

1                   **Tree ring-based reconstruction of the long-term**  
2 **influence of wildfires on permafrost active layer dynamics**  
3                   **in Central Siberia**

4  
5                   **Running title: Fire effects on permafrost active layer dynamics**

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24 **Highlights**

25 A novel technique based on dating of cambial activity cessation in tree stems buried under  
26 moss layer demonstrate a good efficiency for estimating the post-fire permafrost rise as well  
27 as reconstruction of a dynamics of ground cover recovery and soil active layer thickness  
28 changes.

29  
30 A thickness of 10-15 cm of the Sphagnum layer was shown to be crucial for interrupting tree-  
31 ring production in larch roots and buried stem layers.

32  
33 Wildfires exert a long-term effect on active soil layer thickness and forest ecosystems in  
34 continuous permafrost zone in northern Eurasia.

35  
36 **Abstract**

37 Although it has been recognized that rising temperatures and shifts in the hydrological cycle  
38 affect the depth of the seasonally thawing upper permafrost stratum, it remains unclear if and  
39 how the frequency and intensity of wildfires and subsequent changes in vegetation cover  
40 influence this soil active layer at different spatiotemporal scales. Here, we use ring width  
41 measurements of the below-surface stem part of 15 larch trees from a *Sphagnum* bog site in  
42 Central Siberia to reconstruct long-term changes in the thickness of the active layer since the  
43 last wildfire occurred in 1899. Our novel dendroecological approach reveals a three-step  
44 feedback loop between above- and belowground ecosystem components: The thawing upper  
45 permafrost stratum increased over the first ~20 years after the fire killed almost all vegetation  
46 and thus enhanced the direct atmospheric heat penetration into the upper soil horizon. The  
47 slow recovery of the insulating ground vegetation then reversed the process and initiated a  
48 gradual decrease of the active layer depth. Due a continuous spatial and vertical thickening of  
49 the moss cover during the last decades, the upper permafrost horizon increased by 0.52  
50 cm/year. This study, for the first time, demonstrates the strength of annually resolved and  
51 absolutely dated tree-ring chronologies to assess the effects of historical wildfires on the  
52 functioning and productivity of boreal forest ecosystems at centennial time-scale, and how the  
53 complex interaction of above- and belowground components translate into changes in the  
54 active permafrost stratum. Our results are also relevant for improving estimates of long-term  
55 changes in the terrestrial carbon pool that strongly depend on the ecosystem productivity of  
56 the boreal forest.

57

58 *Keywords:* boreal forest, bog, carbon cycle dynamics, ecological interaction, ecosystem  
59 response, forest ecology, permafrost, Siberia, seasonally thawing soil layer, tree rings,  
60 wildfires, *Larix gmelinii*

## 61 **1. Introduction**

62 Underlying up to 24% of the Northern Hemisphere landmass (Zhang et al., 1999; 2000),  
63 permafrost is an important component of the widespread, circumpolar, boreal forest biome.  
64 Both, soil-forming activities (Ershov 1994, 1995; Gubin and Lupachev, 2008), as well as  
65 water and nutrient supply for plants (Sugimoto et al., 2002; Saurer et al., 2016; Prokushkin et  
66 al., 2018), predominantly depend on thaw-freeze processes of the upper permafrost layer.  
67 Generally operating at large spatial and temporal scales, a multitude of effects of ongoing  
68 global climate change have been reported for the behavior of different components of the  
69 permafrost-sphere (Groose et al., 2016), with their influences likely to increase under  
70 predicted warming (IPCC, 2013). In this regard, far reaching ecological consequences are  
71 expected well-beyond the permafrost body itself (Chadburn et al., 2017; Lawrence and Slater,  
72 2005; Nelson et al., 2001; Schuur et al., 2015), such as changes in the intertwined  
73 hydrological and biogeochemical fluxes that are characteristic for the high northern latitudes  
74 (McGuire et al., 2002; Pokrovsky et al., 2005).

