

# Quantitative Description of Vertical Organic Matter Distribution in Real Soil Profiles by Means a Simple Continuous Model

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## Abstract

Previously we have proposed a continuous model of soil organic matter (SOM) transformation which was based on describing only the most general notions of this process — a gradual increase in SOM stability toward transformation, occurring concurrently with partial decomposition of SOM. The model provided qualitative description of vertical SOM distributions in different soils. In the present study this model has been modified to make the description more realistic. The study demonstrates quantitative correspondence between the calculated and averaged observed vertical distributions of SOM for different biomes.

*Keywords:* soil organic matter, continuous model of soil, soil profile, vertical soil organic matter distribution

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## 1. Introduction

2 In a previous study, we proposed a simple continuous model of SOM transfor-  
3 mation and decomposition (Bartsev and Pochekutov, 2015). That model was  
4 based on the most general notions of these processes: a gradual increase in  
5 the stability of SOM toward transformation, which occurs simultaneously with

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6 decomposition of some part of SOM. The continuous scale of stability of the  
7 matter used in the basic model was the rate of further transformation of SOM  
8 into more stable forms. Then, we proposed a modified model, which established  
9 a one-to-one correspondence between the stationary SOM distributions along  
10 the transformation rate and along the depth in soil profile. For that model, we  
11 demonstrated qualitative correspondence of the patterns of SOM vertical distri-  
12 bution curves to those for various types of real soils (Bartsev and Pochekutov,  
13 2016).

14 Although derivation of model equations in the previous papers  
15 (Bartsev and Pochekutov, 2015, 2016) was described in terms of the classical  
16 theory of humification (Essington, 2004), the model can be used within the  
17 framework of both this theory and any other SOM transformation concepts  
18 that suggest a gradual increase in SOM stability toward transformation and  
19 decomposition. These are the concepts suggesting, e.g., an increase in SOM  
20 stability caused by an increase in its inaccessibility and protection against de-  
21 composers (v. Lütsov et al., 2006) or even by an increase in the proportion of  
22 stable compounds in the plant litter due to more rapid mineralization of readily  
23 mineralized substances (Berg and McClaugherty, 2008). The reason why this  
24 model is so universal is that it has been constructed using a very simple ap-  
25 proach, which involves a phenomenological representation of the most general  
26 notions about the nature and direction of SOM transformation process, provid-  
27 ing no details or internal mechanisms of these processes, which would connect  
28 the model to certain theoretical notions of organic matter transformation in soil.

## 29 **2. The model equations and their new modification**

### 30 *2.1. The transformation equations*

31 The basic equation of the model (Bartsev and Pochekutov, 2015):

$$\frac{\partial C(h, t)}{\partial t} - \frac{\partial}{\partial h} (h^2 C(h, t)) = -k(h)C(h, t) + D(h, t) \quad (1)$$

32 describes SOM transformation as movement of the matter along a continuous  
33 scale representing the degrees of stability of the matter toward further trans-

34 formation (including decomposition). The rate of SOM transformation into a  
 35 more stable form is used as this scale,  $h$ . In terms of the classical theory of  
 36 humification,  $h$  means the rate of humification of the matter.  $C(h, t)$  is SOM  
 37 distribution along scale  $h$ , changing over time;  $k(h)$  is coefficient of mineral-  
 38 ization rate, assigned in the existing versions of the model from the empirical  
 39 function  $k(h) = bh^p$ , where  $b$  and  $p$  are adjustable parameters (interpreted else-  
 40 where (Bartsev and Pochekutov, 2016)). Plant litter is described by its input  
 41 rate  $D(h, t)$ , which is defined in the model from an approximate equation

$$D(h) = \sum_i D_{0i} \delta(h - h_{0i}), \quad (2)$$

42 where  $\delta$  is the Dirac delta function, and index  $i$  numbers plant litter components  
 43 characterized by their annual average amount  $D_{0i}$  and initial transformation  
 44 rate  $h_{0i}$ .

45 When plant litter input is defined by (2), equation (1) can be written for  
 46  $C_i(h, t)$  — the distribution of transformation products of each litter component.  
 47 Then, the distribution of the total SOM is expressed as  $C(h, t) = \sum_i C_i(h, t)$ .

48 In the stationary case, solution of equation (1) written for  $C_i(h, t)$  has the  
 49 following form:

$$\bar{C}_i(h) = \frac{D_{0i}}{h^2} \exp\left(\frac{b}{p-1}(h^{p-1} - h_{0i}^{p-1})\right) (1 - \theta(h - h_{0i})), \quad (3)$$

50 where  $\theta$  is the Heaviside step function.

