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2 **Biosynthesis and properties of P(3HB/3HV/3H4MV) produced by using**  
3 ***Cupriavidus eutrophus* B-10646**  
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20 **Abstract**

21 Synthesis of poly(3-hydroxybutyrate/3-hydroxyvalerate/3-hydroxy-4-methylvalerate)  
22 [P(3HB/3HV/3H4MV)] has been studied in batch culture of *Cupriavidus eutrophus* B-10646 under  
23 nitrogen deficiency and varied conditions of carbon nutrition. A study has been conducted to determine  
24 the effect of the carbon substrate (sugars, butyric acid) and concentration of methylvalerate as a precursor  
25 substrate necessary for synthesis of 3H4MV monomer units on cell concentration, total polymer yield,  
26 and 3H4MV content of the copolymer. The results suggest that methylvalerate concentration in the  
27 culture medium must not be higher than 1 g L<sup>-1</sup>, and that methylvalerate must be carefully dosed, with at  
28 least 10-12 h intervals between supplementations. Bacterial cells were cultivated in the modes that  
29 enabled production of a series of copolymers with molar fractions of 3H4MV of between 2.7 and 11.3  
30 mol.%. Degrees of crystallinity, molecular weight characteristics, and thermal properties of the  
31 copolymers have been investigated as dependent on proportions of monomers. Results suggest that the  
32 molar fraction of 3H4MV has the strongest effect on the crystalline to amorphous region ratio.  
33 P(3HB/3HV/3H4MV) specimens synthesized in this study had a degree of crystallinity of below 50%,  
34 which remained unchanged for 2.5 years.

35 *Keywords:* polyhydroxyalkanoates, P(3HB/3HV/3H4MV) copolymers, synthesis, physicochemical  
36 *properties*  
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46 **Introduction**

47 P(3HB/3HV/3H4MV) copolymers are relatively new representatives of the class of microbial  
48 reserve macromolecules synthesized by prokaryotic microorganisms under specific conditions of  
49 unbalanced growth. These are so-called polyhydroxyalkanoates (PHAs) – polyesters capable of being  
50 degraded in biological media to end products: to CO<sub>2</sub> and H<sub>2</sub>O under aerobic conditions and to CH<sub>4</sub> and  
51 H<sub>2</sub>O under anaerobic conditions. PHAs are characterized by high biological compatibility,  
52 thermoplasticity, and ability to be processed into polymer products from different phase states. Therefore,  
53 they are attractive materials for medical, pharmaceutical, agricultural, and municipal engineering  
54 applications [1-4].

55 PHAs can be used as a basis for producing a wide range of high-molecular-weight materials with  
56 diverse properties, which would differ considerably in their thermal and molecular weight characteristics  
57 and degree of crystallinity. These materials could be then processed into products with various physical

1 and mechanical properties [5-9]. PHA copolymers are more promising materials, but it is difficult to  
2 achieve the controlled and reproducible synthesis of multicomponent polymers, which hinders the  
3 collection of data on the effect of the monomer composition of PHAs on their properties.

4 Studies reporting the synthesis of PHA copolymers containing 3-hydroxy-4-methylvalerate  
5 monomer units were published relatively recently. The authors of those studies assumed that these  
6 polymers had better processing properties than other PHA types and were not prone to ageing [10, 11].  
7 These copolymers were mainly produced by genetically modified strains [10-13], wild-type  
8 microorganisms being used in fewer studies [14-17]. The review of the literature showed the scantiness of  
9 the data and the difficulty of achieving both high cell concentrations and large yields of the copolymer, on  
10 the one hand, and high molar fractions of 3-hydroxy-4-methylvalerate, on the other (Table 1). Published  
11 studies mainly report data on the achieved cell biomass yields, total production of the  
12 P(3HB/3HV/3H4MV) copolymer, and the monomer composition of the copolymer. Properties of this  
13 type of copolymers have been studied insufficiently. A number of papers report studies of molecular  
14 weight properties, some of them present thermal parameters, and just a few give values of the degree of  
15 crystallinity of the polymer. No available studies have presented integrated results, including production  
16 characteristics of the cultures and copolymer composition and properties.

17 The purpose of this study was to investigate the process of production of P(3HB/3HV/3H4MV)  
18 copolymers in the culture of *Cupriavidus eutrophus* B-10646 and synthesize polymers with different  
19 contents of 3-hydroxy-4-methylvalerate (3H4MV) and investigate their properties.

## 20 **Materials and methods**

### 21 *The strain and composition of the nutrient medium*

22 Bacterial strain *Cupriavidus eutrophus* B-10646 was investigated in this study. This strain has a  
23 broad organotrophic potential and is capable of synthesizing PHA copolymers at high yields. The strain is  
24 registered in the Russian National Collection of Industrial Microorganisms.

25 Bacterial cells were cultivated using Schlegel's mineral medium: Na<sub>2</sub>HPO<sub>4</sub>×H<sub>2</sub>O – 9.1; KH<sub>2</sub>PO<sub>4</sub> –  
26 1.5; MgSO<sub>4</sub>×H<sub>2</sub>O – 0.2; urea – 1.0 (g L<sup>-1</sup>). A solution of iron citrate (5 g L<sup>-1</sup>), which was used as a source  
27 of iron, was added to reach a concentration of 5 ml/L. Hoagland's trace element solution was used: 3 ml  
28 of standard solution per 1 L of the medium. The composition of the standard solution was as follows:  
29 H<sub>3</sub>BO<sub>3</sub> – 0.288; CoCl<sub>2</sub>×6H<sub>2</sub>O – 0.030; CuSO<sub>4</sub>×5H<sub>2</sub>O – 0.08; MnCl<sub>2</sub>×4H<sub>2</sub>O – 0.008; ZnSO<sub>4</sub>×7H<sub>2</sub>O –  
30 0.176; NaMoO<sub>4</sub>×2H<sub>2</sub>O – 0.050; NiCl<sub>2</sub> – 0.008 (g L<sup>-1</sup>). The main carbon source was fructose (Panreac,  
31 E.U.) or glucose (China), or butyric acid (Panreac, E.U.). The precursor for synthesis of 3H4MV  
32 copolymers was 4-methylvaleric acid (Sigma-Aldrich, China). Butyric acid and 4-methylvaleric acid were  
33 neutralized with a 33% KOH solution (pH 7.0±0.2) and then sterilized by filtration using an Opticap  
34 XL300 Millipore Express SHC membrane (U.S.).