75         Moreover, wildfires are major drivers of forest structure and species composition, thus  
76 influencing the energy exchange, biogeochemistry, hydrology and carbon storage of the  
77 boreal forest (Certini, 2005; Conard and Ivanova, 1997). Although it has been argued that the  
78 frequency and intensity of wildfires will increase under rising temperatures (Kharuk et al.,  
79 2013), it is unclear how fires will, directly or indirectly, contribute to changes in the  
80 seasonally thawing upper permafrost stratum, the so-called active layer (Permafrost  
81 Subcommittee, 1988). Since most of northern Eurasia's permafrost area is covered by  
82 undisturbed larch (*Larix* spp) forests (Abaimov et al., 1997), and wildfires are a natural  
83 component of this boreal ecosystem, it is worthwhile to assess possible fire effects on  
84 permafrost active layer dynamics. This pending task appears particularly relevant to current  
85 debates on the amount of carbon and methane that might be released from melting permafrost  
86 in a warmer future (Anisimov, 2007; Koven et al., 2011; Schaefer et al., 2011; Schuur et al.,  
87 2015). Thus, greenhouse gas fluxes from the cryosphere into the atmosphere may be  
88 sufficiently affected by alterations in the return frequency, severity and spatial extent of  
89 wildfires and their impact on permafrost active layer dynamics.

90         Here, we present the first tree ring-based reconstruction of long-term changes in the  
91 depth of the seasonally thawing upper permafrost stratum that occurred after a massive  
92 wildfire in 1899 killed most of a *Sphagnum* forest-bog ecosystem. Conducted in an  
93 undisturbed, natural forest in Central Siberia, our study aims to test the hypothesis that fire-

94 induced modifications of the depth of the permafrost active layer are directly related to the  
95 rate of change in the insulating vegetation cover, and thus may range from multi-decadal to  
96 centennial time-scales.

97

## 98 **2. Material and Methods**

99 The genus *Larix* is well adapted to the harsh environmental conditions of the widespread  
100 boreal permafrost zone in northern Eurasia. Larch trees are resistant to extremely low and  
101 extended winter temperatures, as well as to late spring and early autumn frosts. Due to the  
102 possibility of producing adventitious roots (Cooper, 2011; Sukachev, 1912), larch trees are  
103 also tolerant to very low soil temperatures and a particularly shallow active permafrost layer  
104 (Abaimov, 2010). This phenomenon is especially well pronounced in *Sphagnum* ecosystems,  
105 in which an extensive moss and peat layer translates into exceptionally high insulation rates of  
106 direct solar radiation, and subsequently cold soil conditions.

107 This study was conducted in an undisturbed (Fig. 1a), Gmelin larch (*Larix gmelinii*  
108 (Rupr.) Rupr.) dominated *Sphagnum* bog in the Kochechum River valley in Central Siberia  
109 (64°19'30''N, 100°14'53''E, and 147 m asl). Located within the continuous permafrost zone,  
110 the region is characterized by a severe continental climate. Based on meteorological  
111 measurements from the nearby instrumental station in Tura that operates since 1929, mean  
112 annual temperature is -8.9° C, with the warmest (+16.6° C) and coldest (-35.9° C) monthly  
113 means mainly occurring in July and January, respectively. The average amount of annual  
114 precipitation is 357 mm, and the growing season is generally restricted to ~70-90 days  
115 between the end of May and the beginning of September (Bryukhanova et al., 2013; Shishov  
116 et al., 2016).