51 In this study, in order to bring the model concepts closer to the notions of  
 52 the nature of soil processes, we propose a modified model, which assumes that  
 53 not all matter in the soil simultaneously undergoes transformation.

54 In any stage of transformation of the matter, only some part of this matter  
 55 undergoes transformation at any given time. If reactions of both decomposition  
 56 and transition to a more stable form are described by the laws of chemical  
 57 kinetics, at any moment there is a part of the matter that has entered into  
 58 the reaction and a part of the matter that has not. Then, equation (1) only  
 59 describes the dynamics of the part of the matter that undergoes transformation  
 60 at a given time. Moreover, for reasons described in a review by v. Lützov et al.

61 (2006), some of the SOM may become inaccessible to the effects of biological or  
 62 chemical factors causing transformation of the matter.

63 Without going into great detail, we can describe the total result of the op-  
 64 eration of these mechanisms in a generalized way as follows. The part of the  
 65 SOM that is undergoing transformation at a given time will be called active  
 66 SOM, and its distribution will be denoted by  $c(h, t)$ . The other part of the  
 67 SOM, which is not undergoing transformation at the same time, will be called  
 68 inactive SOM, and its distribution will be denoted by  $s(h, t)$ . The distribution  
 69 of the total SOM will be expressed as  $C(h, t) = c(h, t) + s(h, t)$ . Assuming that  
 70 the rate of transition of the matter from the active state to the inactive one can  
 71 be expressed as  $\beta(h)c(h, t)$  and the rate of transition of the matter from the  
 72 inactive state to the active one as  $\alpha(h)s(h, t)$ , we obtain a system of equations  
 73 describing the total SOM dynamics in both forms:

$$\frac{\partial c(h, t)}{\partial t} - \frac{\partial}{\partial h} (h^2 c(h, t)) = -bh^p c(h, t) + D(h, t) - \beta(h)c(h, t) + \alpha(h)s(h, t); \quad (4)$$

$$\frac{\partial s(h, t)}{\partial t} = \beta(h)c(h, t) - \alpha(h)s(h, t). \quad (5)$$

74 In the stationary case,  $\beta(h)\bar{c}(h) = \alpha(h)\bar{s}(h)$ , and (4) will assume the form  
 75 that fully coincides with the stationary form of equation (1), and, hence, the  
 76 solution of this equation will have the form of (3). Then, the total stationary  
 77 distribution of SOM will be

$$\bar{C}(h) = \left(1 + \frac{\beta(h)}{\alpha(h)}\right) \bar{c}(h). \quad (6)$$

78 Let us assume that  $\beta(h)$  and  $\alpha(h)$  can be approximated by the simplest linear  
 79 functions,  $\beta(h) = qh$ ,  $\alpha(h) = rh$ , where  $q$  and  $r$  are positive constants. Then,  
 80 the distribution of the products of transformation of the  $i$ -th litter component  
 81 will take the following form:

$$\bar{C}_i(h) = \left(1 + \frac{q}{r}\right) \frac{D_{0i}}{h^2} \exp\left(\frac{b}{p-1}(h^{p-1} - h_{0i}^{p-1})\right) (1 - \theta(h - h_{0i})). \quad (7)$$

82 In this modification of the model, only one new parameter,  $q/r$ , is introduced  
 83 into the equations. This parameter denotes how many times the amount of  
 84 inactive SOM is different from the amount of active SOM.

85 *2.2. The vertical transport equations*

86 In order to establish one-to-one correspondence between the stationary SOM  
 87 distributions along the transformation rate  $h$  and along the depth  $z$  in soil  
 88 profile, one should assign  $w(h) \equiv dz/dt$ . The equation of relation between scales  
 89  $h$  and  $z$  that we derived previously (Bartsev and Pochekutov, 2016, Eq.9), in  
 90 the general case for the arbitrary  $w(h)$  form, will be written as

$$\frac{dh}{dz} = -\frac{h^2}{w(h)}. \quad (8)$$

91 The stationary distribution of SOM along the scale  $z$ ,  $\bar{C}(z)$ , will be related  
 92 to the stationary distribution of SOM along the scale  $h$ ,  $\bar{C}(h)$ , by the equation

$$\bar{C}(z) = J \cdot \bar{C}(h(z)), \quad (9)$$

93 where  $J \equiv -dh/dz$  is transition Jacobian from scale  $h$  to scale  $z$   
 94 (Bartsev and Pochekutov, 2016).