### 35 *Bacterial culture*

36 Cells were grown under strictly aseptic conditions in two-phase batch culture. Cultivation was  
37 performed at a temperature of 30 °C, with pH maintained at 7.0. In Phase 1, cells were cultured under  
38 nitrogen deficiency, by using 1 g L<sup>-1</sup> of urea as a source of nitrogen, in order to induce polymer  
39 accumulation. In Phase 2, the nitrogen-free culture medium was used. The culture medium for synthesis  
40 of the homopolymer of 3-hydroxybutyric acid contained a sole carbon substrate; the culture media for  
41 synthesis of copolymers were supplemented with a second carbon substrate (4-methylvalerate) as a  
42 precursor for the synthesis of the 3H4MV monomers.

43 Cell biomass increase in the culture was evaluated by periodically measuring culture optical  
44 density and determining cell concentration (by weighing the dry matter). Cell concentration in the culture  
45 medium was also monitored by converting the optical absorbance at 440 nm of culture broth to dry cell  
46 weight by using a standard curve prepared previously. The bacterial cells were harvested by  
47 centrifugation (6000g, 15 min), washed twice with distilled water and dried at 105°C for 24 h.

48 Nitrogen concentration in the culture medium was analyzed using a photometric method, with  
49 Nessler's reagent. Fructose concentration was determined using the resorcinol method [18]. Glucose  
50 concentration was determined using the 'Glucose – FKD' kit ('Farmatsevtika i klinicheskaya  
51 diagnostika', Moscow, Russia), which contained chromogenic enzyme substrate and a calibrator (a 10  
52 mmol L<sup>-1</sup> glucose solution). Optical density of the study sample and calibration sample were compared  
53 photometrically with the optical density of the blank, with optical path length 10 mm at wavelength 490  
54 nm. Glucose concentration in the samples was calculated using the following formula:

$$55 C = (E_0/E_k) \times 10,$$

1 where C is glucose concentration, mmol L<sup>-1</sup>; E<sub>0</sub> is optical density of the sample tested, units of  
2 optical density; E<sub>k</sub> is optical density of the calibration sample, units of optical density; 10 is glucose  
3 concentration in the calibrator, mmol L<sup>-1</sup>.

4 Butyric acid and 4-methylvaleric acid concentrations in the culture medium were controlled using  
5 a gas chromatography analysis (GC-MS 6890/5975C, Agilent Technologies, U.S.) of the culture medium  
6 samples, which was done after preliminary extraction with chloroform from acidified samples (pH 2-3).

#### 7 *Analysis of the chemical composition of P(3HB/3HV/3H4MV) copolymers*

8 Polymer was extracted from cells with chloroform, and the extracts were precipitated using  
9 hexane. The extracted polymers were re-dissolved and precipitated again 3-4 times to prepare  
10 homogeneous specimens.

11 The composition of polymers was analyzed with a GC-MS (6890/5975C, Agilent Technologies,  
12 U.S.). To further reconfirm the composition, <sup>1</sup>H NMR spectra of PHA in CDCl<sub>3</sub> were obtained at room  
13 temperature on a BRUKER AVANCE III 600 spectrometer operating at 600.13 MHz of <sup>1</sup>H NMR spectra.

#### 14 *Analysis of microstructure and properties of copolymers films*

15 To investigate the copolymer properties, the polymer was processed into films. Films were  
16 prepared by casting chloroform solution (2% w/v) on degreased glass and subsequent drying at room  
17 temperature for 2-3 days in a dust-free box. The film discs were 100 mm in diameter and 0.04 mm thick.

18 The microstructure of the surface of films was analyzed using scanning electron microscopy (S  
19 5500, Hitachi, Japan). Prior to microscopy, the samples were sputter coated with platinum (at 10 mA, for  
20 40 s), with an Emitech K575X sputter coater.

21 Surface properties were studied with a Drop Shape Analyzer – DSA-25E (KRÜSS GmbH,  
22 Germany) for measuring contact angles of water and diiodomethane drops by the Owens, Wendt, Rabel  
23 and Kaelble method: surface free energy (SFE) and its dispersion and polar components were measured,  
24 and the data were processed using the DSA-4 software.

#### 25 *Analysis of physicochemical properties of P(3HB/3HV/3H4MV) copolymers*

26 Molecular weight and molecular-weight distribution of polymers were examined using a gel  
27 permeation chromatography. An Agilent Technologies 1260 Infinity chromatograph, equipped with a  
28 refractive index detector and an Agilent PLgel Mixed-C column, was employed. Chloroform was the  
29 eluent. Calibration was done using polystyrene standards (Fluka, Switzerland, Germany). Molecular  
30 weights (weight average, M<sub>w</sub>, and number average, M<sub>n</sub>) and polydispersity (Đ = M<sub>w</sub>/M<sub>n</sub>) were  
31 determined.

32 Thermal analysis of P(3HB/3HV/3H4MV) specimens was performed using a DSC-1 differential  
33 scanning calorimeter (METTLER TOLEDO, Switzerland). Powdered samples (4.0 ± 0.2 mg each) were  
34 placed into an aluminum crucible and compressed prior to measurement. Every sample was measured at  
35 least 3 times. The specimens were heated at a rate of 5 °C/min to 200 °C, then cooled to -20 °C, held for  
36 20 minutes, and re-heated to 320 °C. Glass transition temperature (T<sub>g</sub>), crystallization temperature (T<sub>c</sub>),  
37 melting point (T<sub>melt</sub>) and thermal degradation temperature (T<sub>degr</sub>) were determined from peaks in  
38 thermograms using the “StarE” software.