117 Dendroecological standard techniques were used to reconstruct the fire history in this  
118 region (Panyushkina and Arbatskaya, 1999; Kharuk et al., 2005, 2008). Moreover, we follow  
119 Borggreve (1889), who suggested that tree seeds which germinate on the surface of a  
120 *Sphagnum* bog may allow moss growth rates to be estimated. This approach is based on the  
121 fact that *Sphagnum* grows vertically during succession, but a tree's root collar (hypocotil)  
122 remains at the same position at which its seed germinated. The vertically growing *Sphagnum*  
123 thus buries the lower part of a tree stem, which can, in the case of larch, produce adventitious  
124 roots (Cooper, 2011; Kajimoto, 2010; Kajimoto et al., 2003; Sukachev, 1912). Assuming that  
125 seed germination occurred on the surface of a *Sphagnum* mat, tree age at the collar provides

126 precise, annually resolved information of the rate of vertical moss growth (Borggreve, 1889;  
127 Dubakh, 1927; Schulze et al., 2002; Knorre et al., 2003; Prokushkin et al., 2006).

128 For larch growing on permafrost at *Sphagnum*-dominated sites, it was found that tree-  
129 ring formation ceases at different positions along the root and buried in moss stem in different  
130 years (Fig. 2). Here we use data on cambium activity cessation at different locations along the  
131 larch tap roots and stems below the current moss surface to reconstruct the dynamics of active  
132 soil layer thickness.

133 Ten and five larch trees between 0.6-3.0 m high were sampled in 2002 and 2005,  
134 respectively. The moss-buried, belowground stem parts were entirely excavated (Fig. 1b),  
135 before being transported to the laboratory at the Sukachev Institute of Forest SB RAS in  
136 Krasnoyarsk. For each tree, a total of 4-11 discs were cut along the buried stem section from  
137 the current surface of the moss layer (i.e. 0 cm for each individual) down to the level of the  
138 root collar (e.g. between 27 and 45 cm depending on individual trees). For each disc sample,  
139 ring widths were measured along the two longest, undisturbed and continuous radii using a  
140 LINTAB measuring system (RINNTECH e.K., Heidelberg, Germany). The disc-specific ring  
141 width series were visually cross-dated and then averaged in TSAP-win (Rinn, 2003). The  
142 resulting disc chronologies were further cross-dated between discs from different positions of  
143 the same tree. The cross-dated ring width chronologies were then used to define the calendar  
144 year of the first, oldest (innermost) and last, youngest (outermost) tree ring at each sample  
145 depth of the belowground “stem section. The calendar year of the innermost ring at the root  
146 collar was considered the year of tree establishment, whereas the year of the outermost ring  
147 referred to the year when cambium activity ceased at this particular stem position. Due to  
148 heavily suppressed wood, the outermost rings of three out of 77 discs could not be accurately  
149 cross-dated and were therefore excluded from any further analysis.

150 To test the hypothesis of a thermal-induced cessation of cambial activity within the  
151 belowground part of a tree, a set of waterproof sensors S-TMB-M002 (Onset Computer  
152 Corporation, Bourne, MA, USA) were installed to measure temperatures at 5, 10, 20 and 40  
153 cm soil/stem depth below the *Sphagnum* upper surface. All sensors were connected to a  
154 HOBO Micro Station Data Logger H21-002 (Onset Computer Corporation, Bourne, MA,  
155 USA) that recorded mean hourly temperature at each depth from the end of the 2007 growing  
156 season until the end of the 2008 growing season. Data were then averaged to represent daily  
157 temperature means at each of the depths.

158 To reconstruct the post-fire dynamics of active soil layer thickness, we complement  
159 our data with the measurements of seasonal upper permafrost layer thaw depth for a sequence  
160 of sites affected by wildfires in 2005, 1990, 1994, 1981 and 1947, as well as several control  
161 sites nearby that were not affected by fire for at least 150 years. These additional  
162 measurements were conducted between mid-July and mid-August 2005, i.e. still before the  
163 maximum upper permafrost thaw that usually occurs in September.

164

### 165 **3. Results and Discussion**

166 Killing almost all trees, as well as the entire understory vegetation, including the extensive  
167 moss layer and large parts of the organic upper soil horizon, the last major wildfire devastated  
168 the study site in 1899 AD.