95 While previously (Bartsev and Pochekutov, 2016) we assumed  $w(h) = ah$ ,  
 96 we now assume

$$w(h) = ah + A, \quad (10)$$

97 where  $a$  and  $A$  are nonnegative constants. Thus, for any substance, its vertical  
 98 transport velocity,  $w$ , consists of two components: one component is determined  
 99 by the stability of the substance and the other is the same for all substances  
 100 in the soil. This also brings model concepts closer to processes in real soils.  
 101 Summand  $A$  is added to take into account possible vertical transport factors  
 102 that affect particles of the matter irrespective of its degree of transformation,  
 103 such as transport of particles with the liquid when large amounts of water  
 104 percolate through the soil.

105 By solving the differential equation (8) taking into account (10) and initial  
 106 condition  $h(z = 0) = h_{0i}$ , we obtain a new expression of the relation between  
 107 scales  $z$  and  $h$ :

$$z = a \log\left(\frac{h_{0i}}{h}\right) + A\left(\frac{1}{h} - \frac{1}{h_{0i}}\right). \quad (11)$$

108 The function  $h(z)$  necessary for further computations can only be obtained from  
 109 this by numerically solving the transcendental equation (11) relative to  $h$ . For

110 each plant litter component that differs from other components in the  $h_{0i}$  value,  
 111 function  $h(z)$  must be calculated individually, using this very value of  $h_{0i}$ .

112 As follows from (8) and (10), Jacobian  $J$  in equation (9) assumes the form  
 113  $(h^2(z))/(ah(z) + A)$ . Then equation (9), taking into account (7) for products of  
 114 transformation of the  $i$ -th component of plant litter will be written as

$$\bar{C}_i(z) = \frac{(1 + \frac{a}{r}) D_{0i}}{ah(z) + A} \exp\left(\frac{b}{p-1}(h^{p-1}(z) - h_{0i}^{p-1})\right) \theta(z). \quad (12)$$

115 Equation (12) holds for products of transformation of plant litter compo-  
 116 nents falling onto soil surface such as aboveground parts of plants. To make  
 117 an accurate description of the root litter, one should take into account that  
 118 roots and, hence, root litter are distributed over depth along the soil profile.  
 119 To take into account the depth-distributed input of the root litter, one must  
 120 know its distribution function,  $\mathcal{D}_R(z, h)$ . In the simple case, if all root litter is  
 121 described by one value of  $h_0$ , this will be function  $\mathcal{D}_R(z)$ . Then, the amount  
 122 of the root litter input in a depth micro-range between  $z_0$  to  $z_0 + dz$  will be  
 123 equal to  $\mathcal{D}_R(z_0)dz$ . The stationary distribution of the transformation products  
 124 of this litter portion will be described by the equation with  $(z - z_0)$  substituted  
 125 for  $z$  and  $\mathcal{D}_R(z_0)dz$  substituted for  $D_{0i}$ . Then, the stationary distribution of  
 126 the products of transformation of the total root litter is described by equation

$$\bar{C}_R(z) = \int_0^{z_m} \frac{(1 + \frac{a}{r}) \mathcal{D}_R(\zeta)}{ah(z - \zeta) + A} \exp\left(\frac{b(h^{p-1}(z - \zeta) - h_{0i}^{p-1})}{p-1}\right) \theta(z - \zeta) d\zeta, \quad (13)$$

127 where  $z_m$  is the maximum depth where roots are found.

128 We use equations (12) and (13) in the section below to calculate the SOM  
 129 vertical distributions compared to the field data.

### 130 3. Fitting of model calculated SOM distributions

131 The model was tested by comparing model calculations to the SOM vertical  
 132 distributions observed in nature.

133 As field data on the SOM (in carbon units) vertical distribution in real  
 134 soils, we used the data averaged for biomes on organic carbon in soils at dif-

135 ferent depths presented in a study by Jobbágy and Jackson (2000). The au-  
 136 thors of that study used three soil databases: the National Soil Characteriza-  
 137 tion Database (NSCD) (USDA, 1994), the World Inventory of Soil Emission  
 138 Potential Database (WISE) (Batjes and Bridges, 1994; Batjes, 1995), and the  
 139 database from the Canadian Forest Service (Siltanen et al., 1997).