39 X-Ray structure analysis and determination of crystallinity of copolymers were performed  
40 employing a D8 ADVANCE X-Ray powder diffractometer equipped with a VANTEC fast linear  
41 detector, using CuKα radiation (Bruker, AXS, Germany). In order to determine the degree of crystallinity  
42 of P(3HB/3HV/3H4MV), 3 film samples 2 cm in diameter and 0.15 mm thick were prepared from a 2%  
43 polymer solution in chloroform. The samples had a circular shape because during measurement the  
44 sample spins in a direction perpendicular to the surface. The scan step was 0.016°, measurement time in  
45 each step 114 s, and scanning range from 5° to 60° (from 48° to 60° there only was a uniformly  
46 decreasing background); the registered parameter was intensity of X-rays scattered by the sample;  
47 55°/0.016°=3438 times. The degree of crystallinity was calculated as a ratio of the total area of crystalline  
48 peaks to the total area of the radiograph (the crystalline + amorphous components). Measurement  
49 accuracy: point measurement accuracy ± 0.4 PPS, with the lowest intensity 1.5 PPS and the highest  
50 intensity 32 PPS; the error in determination of the degree of crystallinity, which was calculated based on  
51 multiple measurements, was 2% or less.

## 52 **Results and Discussion**

### 53 *A study of P(3HB/3HV/3H4MV) synthesis in the C. eutrophus B-10646 culture*

54 First, in order to determine non-inhibiting concentrations of the precursor substrate necessary for  
55 the production of 3H4MV monomer units, we studied the effect of 4-methylvalerate concentration on the  
56 growth of *C. eutrophus* B-10646 cells and synthesis of P(3HB/3HV/3H4MV). For bacterial cells to be  
57 able to synthesize PHA copolymers, the nutrient broth must contain not only the main carbon substrate

1 but also precursors of the target monomer units. Precursor substrates, however, usually inhibit the growth  
2 of PHA producing strains and PHA synthesis. In our experiment, 4-methylvalerate fed into the bacterial  
3 culture in the phase of polymer synthesis at a concentration of  $0.5 \text{ g L}^{-1}$  did not significantly inhibit the  
4 cell growth and polymer production (Fig. 1). Over 96 h of the culture, cell concentration reached  $6.9 \text{ g L}^{-1}$   
5  $^{-1}$ , which was comparable to the cell concentration in the control culture, where cells were grown on the  
6 sole carbon substrate, fructose ( $7.3 \text{ g L}^{-1}$ ). The total polymer yield reached  $5.5 \text{ g L}^{-1}$ , which corresponded  
7 to its intracellular content of 80% and was close to the control value (83-85%). As potassium 4-  
8 methylvalerate concentration was increased to  $1.0 \text{ g L}^{-1}$ , we observed inhibition of the cell growth and  
9 copolymer synthesis, which dropped to  $4.7 \text{ g L}^{-1}$  and 65%, respectively. The content of 3H4MV monomer  
10 units in the copolymer was about 6 mol.%. A concentration of potassium 4-methylvalerate of  $1.5 \text{ g L}^{-1}$   
11 had a strong inhibitory effect on the bacterial growth and especially on polymer synthesis, whose  
12 intracellular concentration dropped to 20%; the 3H4MV content was no more than 1 mol.% (Fig. 1). A  
13 chromatogram with mass spectra and a  $^1\text{H}$  NMR spectrum of one P(3HB/3HV/3H4MV) specimen is  
14 shown at Fig. 2a and Fig.2b respectively. In the  $^1\text{H}$  spectrum of 3H4MV three peaks (3, 7 and 12) of the  
15 methine protons in the main chain were elucidated. In the side chain of 3H4MV other methine proton  
16 (peak 13) was assigned to the carbon C13. The methylene protons of the carbons C2, C6 and C6 observed  
17 as peaks (2), (6) and (11), respectively. Finally, terminal methyl groups (peaks 4, 9 and 14) were  
18 definitely identified.

19 Our results are consistent with the literature data suggesting a toxic effect of 4-methylvalerate on  
20 microorganisms and describing difficulties of producing polymers with a high content of 3H4MV  
21 monomer units simultaneously with achieving high cell concentrations and considerable polymer yields.  
22 For instance, cultivation of the recombinant strain *Ralstonia eutropha* PHB4 on fructose and 4-  
23 methylvalerate resulted in cell concentration of  $1.5 \text{ g L}^{-1}$  and copolymer yield of 46% [10]. In a study of  
24 the recombinant strain *C. necator* PHB4, the yields of 3HB/3H4MV and cell biomass were about 40%  
25 and  $1.6 \text{ g L}^{-1}$ , respectively [13]. Selection of leucine resistant mutants did not result in high yields: cell  
26 concentration and polymer yield were  $1.5\text{-}1.7 \text{ g L}^{-1}$  and 51-55%, respectively, and 3HV and 3H4MV  
27 molar fractions were low (about 0.4-1.7 and 0.5-0.9 mol.%, respectively). Supplementation of the  
28 fructose-containing culture medium for growing the recombinant strain *R. eutropha* PHB4 with leucine  
29 at a concentration of  $10 \text{ g L}^{-1}$  caused cell concentration to increase to  $7.1\text{-}7.3 \text{ g L}^{-1}$  but copolymer content  
30 to drop to 29-36%; the 3H4MV molar fraction was no higher than 3.0 mol.% [11]. The wild-type strain  
31 *Azotobacter chroococcum* 7B cultivated on sucrose supplemented with 4-methylvalerate synthesized  
32 rather high yields of the copolymer (71-79%), but cell concentration was no more than  $3.7 \text{ g L}^{-1}$ , and the  
33 molar fraction of 3H4MV was extremely low (0.14-0.60 mol.%) [19]. Thus, synthesis of  
34 P(3HB/3HV/3H4MV) containing a large molar fraction of 3H4MV in the culture producing high cell  
35 concentrations and copolymer yields seems problematic.