169 The regeneration rate of larch trees was particularly high during the first decades after  
170 wildfire, because of a favorable soil temperature regime and, most probably, a lack of  
171 competition for the new seedlings since ground vegetation had been completely removed by  
172 wildfire. The vast majority of trees germinated within the first 10 years after the fire (50%)  
173 and all of the larch seedlings established within the first 34 years between 1900 and 1932 AD.  
174 The age of the individual larches that were sampled thus varies from 71-103 years, with a  
175 mean of 91 years ( $\pm 9.4$  years standard deviation). As a direct consequence of the post-fire  
176 reforestation that coincided with the expansion and vertical growth of *Sphagnum*, the root  
177 collars of the sampled trees are now buried under a 20-45 cm thick moss layer. The mean root  
178 collar depth is 32.5 cm ( $\pm 6.5$  cm).

179 Recovery of ground vegetation reduced the depth of the permafrost active layer and  
180 sealing the roots and stems in permafrost leads to cessation of cambial withering away. Since  
181 the outermost tree ring of each tree disc refers to the year in which cambial activity stopped,  
182 we found a positive linear relationship between cessation and moss-peat layer thickness with  
183 the upper levels of the buried stem dying later (Fig. 2). The average difference in calendar  
184 years of formation of the last (outermost) tree-rings at the uppermost disk (collected from the  
185 current surface of moss layer) and the root collar was 35.6 ( $\pm 13.1$ ) years and ranged from 6  
186 (for a tree established in 1932) to 58 years (for a tree established in 1900). In general,  
187 belowground stem parts at positions closer to the current moss surface on average live longer  
188 than at deeper stem layers (Fig. 2). The duration of cambial activity for stems buried 30-45  
189 cm deep was 24-69 years, compared to 61-97 years of cambial activity at the moss surface (0  
190 cm).

191 The most recent cases of cambial activity cessation are observed in the larch stem  
192 levels currently buried at the depth of 10-15 cm (Fig. 3). Seasonal dynamics of temperature at  
193 different depth of a moss layer (Fig. 4) confirm the predominant role of low temperature as a  
194 triggering factor for this activity cessation. In summer 2008, temperature at the depth of 20  
195 cm reached 2.3°C, the physiological minimum threshold for root growth of frost-tolerant  
196 species (Schenker et al., 2014), just for a few days at the first half of July and never reaches  
197 even 3.0°C. At the depth of 10 cm, temperature becomes >2.3°C on 31 May. However, the  
198 level of 5°C, which is a widely accepted as a low temperature limit for xylogenesis (Rossi et  
199 al., 2007, 2008; Körner 2012) and a threshold for root and shoot growth of *Larix decidua*  
200 Mill. (Häsler et al., 1999), is reached only in the middle of June (14 June 2007). Seasonal  
201 growth analysis data from the region testify that by this date, up to 25% of the final tree-ring  
202 width is already completed and lignification of early wood started (Bryukhanova et al., 2013).  
203 Results of dendroclimatic analysis also confirm that climatic conditions at the very beginning  
204 of growing season are the most important for larch stem growth (Benkova et al., 2015;  
205 Kirdyanov et al., 2013; 2016). Though temperature data for the depth of 15 cm were not  
206 measured, we may conclude that the period when temperature is suitable for tree growth at  
207 this depth is too short and appears late in the season.

208 Our data on tree-rings in buried stems, active layer thickness for a sequence of sites  
209 affected by wildfire in different years and features of *Sphagnum* growth (Prokushkin et al.,  
210 2006) allow reconstruction of changes in seasonally thawing depth of the upper layer of  
211 permafrost and the dynamics of this particular forest-bog ecosystem over the last century (Fig.  
212 5). A forest fire occurred in 1899 and killed most of the larch trees as well as burned the  
213 insulating layer of ground vegetation. As a consequence of removal of the ground vegetation  
214 and the forest canopy, seasonal thawing of permafrost starts earlier in spring and in 1-2 years  
215 after fire the active layer can be up to 1.5-2 m thick in late summer (Abaimov et al., 1997; our  
216 own observations in the region of sites fired in 1980-2005). Rain water, which is not  
217 intercepted by the ground vegetation, supplies additional heat flow from the atmosphere into  
218 the soil. These favorable conditions stimulate successful regeneration of larch (current density  
219 of the tree stand is 5700 trees/ha) and formation of deep rooting systems. Seasonal tree  
220 growth can last from late May till the end of vegetation period (early September) during the  
221 first years after fire. Ground vegetation during this period is mostly presented by separate  
222 patches of *Sphagnum* and other vegetation which extend mostly horizontally and gradually  
223 occupy the area with time. Vertical growth of *Sphagnum* occurs primarily in slight