140 Based on the values of total organic carbon  $S$  in the soil layer and its per-  
 141 centage  $P_j$  in the depth range between  $z_{j-1}$  and  $z_j$  (Jobbágy and Jackson, 2000,  
 142 Table 3 and 4), we calculated approximate values of the function of the observed  
 143 SOM vertical distribution:

$$C_{nat} \left( z_{j-1} + \frac{z_j - z_{j-1}}{2} \right) \approx \frac{0.01 \cdot P_j \cdot S}{z_j - z_{j-1}}. \quad (14)$$

144 For three biomes — boreal forest, temperate grassland, and tropical ever-  
 145 green forest, we calculated the SOM distributions  $\bar{C}_i(z)$  using equations (12) and  
 146 (13) and fitted the values (individually for each biome) of parameters  $b$ ,  $p$ ,  $a$ ,  $A$ ,  
 147  $q/r$  in order to minimize the discrepancy between  $C_{nat}(z)$  and  $\bar{C}(z) = \sum_i \bar{C}_i(z)$ .

148 In the calculations, plant litter was divided into three components —  
 149 leaf, root, and wood litter, each characterized by its own values of  $D_{0i}$  (in  
 150  $\text{kgC} \cdot \text{m}^{-2} \text{yr}^{-1}$ ) and  $h_{0i}$  (in  $\text{yr}^{-1}$ ). Hence, respectively,  $i = \{L, R, W\}$ .

151 The data on the annual average amounts of litter components in the biomes  
 152 studied here were taken from (Rodin and Bazilevich, 1967) and multiplied by  
 153 coefficient 0.5 to approximately convert them into carbon units.

154 Calculations of  $h_{0i}$  values were based on the literature data on initial rates  
 155 of litter mineralization,  $k_{0i}$ , using the relation between these values accepted in  
 156 the model:  $h_{0i} = (k_{0i}/b)^{1/p}$ .

157 For the boreal forest, we used the values of  $k_{0i}$  given by (Vedrova, 1995,  
 158 2005), which were averaged for all the species and all the taiga types consid-  
 159 ered. For the temperate grassland, we used averaged values of  $k_{0i}$  of roots and  
 160 aboveground parts of herbaceous plants measured in temperate latitudes taken  
 161 from (Bontti et al., 2009). For the tropical forest, the values of  $k_{0i}$  for the wood  
 162 litter were taken from (Chambers et al., 2000) and for the root and leaf litter  
 163 from (Gholz et al., 2000).

Table 1: Litter characteristics used in the calculations

	Leaf litter		Root litter		Wood litter	
	$D_{0L}$	$k_{0L}$	$D_{0R}$	$k_{0R}$	$D_{0W}$	$k_{0W}$
Boreal forest	0.121	0.137	0.028	0.072	0.079	0.023
Temperate grassland	0.19	0.28	0.29	0.31	—	—
Tropical forest	0.798	1.46	0.083	0.739	0.495	0.17

Table 2: The fitted values of model parameters

	$b$	$p$	$a$	$A$	$q/r$
Boreal forest	0.181608	0.420912	0.678584	0.000109	0.977158
Temperate grassland	0.638244	0.957071	0.016981	0.002430	0.260165
Tropical forest	0.761897	0.980069	0.0	0.010613	0.130199

164 The vertical distributions of the root litter in calculations using equation (13)  
165 were obtained by linear interpolation of the literature data. The amount of the  
166 root litter input  $L_j$  within the depth range between  $z_{j-1}$  and  $z_j$  was evaluated  
167 as a portion of the root biomass within this range (from (Jobbágy and Jackson,  
168 2000, Table 4)) multiplied by the total root litter (from (Rodin and Bazilevich,  
169 1967)). For each  $j$ -th depth rang, the function  $\mathcal{D}_R(z)$  was interpolated as

$$\mathcal{D}_{Rj}(z) = g_j z + G_j \quad \text{if } z_{j-1} > z \geq z_j, \quad (15)$$

170 where  $j = 1, 2, 3, 4, 5$ ,  $z_{\{0,1,2,3,4,5\}} = \{0, 0.2, 0.4, 0.6, 0.8, 1\}$  m, and constants  $g_j$   
171 and  $G_j$  were set so that  $\int_{z_{j-1}}^{z_j} \mathcal{D}_{Rj}(z) dz = L_j$ , and  $g_j z_j + G_j = g_{j+1} z_j + G_{j+1}$   
172 for  $j = 1, 2, 3, 4$  and  $g_5 z_5 + G_5 = 0$ .

173 The initial data used in calculations are listed in Table 1, the fitted values  
174 of model parameters are given in Table 2, and the corresponding distribution  
175 curves are shown in Figure 1.