36 Another question that needed to be answered was how rapidly bacterial cells grown on fructose as  
37 the main carbon substrate consumed 4-methylvalerate. Fructose, whose initial concentration in the  
38 medium was  $20 \text{ g L}^{-1}$ , was consumed gradually, as cell concentration increased. After 24 h of cultivation  
39 (in the phase when the copolymer was synthesized at the highest rate), the culture medium was  
40 supplemented with 4-methylvalerate at concentrations of 0.5 and  $1.0 \text{ g L}^{-1}$ . For 3-4 h after it was added to  
41 the medium, its concentration remained unchanged; after 6 h, it decreased to 0.23 and  $0.45 \text{ g L}^{-1}$ ; after 12  
42 and 24 h, only trace amounts of 4-methylvalerate were detected in the medium (data not shown). Our  
43 results suggested that a single dose of 4-methylvalerate must not be more than  $0.5\text{-}1.0 \text{ g L}^{-1}$  and the time  
44 interval between supplementations must be at least 10-12 h

45 As microorganisms can synthesize copolymers when grown on different substrates – fructose [13,  
46 15], valerate, propionate [14], and soybean oil [20], we investigated the total yields of  
47 P(3HB/3HV/3H4MV) copolymers and molar fractions of 3H4MV in them in experiments with fructose  
48 or glucose, or butyric acid as the sole carbon substrate (Fig. 3). We added once  $0.5 \text{ g L}^{-1}$  of 4-  
49 methylvalerate to every culture medium containing one of the substrates after 24 h of cultivation.

50 In the cultures with sugars (glucose or fructose), comparable cell concentrations and copolymer  
51 yields were attained. After the addition of 4-methylvalerate, cell concentration and copolymer production  
52 were increasing for some time, reaching  $6.8\text{-}7.0 \text{ g L}^{-1}$  and 78-80%, respectively, by the end of the  
53 experiment. The content of 3H4MV in the copolymer showed a different trend (Fig. 3a, b). In 24 h after  
54 the addition of 4-methylvalerate, monomer concentration reached its peak (6.0 mol.%), but then it  
55 declined, and after 96 h it constituted 2-3 mol.%. In the culture with the butyric acid as the carbon  
56 substrate, the molar fraction of 3H4MV was higher: 10.2 mol.% after 48 h and no less than 6 mol.% at the

1 end of the experiment (Fig. 3c). The cell concentration and total copolymer yield were comparable to  
2 those obtained in cultures with sugars.

3 Thus, the butyric acid should be used as the sole carbon substrate in the culture of *C. eutrophus*  
4 B-10646 to achieve production of P(3HB/3HV/3H4MV) copolymers with the highest 3H4MV molar  
5 fraction. The disadvantage of using this substrate is the necessity to periodically add doses of the butyric  
6 acid to the culture medium, as at concentrations above 1.5-2.0 g L<sup>-1</sup> it exerts an inhibitory effect on  
7 bacterial cells.

8 Literature data suggest that methylvalerate content can be varied by using different conditions of  
9 carbon nutrition. For instance, Lau et al. [14] reported that the use of valerate or sodium propionate as the  
10 main substrate and isocaproic acid as the precursor substrate in the culture of the wild-type strain  
11 *Burkholderia* sp. considerably increased molar fractions of 3HV (from 10 to 84 mol.%) and 3H4MV  
12 (from 1 to 44 mol.%). However, the cell concentration and copolymer yield were very low: 1.3-1.8 g L<sup>-1</sup>  
13 and 1-5%, respectively.

14 Based on our results (Fig. 3), we synthesized a series of P(3HB/3HV/3H4MV) copolymers with  
15 large molar fractions of 3H4MV, by growing bacterial cells in two-phase batch culture on the butyric  
16 acid, with 4-methylvalerate added in controlled doses. A single dose of 4-methylvalerate was 0.5 g L<sup>-1</sup>,  
17 and the time interval between additions was varied between 10-12 and 20-24 h. Depending on how many  
18 times the precursor substrate was added, the resulting copolymers contained between 2.7 and 11.3 mol.%  
19 3H4MV (Table 2). In addition to 3H4MV, the copolymers also contained monomer units of 3-  
20 hydroxyvalerate (3HV), whose molar fraction varied between 3.5 and 18.1 mol.% (Table 2).

21 *Physicochemical properties of P(3HB/3HV/3H4MV) copolymers with different proportions of*  
22 *monomers*

23 After producing a series of P(3HB/3HV/3H4MV) copolymers, we investigated the effects of the  
24 proportions and composition of monomers on the physicochemical properties of the copolymers. To do  
25 this, we prepared films of P(3HB/3HV/3H4MV) copolymers, which differed noticeably in their surface  
26 topography (Fig. 4).

27 SEM images clearly showed that all films of P(3HB/3HV/3H4MV) copolymers had more pores  
28 of sizes between 2-3 and 8-10 μm than films prepared from P(3HB) and P(3HB/3HV), and the number  
29 and size of the pores increased with an increase in the 3H4MV molar fraction. However, no definite  
30 relationship was found between the 3H4MV content of P(3HB/3HV/3H4MV) and film surface properties  
31 characterized by measuring contact angles of water and diiodomethane (Table 3). The contact angle of  
32 diiodomethane, though, did increase above 60° with an increase in the 3H4MV molar fraction, compared  
33 to 49.7° and 39.2° for P(3HB) and P(3HB/3HV). At the same time, the liquid surface tension of the polar  
34 component increased by one order of magnitude and the liquid surface tension of the dispersion  
35 component decreased about 1.5-fold.