224 depressions. According to our estimates (Prokushkin et al., 2006) duration of this period is  
225 approximately 20 years (indicated as stage I on Fig. 3). Decomposition of litter is of high  
226 rate during this period due to optimal hydro-thermal conditions and vertical growth rates of  
227 mosses are low.

228 Formation of a continuous ground vegetation layer insulates the soil. Vertical growth  
229 of *Sphagnum* leads to a delay in seasonal permafrost thawing in summer and a gradual  
230 decrease of active soil layer thickness from year to year. Our data suggest that the rising  
231 permafrost table leads to the progressive death of the buried stem as well as adventitious roots  
232 beneath the moss layer. Cambium cessation of buried stems started in the 1950s at a current  
233 depth of ~40 cm. If 20 years are necessary for *Sphagnum* to cover the surface (Prokushkin et  
234 al., 2006), the following 25-30 years for the moss to grow up with the annual rate of 0.5-0.6  
235 cm/year (Prokushkin et al., 2006; Knorre et al., 2006), to form a layer of approx. 15 cm thick  
236 which is enough to start cambium cessation of larch stems at lower levels of peat (period II on  
237 Fig. 3). As peat layer continues to grow up, permafrost is rising and cessation of cambial  
238 activity occurs at higher and higher levels along the buried stems (period III on Fig. 3).

239 Data on Fig. 3 provide the estimation of the rate of post-fire permafrost “rise”, i.e.  
240 decrease of the seasonal soil thaw depth after 1950s. The mean slope of the regression line  
241 (0.52 cm/year) indicate the rate of progressive rise of the buried stem sections with cambial  
242 activity ceased due to permafrost rise (decrease of active soil layer). Our estimate for the rate  
243 of permafrost (0.52 cm/year) is quite in line with the rate of vertical moss growth in the region  
244 (Prokushkin et al., 2006, Knorre et al., 2006). Some difference in the rate of permafrost rise  
245 between the trees (Fig. 3) could be related by the difference in thermo-hydrological conditions  
246 at various elements of micro-topography (mounds and troughs) and variations in density of  
247 the insulating moss cover.

248

## 249 **Conclusion**

250 In this study, we used tree-rings of tap roots and buried in moss lower part of a Gmelin larch  
251 stems to reconstruct the post-fire ecosystem dynamics based on cambial activity cessation  
252 dates in a forested *Sphagnum* bog ecosystem in northern Central Siberia. A thickness of 10-15  
253 cm of the *Sphagnum* layer was found to be crucial for interrupting tree-ring production in  
254 larch roots and buried stem layers. In general, our case study indicates a good efficiency of a  
255 proposed technique for estimating the post-fire permafrost rise as well as reconstruction of a  
256 dynamics of ground cover recovery and soil active layer thickness changes. The reconstructed

257 dynamics of ground cover recovery and soil active layer thickness changes on The effect of  
258 fire on active soil thickness evident for at least six decades that implies a long Further  
259 investigations on root tree-rings in the permafrost zone are needed on a broader scale to get  
260 data on the effect of the current climate changes on the active soil layer thickness coupled  
261 with ecosystem productivity and tree growth in the largest monodominant vegetation belt on  
262 the globe.

263

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270

#### 271 **Author contributions**

272 AVK initiated the study. AAK, ASP and AVK collected the material, AAK measured the  
273 material and prepared the first draft of the manuscript. UB worked on the final version of the  
274 paper with comments from AVK and ASP. All authors provided critical discussion.