176 Data in Table 2 suggest certain patterns of change in model parameters. For  
177 instance, values of parameters  $b$  and  $p$  increase with the transition from colder to  
178 warmer climate zones. This can be interpreted as an increase in the proportion of  
179 the mineralized SOM, which is particularly characteristic of unstable substances



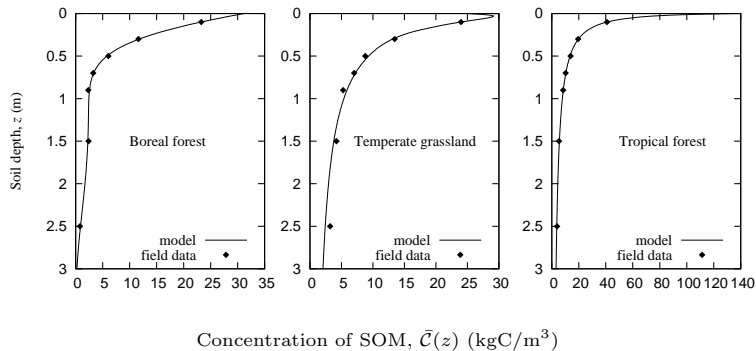


Figure 1: Model curves of the SOM vertical distribution compared to field data

180 in early phases of transformation, occurring with the transition from colder to  
 181 warmer climate. This, in turn, is consistent with the general concept of an  
 182 increase in the rates of biological processes. Another trend is a monotonic  
 183 change of the values of  $a$ ,  $A$ , and  $q/r$  with the transition from boreal forest to  
 184 temperate grassland and, then, to tropical forest. Also interestingly, the value of  
 185  $a$  for the tropical forest is minimal and equal to 0 while the value of  $A$  there is 1–2  
 186 orders of magnitude higher than in the other biomes. A possible interpretation  
 187 of this may be that the process of SOM vertical transport is dominated by  
 188 factors that are not related to the degree of transformation and stability of the  
 189 matter. Percolation of large amounts of precipitated water through the soils in  
 190 tropical rainforests (Zech and Hintermaier-Erhard, 2007) can act as this factor.

#### 191 4. Discussion

192 Other authors (Nakane and Shinozaki, 1978; Bosatta and Ågren, 1996) have  
 193 used continuous models of SOM transformation to calculate the SOM vertical  
 194 distributions. In those studies, however, model calculations were compared with  
 195 the field data on the distribution of organic matter in the soils of individual  
 196 ecosystems. In this study, we compare model calculations to the observed SOM  
 197 distributions averaged for biomes. Comparison of model calculations with the  
 198 averaged data seems appropriate for the proposed model, as this model describes

199 SOM transformation processes in the most general terms, and averaging of  
200 the field data reduces the differences between individual ecosystems. If these  
201 differences were taken into account in the model, it would probably become  
202 more intricate.

203 The model proposed here compares favorably with the model described  
204 by (Bosatta and Ågren, 1996) and other models of the Q-model family  
205 (Ågren and Bosatta, 1998; Bosatta and Ågren, 2003), as the basic notions about  
206 SOM transformation process included in the model are simple, even elementary,  
207 and only reflect the general trend of the process. Therefore, equations of our  
208 model are simpler and more transparent, and the model contains just a minimal  
209 set of fitting parameters.

210 The model described in a study by Nakane and Shinozaki (1978) is also very  
211 simple and uses simple empirical functions to describe the processes, providing  
212 rather good correspondence between calculations and the field data. However,  
213 that model describes the increase in SOM stability and its vertical transport as  
214 a single whole, related by the generality and uniqueness of the scale — depth  
215  $z$ . One of the advantages of our model over the model proposed by Nakane and  
216 Shinozaki is that our model equation has been obtained from explicit assump-  
217 tions about the general patterns of SOM transformation and mineralization  
218 processes. Moreover, in our model, the increase in stability (Section 2.1) is de-  
219 scribed separately from the vertical transport (Section 2.2), and, thus, as the  
220 model develops, the notions about either of these processes may be modified and  
221 specified independently of each other. This will provide more flexibility in the  
222 possible further development of the model, bringing it closer to the description  
223 of real processes.

## 224 **5. Conclusion**

225 In this study, we demonstrated quantitative correspondence between the  
226 SOM vertical distributions calculated using the proposed model and the vertical  
227 distributions of organic matter averaged for biomes that are observed in real

228 soils. That was achieved by using rather simple mathematical tools and a small  
229 number of parameters. Thus, the proposed model is a convenient instrument  
230 for the initial coordination of field dataset components providing self-contained  
231 description of SOM dynamics.

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