36 Physicochemical properties of P(3HB/3HV/3H4MV) films varied depending on the monomer  
37 proportion and content of 3H4MV (Table 2). The molecular weight ( $M_w$ ) of copolymer films was  
38 somewhat lower than that of P(3HB) films, and their number average molecular weight ( $M_n$ ) was  
39 significantly lower. Therefore, polydispersity of P(3HB/3HV/3H4MV) was 2.0-2.5 times higher than that  
40 of P(3HB). For the copolymers containing 3HV (between 3.5 and 18.1 mol.%), the increase in the  
41 3H4MV molar fraction from 2.7 to 11.3 mol.% did not cause any significant changes in their molecular  
42 weight characteristics except the decrease in  $M_n$  values and increase in  $\bar{D}$  values (4.0-6.2) mentioned  
43 above. The molecular weight parameters are among the most important characteristics of high-molecular-  
44 weight compounds, which determine processability of polymers. That is probably why PHA copolymer  
45 research is mainly focused on these characteristics. A number of studies addressed the molecular weight  
46 characteristics of P(3HB/3HV/3H4MV) with different molar fractions of 3H4MV. Lau et al. [15] reported  
47 that as 3H4MV was increased from 1 to 19 mol.%,  $M_n$  dropped from 213 to 91 kDa and  $M_w$  decreased  
48 from 657 to 274 kDa, although polydispersity did not change. However, the study by Tanadchangsaeng et  
49 al. [10] showed that in the specimens containing 0.4-0.9 mol.% 3HV and 0.7-12.9 mol.% 3H4MV,  
50 number average molecular weight and polydispersity varied between 100 and 400 kDa and between 1.4  
51 and 4.1, respectively. The authors of another study [11] investigated copolymers with a considerably  
52 lower molar fraction of 3H4MV (0.8-3.1 mol.%) and found that their  $M_n$  ranged between 98 and 251 kDa,  
53 with polydispersity values being rather low (1.6-1.9). Higher values of  $M_n$  (298-421 kDa) and  $\bar{D}$  (2.2-2.5)  
54 were reported by Chia et al. [12] for P(3HB/3H4MV/3HHx) and P(3HB/3HV/3H4MV/3HHx) specimens  
55 with low molar fractions of 3H4MV (1-3 mol.%); the authors, though, concluded that incorporation of  
56 methylvalerate monomers decreased the molecular weight of the polymer relative to P(3HB) and  
57 P(3HB/3HV).

1 The study of thermal properties of P(3HB/3HV/3H4MV) showed a consistent decrease in the  
2 melting temperature with the increase in the 3H4MV molar fraction (Table 2). In specimens containing  
3 between 3.3 and 11.3 mol.% 3H4MV (4-7 in Table 2), melting regions in thermograms (Fig. 5a) are  
4 noticeably shifted to the left compared to the specimen with a lower molar fraction of this monomer (2.7  
5 mol.%, Specimen 3 in Table 2) and to  $T_{melt}$  of P(3HB/3HV) and P(3HB). Thermal behavior of copolymers  
6 containing methylvalerate monomers is characterized by the presence of two peaks in the melting region,  
7 with the difference between them of between 9 and 19 degrees (Fig. 5a). In contrast to  $T_{melt}$ , no significant  
8 differences were found between temperatures of thermal degradation. All specimens, irrespective of their  
9 3H4MV and 3HV contents, showed  $T_{degr}$  of between 293 and 297 °C, which was comparable with the  
10 values for the homopolymer and copolymers with 3-hydroxyvalerate. Not all gradually heated specimens  
11 showed a peak in the glass transition region (Fig. 5a). No glass transition peaks were present in the  
12 thermograms of the P(3HB) specimen and the copolymer with the lowest 3H4MV content (2.7 mol.%)  
13 (Specimens 1 and 3 in Table 2). In the other P(3HB/3HV/3H4MV) specimens,  $T_g$  varied between -0.15  
14 and 1.40, with no direct relationship with the polymer composition and monomer proportions. We did not  
15 find any definite relationship between copolymer composition and monomer proportions and the  
16 temperature of crystallization (Table 2, Fig. 5a). The  $T_c$  of some of the specimens was above 100 °C.  
17 These were P(3HB) and P(3HB/3HV/3H4MV) specimens with 3H4MV molar fractions of 2.7 and 4.0  
18 mol.% (Specimens 1, 3, and 5 in Table 2). The  $T_c$  of other terpolymers was considerably lower, between  
19 44.16 and 67.68 °C. It is difficult to compare results obtained in the present study and literature data since  
20 detailed data are scant (Table 1). P(3HB/3H4MV) that contained 19 mol.% 3H4MV showed  $T_g$ ,  $T_{melt}$ , and  
21  $T_{degr}$  of 3.3, 160.8, and 306 °C, respectively [15]. A study by Saika et al. [11] showed that as the 3H4MV  
22 molar fraction of the copolymers increased from 0.8 to 3.1 mol.%, their  $T_{melt}$  decreased slightly (from 146  
23 to 137 °C and from 159 to 151 °C) while  $T_g$  remained the same (3 °C). Authors of another study [10]  
24 reported that as the 3H4MV molar fraction was increased to 38 mol.%, both  $T_{melt}$  and  $T_g$  tended to  
25 decrease.