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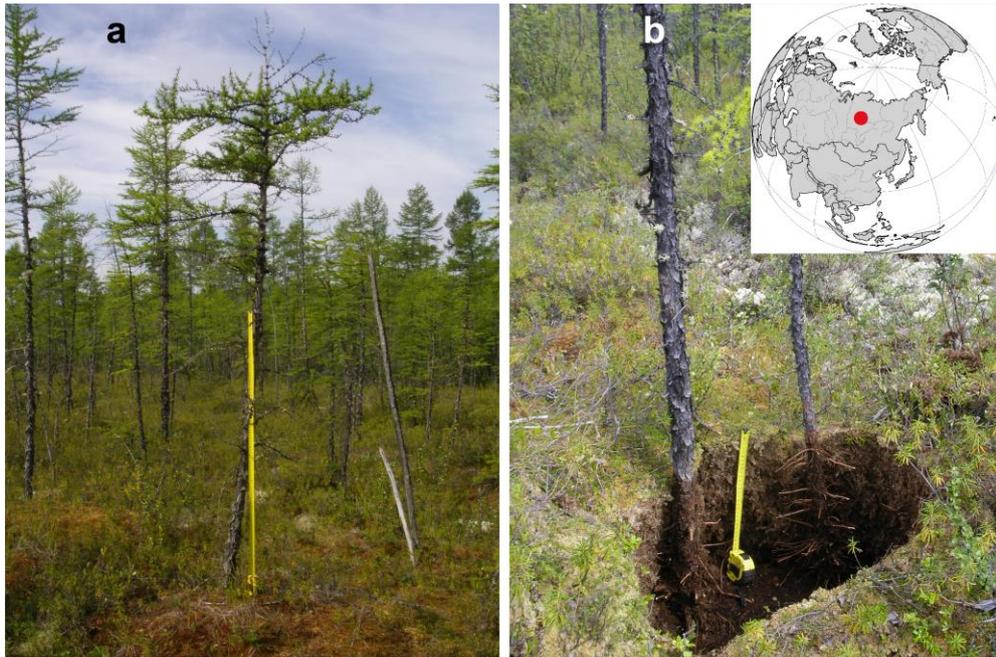
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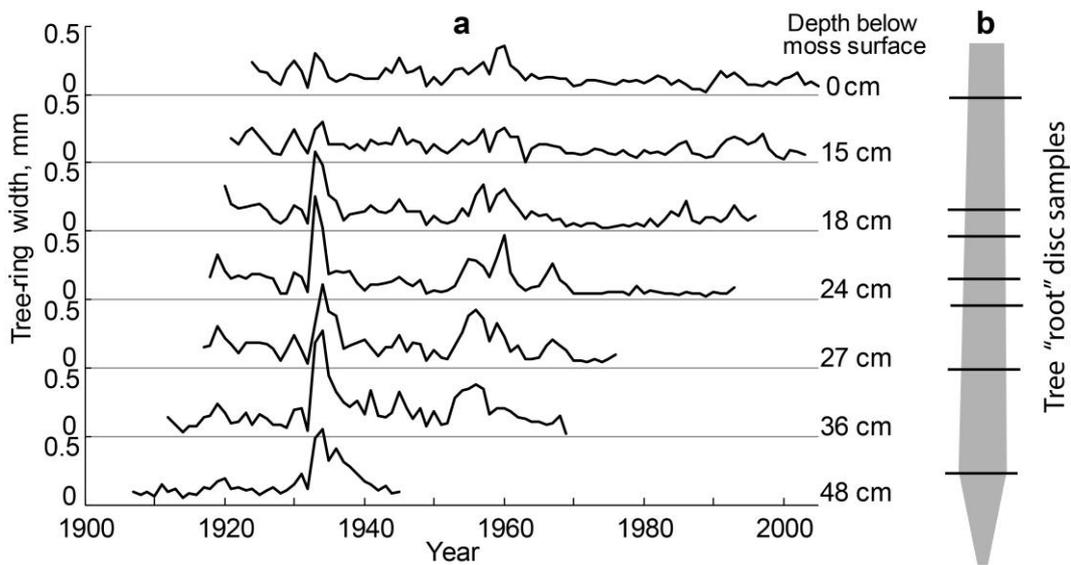
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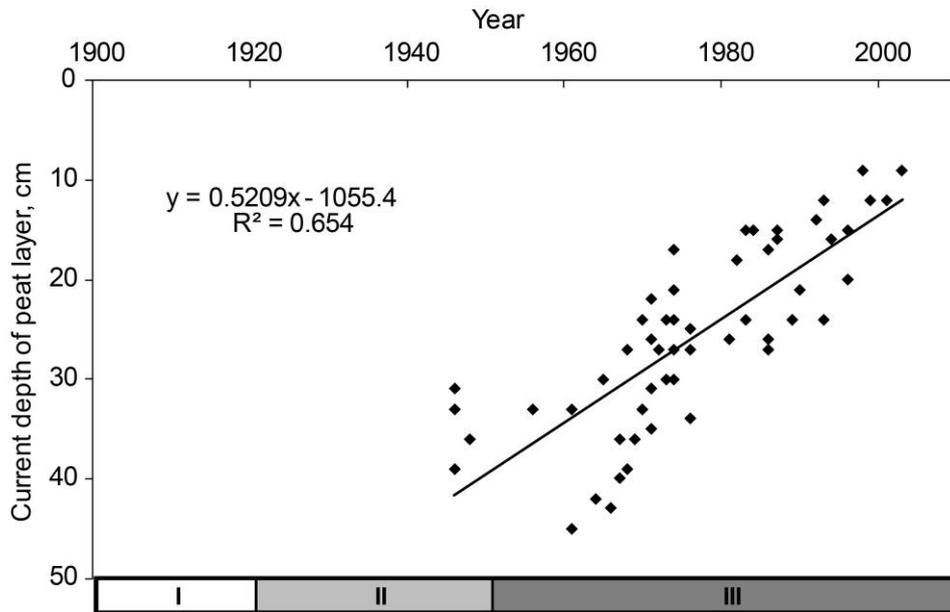
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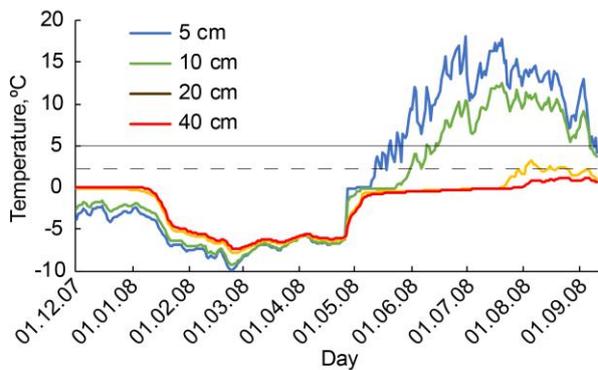
421  
 422 **Figure 1.** (a) Studied larch stand established on a *Sphagnum* bog, (b) sampled trees with  
 423 adventitious roots of larch (cut) and frozen peat layer at the bottom of a ground vegetation  
 424 layer. Insert shows the study site location (red circle).  
 425



