establishing experimental
182 plots is a necessary action step. Ideal phenotypic candidates are those selected from ash

183 populations in the territory of European Russia, which already have both EAB and ADF. Doing
184 so would provide timely insights into resistance in European ash forests for both EAB and ADF.

185

186 *Understanding dynamics, co-evolution and adaptation of the ash trees in natural forests*

187 Emerging infectious diseases often leave a fraction of surviving trees and these survivors are
188 critical to the future of the species²⁷. It is important to quantify the presence of resistant
189 phenotypes and to assess their fitness under *in situ* conditions. For ADF, the presence of
190 naturally-occurring genetic resistance has been based on field-testing of survival and crown
191 damage but doing this is only a part of measuring fitness²⁸. The potential recovery of ash species
192 in forest ecosystems will also depend on: (a) reproductive success of surviving trees; (b) extent
193 of gene flow among populations; and (c) how the disease influences relative competitiveness
194 with other species in ecosystems. Such studies are complex to conduct under heterogeneous *in*
195 *situ* field environments and require cooperation across genetics, ecology and silviculture.
196 Application of DNA markers is another tool which allows precise paternity assignment even in
197 naturally-occurring forests²⁵. These markers can also reveal signatures of past and on-going
198 natural selection which is also critical for guiding management of infected ash forests.

199

200 *Genomics platforms screened for resistance*

201 Another powerful scientific resource is the reference genome sequence of *F. excelsior* recently
202 published to facilitate studies on ADF resistance²⁴. Metabolomic analyses found low levels of
203 iridoid glycoside to be closely associated with ADF resistance in *F. excelsior*²⁴, suggesting a
204 likely trade-off between resistance to ADF and to EAB. More testing is still needed. Similarly,
205 defense-related proteins may be involved in EAB resistance in Manchurian ash²⁹ and therefore
206 the candidates for screening and comparison among European, Eurasian and North American
207 ash species. Pest resistance may also be identified using the reference transcriptome generated

208 for North America's green ash³⁰. However, we note that the reference ash genome does not yet
209 lead us to markers for EAB resistance.

210 Taken all together, the best available scientific knowledge includes a wide portfolio of
211 intervention options ranging from comparative genomics, transcriptomics and metabolomics
212 platforms to field testing. More research is required to identify ash genotypes possessing both
213 ADF- and EAB-resistance and the tools are available. The best research strategy is to continue
214 to mine resistance genes in the effort to slow ash forest losses in North America and Eurasia.

215

216 **Needed: an international consortium**

217 Both policy and science-based intervention options are fragmented. These solutions clearly
218 require international cooperation. Here we recommend an international consortium, would be
219 charged with taking a swift, integrative action to slow loss of ash forests. As such, the
220 consortium would initiate and coordinate activities as follows: (a) harmonize phytosanitary
221 regulations for transport, travel and trade; (b) raise awareness of ADF and EAB among
222 professionals and policy leaders in all affected countries; (c) educate officials on use of rapid
223 diagnostic kits and media tools; and (d) the application of the best available scientific resources
224 including mining ash phenotypes for joint EAB and ADF disease resistance.

225 As a start, we propose that the consortium can be organized with stakeholders including
226 governments, nongovernmental organizations and private companies to share knowledge and
227 coordinate international action. The organization could be similar to the European Cooperation
228 in Science and Technology (COST) action known as FRAXBACK where collaborators share
229 knowledge on ADF among scientists and stakeholders in Europe
230 (http://www.cost.eu/COST_Actions/fps/FP1103, accessed on October 8, 2018). However this
231 new consortium should be global in its scope and include ash pests EAB and ADF. The
232 international consortium would have a time-limited charter based on measurable outcomes. The

233 international consortium will require multilateral support perhaps best organized under the
234 International Plant Protection Convention (IPPC) treaty.

235 In summary, we show reliable policy and science-based solutions are at hand. What is
236 lacking is international co-ordination. Now is the time to act swiftly and save the world's ash
237 forests.

238

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298 Table 1. Taxonomic classification and natural ranges of the world's 48 *Fraxinus* spp. (reprinted
 299 with author's permission: Wallander, E. *Belgische Dendrol. Belge* **2012**, 39–58 (2012).

Sections and Species	Geographic Range
Section: <i>Dipetalae</i>	
<i>F. anomala</i>	SW USA, C Mexico
<i>F. dipetala</i>	SW USA, NW Mexico
<i>F. quadrangulata</i>	C & E USA, C Canada
Section: <i>Fraxinus</i>	
<i>F. angustifolia</i> subsp. <i>angustifolia</i>	SW Europe, NW Africa
<i>F. angustifolia</i> subsp. <i>oxycarpa</i>	SE Europe, C Europe
<i>F. angustifolia</i> subsp. <i>syrriaca</i>	Turkey to Central Asia
<i>F. excelsior</i>	N & C Europe to W Russia, around Black Sea
<i>F. mandshurica</i>	China, Japan, Korea, E Russia
<i>F. nigra</i>	E USA, SE Canada
Section: <i>Melooides</i>	
<i>F. albicans</i>	SW USA (Texas, Oklahoma), N Mexico
<i>F. americana</i>	E USA & SE Canada
<i>F. berlandieriana</i>	SW USA, NE, C & E Mexico
<i>F. biltmoreana</i>	E USA
<i>F. caroliniana</i>	SE USA
<i>F. coriacea</i>	SW USA, NW Mexico
<i>F. cubensis</i>	SE USA (S Florida), Cuba
<i>F. latifolia</i>	W USA, SW Canada
<i>F. papillosa</i>	SW USA, N Mexico
<i>F. pauciflora</i>	SE USA
<i>F. pennsylvanica</i>	C & E USA, S Canada
<i>F. profunda</i>	E USA, Canada
<i>F. smallii</i>	E USA
<i>F. uhdei</i>	C America, Hawaii, cultivated
<i>F. velutina</i>	SW USA, N & C Mexico
Section: <i>Ornus</i>	
<i>F. apertisquamifera</i>	Japan
<i>F. baroniana</i>	China
<i>F. bungeana</i>	China
<i>F. chinensis</i> subsp. <i>chinensis</i>	China, Korea, Vietnam, Thailand
<i>F. chinensis</i> subsp. <i>rhynchophylla</i>	N China, Korea, Japan, SE Russia
<i>F. floribunda</i>	Afghanistan through Himalaya to SE Asia
<i>F. griffithii</i>	SE Asia
<i>F. hopeiensis</i>	China
<i>F. lanuginosa</i>	Japan
<i>F. longicuspis</i>	Japan
<i>F. malacophylla</i>	China, Thailand
<i>F. micrantha</i>	Himalaya
<i>F. ornus</i>	C & E Mediterranean, SW Asia
<i>F. paxiana</i>	Himalaya, China
<i>F. raibocarpa</i>	C Asia
<i>F. sieboldiana</i>	China, Japan, Korea
<i>F. trifoliolata</i>	China
Section: <i>Pauciflorae</i>	
<i>F. dubia</i>	E Mexico, Guatemala
<i>F. gooddingii</i>	SW USA (Arizona), N Mexico (Sonora)
<i>F. greggii</i>	SW USA (Texas), NE & C Mexico
<i>F. purpusii</i>	NE & C Mexico, Guatemala
<i>F. rufescens</i>	C Mexico
Section: <i>Sciadhanthus</i>	
<i>F. hubeiensis</i>	China
<i>F. xanthoxyloides</i>	NW Africa, Himalaya
<i>Incertae sedis</i>	
<i>F. cuspidata</i>	SW USA, N Mexico

F. chiisanensis
F. platypoda

Korea
China, Japan