26 Analysis of radiograms of PHA specimens with different composition revealed several  
27 dissimilarities between them (Fig. 5b). X-Ray showed a decrease in intensities of diffraction maxima at  
28  $2\theta = 16^\circ$ ,  $30^\circ$ ,  $38^\circ$  and  $45^\circ$ , with the most significant decrease at  $2\theta = 16^\circ$  and  $30^\circ$ , for all  
29 P(3HB/3HV/3H4MV) specimens compared to P(3HB/3HV) and P(3HB): intensities of diffraction  
30 maxima of P(3HB) and P(3HB/3HV) decreased from 4200 and 3500 to 1600 and 2300, respectively, and  
31 intensities of diffraction maxima of the five specimens containing 3H4MV decreased from 2800 and 2300  
32 to 400-1400 (Specimens 3-7, Fig. 5b). P(3HB/3HV/3H4MV) specimens also showed changes in the 18-  
33  $22^\circ$  region, with additional maxima observed at  $2\theta = 22^\circ$  and a certain decrease in intense peaks at  $2\theta =$   
34  $18^\circ$ . X-Ray diffraction analysis of PHAs with different compositions (Table 2, Fig. 5b) showed that the  
35 degree of crystallinity of P(3HB/3HV/3H4MV) copolymers was considerably lower than that of the high-  
36 crystallinity homopolymer (76%) and P(3HB/3HV). The  $C_x$  of P(3HB/3HV/3H4MV) copolymers was  
37 42-44%, i.e. the amorphous region was greater than the crystalline region. At the same time, we did not  
38 reveal any relationship between  $C_x$  and the 3H4MV content in the range between 2 and 11 mol.%. Thus,  
39 3H4MV monomer units produce a stronger effect on the crystalline to amorphous region ratio than 3-  
40 hydroxyhexanoate and 3-hydroxyvalerate monomer units [7-9, 21]. In P(3HB/3HV), 3HB and 3HV  
41 monomers co-crystallize in the hydroxybutyrate or hydroxyvalerate lattice, depending on the monomer  
42 ratio, and the increase in 3HV content to above 40-50 mol.% does not cause a decrease in  $C_x$  below 45-  
43 50%. Only fragmentary data are available for the effect of 3H4MV monomers on the degree of  
44 crystallinity of copolymers. In a study by Tanadchangsaeng et al. [22], cultivation of the recombinant  
45 strain *Ralstonia eutropha* PHB<sup>-</sup>4 on fructose with varied concentrations of 4-methylvalerate produced  
46 polymers with molar fractions of 3H4MV and 3HV of 46 mol.% and 1.0 mol.%, respectively, with low  
47 cell concentration (1.4 g L<sup>-1</sup>) and copolymer yield of 53%. The degree of X-ray crystallinity of  
48 fractionated P(3HB-co-3H4MV) films decreased from 60 to 13% as the 3H4MV fraction increased from  
49 0 to 39 mol.%. The results reported by Bonartsev et al. [19] suggesting a decrease in  $C_x$  of  
50 P(3HB/3HV/3H4MV) with a very low molar fraction of 3H4MV (0.14-0.6 mol.%) to 49% seem dubious.

51 There are literature data on the effect of another monomer – 3-hydroxy-2-methylvalerate (3HMV)  
52 – on PHA properties: a decrease in the degree of crystallinity of P(3HB/3HV/3HMV) terpolymers  
53 synthesized by glycogen accumulating organisms (GAO) grown on acetate. An enriched GAO culture  
54 was obtained in a lab-scale reactor operated under alternating anaerobic and aerobic conditions with  
55 acetate fed at the beginning of the anaerobic period. The  $C_x$  value varied between 11 and 19% in the  
56 specimens containing 4-12 mol.% 3HMV and 16-25 mol.% 3HV [16]. In another study, Dai et al. [23]

1 cultivated *Deftuviicoccus vanus*-related glycogen accumulating organisms in the medium with acetate  
2 supplemented with propionate and synthesized P(3HB/3HV/3H4MV/3HMB) quaterpolymers containing  
3 32-36 and 15-41 mol.% 3HV and 3H4MV, respectively, with a  $C_x$  of 14-15%. Thus, incorporation of  
4 methylvalerate monomers into the carbon chain of 3-hydroxybutyrate/3-hydroxyvalerate, even though  
5 methylvalerate content is relatively low, results in a significant decrease in the degree of crystallinity of  
6 the copolymer.

7 Crystallinity is a most important property of polymers, which essentially determines the  
8 processability of polymers into specialized products. The most widespread and best-studied short-chain-  
9 length polymers – poly-3-hydroxybutyrate and 3-hydroxybutyrate/3-hydroxyvalerate copolymers – are  
10 bioresorbed *in vivo* quite slowly, i.e. they stay in the organism for long periods of time and may cause  
11 tissue inflammation in the implantation site [24]. In contrast to PHA copolymers, in these PHA types,  
12 especially P(3HB), the crystalline region is greater than the amorphous region, and, thus, products  
13 fabricated using these PHAs have a high degree of crystallinity and high hydrophobicity and are prone to  
14 ageing [25]. We measured the degree of crystallinity of P(3HB) films that had been kept at room  
15 temperature for three years and found that it had increased from the initial value of 76% to 95%  
16 (unpublished data). The degree of crystallinity of films prepared from P(3HB/3HV/3H4MV) containing  
17 6.2 mol.% 3H4MV (42%) had not changed over 2.5 years, in contrast to P(3HB) films, whose  $C_x$  had  
18 increased from 72% to 89% over the same time period. These findings suggest that copolymers  
19 containing 3H4MV are more stable and have better processability.

## 20 **Conclusions**

21 Synthesis of P(3HB/3HV/3H4MV) was investigated in batch culture of *C. eutrophus* B-10646  
22 under different conditions of carbon nutrition and as dependent on the concentration of the precursor  
23 substrate – 4-methylvalerate. Then, bacterial cells were cultivated in the modes that enabled the  
24 production of a series of copolymers with molar fractions of 3H4MV of between 2.7 and 11.3 mol.%.  
25 Degrees of crystallinity, molecular weight characteristics, and thermal properties of the copolymers were  
26 investigated as dependent on proportions of monomers. Results suggested that the molar fraction of  
27 3H4MV had a strong effect on the crystalline to amorphous region ratio.

## 28 **Conflict of interest**

29 No conflict of interest to declare.

## 30 **Acknowledgment**

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33 No 220 of the Government of the Russian Federation of April 9, 2010, “On measures designed to attract  
34 leading scientists to the Russian institutions of higher learning”.