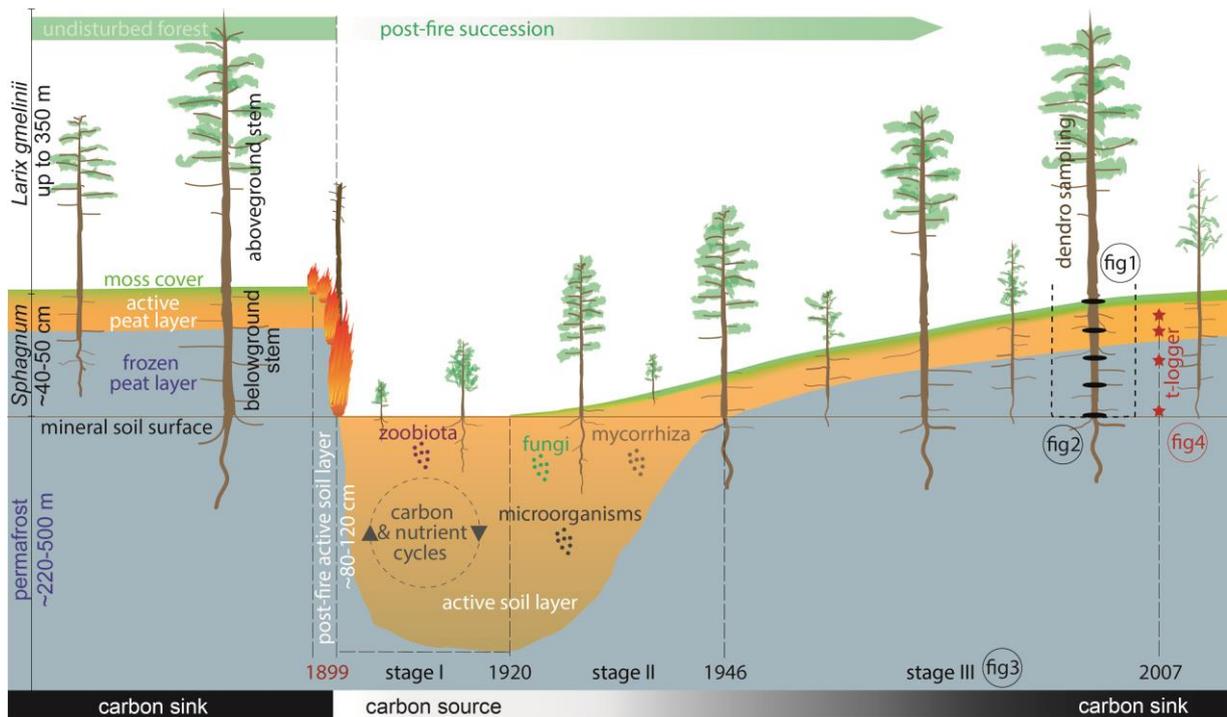
426  
 427 **Figure 2.** (a) Tree-ring width chronologies obtained for tree discs of tree N5 from different  
 428 depths of a moss layer. (b) The serial section technique with the tree disc samples along the  
 429 “root” shown on the panel (a) for the tree N5  
 430