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Table 1 Summary of polyhydroxyalkanoates containing 3H4MV synthesis and their properties

Species	Biomass (g L <sup>-1</sup> )	PHA content (% of dry biomass)	Content of monomers, mol.%			M <sub>w</sub> (kDa)	Đ	C <sub>x</sub> (%)	T <sub>melt</sub> (°C)	T <sub>degr</sub> (°C)	Reference
			3HB	3HV	3H4MV						
wild-type strain <i>A. chroococcum</i> 7B	2.6-3.7	71-79	99.40-99.96	–	0.04-0.60	620-1390	2.0	58.0-49.6	169.9/177.3	–	[19]
wild-type and recombinant strain <i>Burkholderia</i> sp.	3.7-6.1	13-24	81-99	–	1-19	274-657	3.0-3.1	–	162.3-160.8	298-306	[15]
recombinant strain <i>C. necator</i> PHB <sup>4</sup>	1.6	40	97	–	3	–	–	–	–	–	[13]
wild-type strain <i>Chromobacterium</i> sp. USM2	0.8-1.9	1-49	78-98	–	2-22	–	–	–	–	–	[17]
recombinant strain <i>E. coli</i> BL21	0.5-2.9	17-36	86.0-99.4	–	0.6-14.0	–	–	–	–	–	[25]
recombinant strain <i>R. eutropha</i> PHB <sup>4</sup>	1.4-2.6	53-70	53-89	1	11-46	289-1443	1.7-3.9	–	91-165	–	[22]
recombinant strains <i>R. eutropha</i> PHB <sup>4</sup> , 1F2, KNK-DCD1	1.33-7.24	32-65	92.0-99.5	0.3-7.4	0.1-4.1	–	–	–	–	–	[26]
recombinant strains <i>R. eutropha</i> PHB <sup>4</sup> и 1F2 glycogen accumulating organisms	1.6-7.6	29-55	95.8-99.3	0.3-1.7	0.3-3.1	156.8-475.0	1.6-1.9	–	137/151-146/159	–	[11]
wild-type strain <i>R. eutropha</i> H16, recombinant strain <i>R. eutropha</i> PHB <sup>4</sup>	–	14-41	63-80	16-25	3H4MV: 4-12	140-390	1.6-2.2	11-19	96-170	–	[16]
	1.2-3.1	38-71	60.4-99.0	0.1-1.6	0.6-38.9	130-1840	1.3-4.1	–	120/135-157/169	–	[10]

– no data

Table 2 The composition and properties of P(3HB/3HV/3H4MV) specimens containing different proportions of monomers

n/n	Composition of PHA, mol.%			M <sub>w</sub> , kDa	M <sub>n</sub> , kDa	Đ	C <sub>x</sub> , %	T <sub>melt</sub> , °C	T <sub>degr</sub> , °C	T <sub>c</sub> , °C	T <sub>g</sub> , °C
	3HB	3HV	3H4MV								
	1	100	0.0								
2	80.5	19.5	0.0	520	122	4.3	59	168	295	67.50	1.26
3	93.8	3.5	2.7	859	139	6.2	44	171	297	110.64	n.d.
4	79.2	17.5	3.3	609	143	4.3	42	146/162	293	65.35	0.24
5	88.0	8.0	4.0	769	135	5.7	43	162/171	295	103.77	1.40
6	75.7	18.1	6.2	664	166	4.0	42	150/163	294	44.16	-0.15
7	80.7	8.0	11.3	691	163	4.2	41	144/163	297	67.68	0.61

n.d. – not detected

Table 3 The structure and properties of the surface of P(3HB/3HV/3H4MV) films

Composition of PHA, mol.%			Water contact angle water (θ)	Contact angle of diiodomet hane (θ)	Liquid surface tension, (mN/m)	Liquid surface tension – polar component, (mN/m)	Liquid surface tension – dispersion component, (mN/m)
3HB	3HV	3H4MV					
100	0.0	0.0	92.7±1.9	49.7±1.2	36.3±0.6	1.3±0.4	35.0±0.6
80.5	19.5	0.0	83.3±2.0	39.2±1.4	42.8±0.4	2.8±0.1	40.0±0.3
93.8	3.5	2.7	84.9±1.7	48.5±1.3	38.3±0.2	3.2±0.1	35.1±0.1
79.2	17.5	3.3	89.3±1.5	54.5±1.0	34.2±0.6	2.5±0.3	31.7±0.3
88.0	8.0	4.0	87.2±1.8	49.7±0.9	37.0±0.5	2.6±0.1	34.4±0.4
75.7	18.1	6.2	95.1±2.1	65.6±1.2	27.4±0.6	2.1±0.1	25.4±0.5
80.7	8.0	11.3	72.2±2.0	61.5±1.5	38.4±0.2	10.7±0.1	27.7±0.1

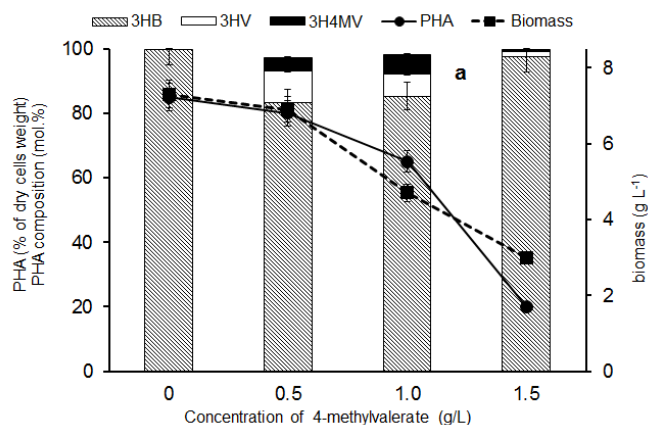


Fig. 1 Effect of 4-methylvalerate concentration on cell concentration, copolymer yield (%), and monomer composition (mol.%) of *C. eutrophus* B-10646 culture in the phase of synthesis of P(3HB/3HV/3H4MV) copolymers.

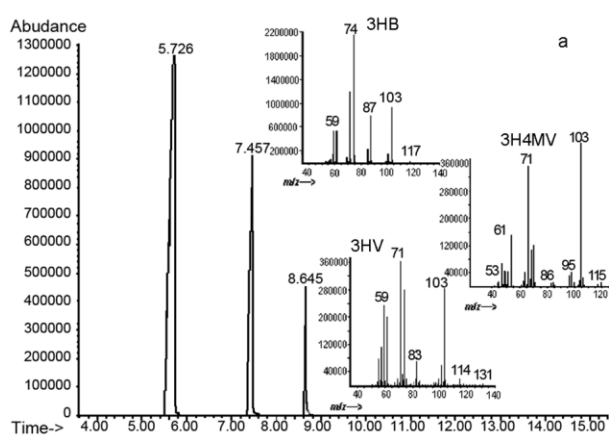


Fig. 2 Chromatogram with mass spectra (a) and <sup>1</sup>H NMR spectrum (b) of one P(3HB/3HV/3H4MV) specimen.