431  
 432 **Figure 3.** The cessation dates of “root” cambium activity of buried in a moss and peat layer  
 433 at different depths. Line presents least square approximation of the permafrost rise rate. I –  
 434 period of increased active layer thickness and “horizontal” distribution of *Sphagnum* when  
 435 insulating moss layer gradually occupies the area, II – period of vertical growth of mosses till  
 436 the height of approx. 15 cm which is crucial to suppress cambial activity of larch below, III –  
 437 period of rising permafrost which follows the moss layer growth. °C  
 438



439  
 440 **Figure 4.** Temperature dynamics at four depths (5, 10, 20 and 40 cm) of a moss-peat layer at  
 441 the studied site. The temperature sensors were installed in late summer 2007. The dashed  
 442 horizontal line indicates the physiological minimum threshold for root growth of frost-tolerant  
 443 species 2.3°C (Schenker et al., 2014) and solid line corresponds to 5°C, a widely accepted low  
 444 temperature limit for xylogenesis (Rossi et al., 2007, 2008; Körner 2012)  
 445  
 446



447  
 448 **Figure 5.** Schematic representation of post-wildfire evolution of a forested bog ecosystem in  
 449 the continuous permafrost zone in Siberia. The diagram shows the main features of the  
 450 studied ecosystem development after fire event in 1899 and some facts about permafrost area  
 451 in the region. It also refers to the sampling design and source of data presented in figures of  
 452 the paper (fig 1-4 in circles).