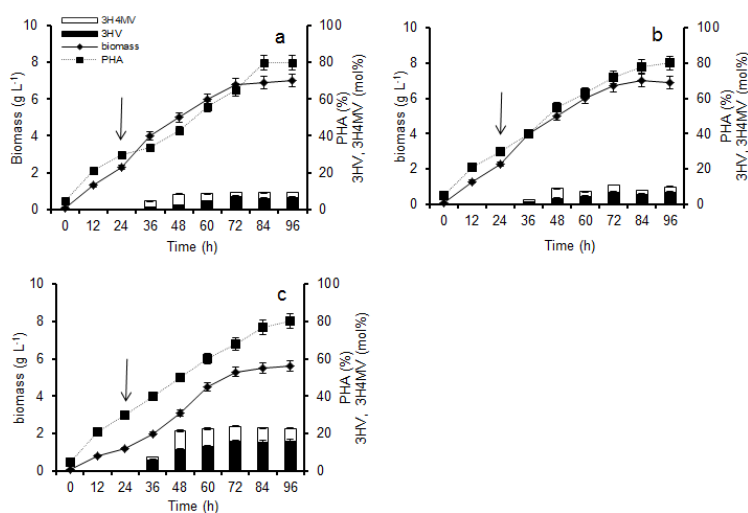


Fig. 3 Parameters of *C. eutrophus* B-10646 culture and synthesis of P(3HB/3HV/3H4MV) copolymers in experiments with fructose (a), glucose (b) or butyric acid (c) as a sole carbon substrate and a single addition of 4-methylvalerate (the time of supplementation is shown by arrows).

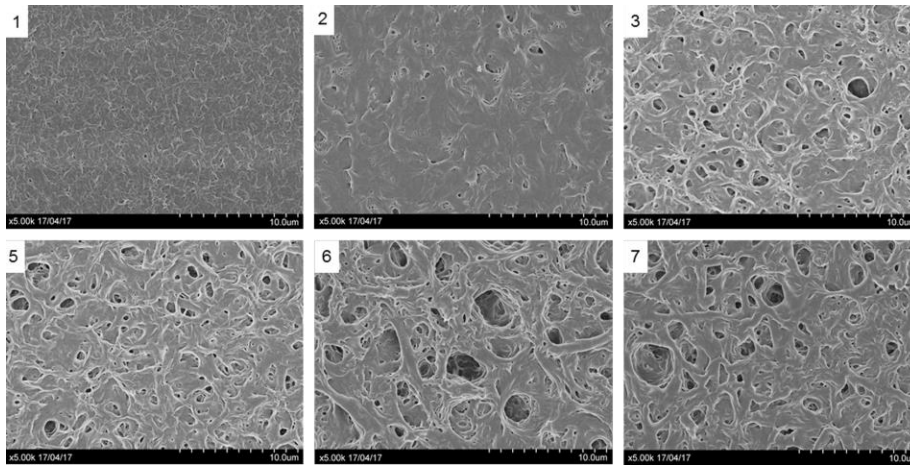


Fig. 4 SEM images of surface topography of polymer films prepared from PHAs with different composition. Bar=10  $\mu$ m (numbered according to Table 2)

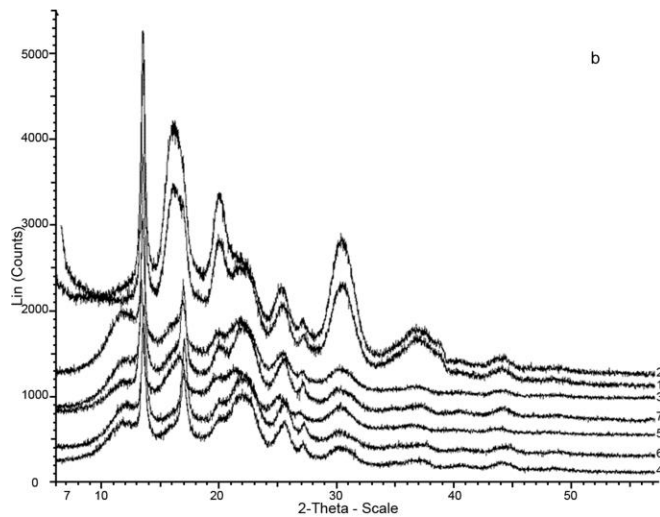
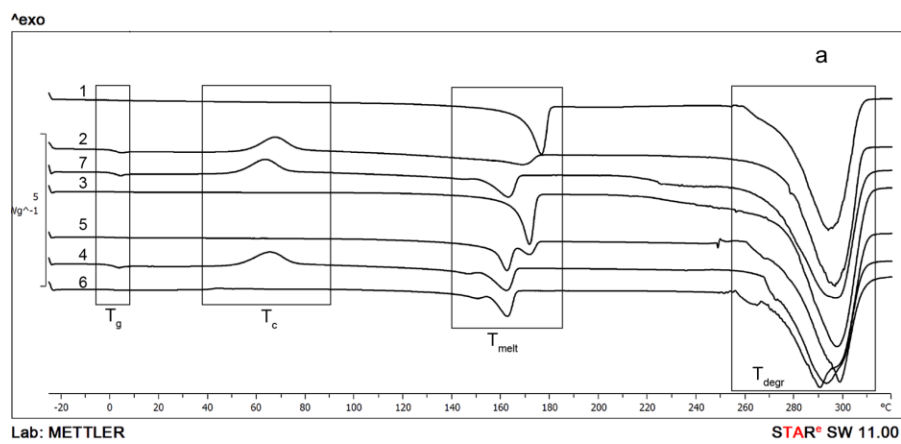


Fig. 5 Thermal properties (a) and X-Ray (b) of PHA specimens with different chemical composition: 1 – P(3HB), 2 – P(3HB/3HV), 3-7 – P(3HB/3HV/3H4MV) (numbered according to Table 2)