

1 **Tree ring-based reconstruction of the long-term**
2 **influence of wildfires on permafrost active layer dynamics**
3 **in Central Siberia**

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5 **Running title: Fire effects on permafrost active layer dynamics**

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24 **Highlights**

25 A novel technique based on dating of cambial activity cessation in tree stems buried under
26 moss layer demonstrate a good efficiency for estimating the post-fire permafrost rise as well
27 as reconstruction of a dynamics of ground cover recovery and soil active layer thickness
28 changes.

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30 A thickness of 10-15 cm of the Sphagnum layer was shown to be crucial for interrupting tree-
31 ring production in larch roots and buried stem layers.

32
33 Wildfires exert a long-term effect on active soil layer thickness and forest ecosystems in
34 continuous permafrost zone in northern Eurasia.

35
36 **Abstract**

37 Although it has been recognized that rising temperatures and shifts in the hydrological cycle
38 affect the depth of the seasonally thawing upper permafrost stratum, it remains unclear if and
39 how the frequency and intensity of wildfires and subsequent changes in vegetation cover
40 influence this soil active layer at different spatiotemporal scales. Here, we use ring width
41 measurements of the below-surface stem part of 15 larch trees from a *Sphagnum* bog site in
42 Central Siberia to reconstruct long-term changes in the thickness of the active layer since the
43 last wildfire occurred in 1899. Our novel dendroecological approach reveals a three-step
44 feedback loop between above- and belowground ecosystem components: The thawing upper
45 permafrost stratum increased over the first ~20 years after the fire killed almost all vegetation
46 and thus enhanced the direct atmospheric heat penetration into the upper soil horizon. The
47 slow recovery of the insulating ground vegetation then reversed the process and initiated a
48 gradual decrease of the active layer depth. Due a continuous spatial and vertical thickening of
49 the moss cover during the last decades, the upper permafrost horizon increased by 0.52
50 cm/year. This study, for the first time, demonstrates the strength of annually resolved and
51 absolutely dated tree-ring chronologies to assess the effects of historical wildfires on the
52 functioning and productivity of boreal forest ecosystems at centennial time-scale, and how the
53 complex interaction of above- and belowground components translate into changes in the
54 active permafrost stratum. Our results are also relevant for improving estimates of long-term
55 changes in the terrestrial carbon pool that strongly depend on the ecosystem productivity of
56 the boreal forest.

57

58 *Keywords:* boreal forest, bog, carbon cycle dynamics, ecological interaction, ecosystem
59 response, forest ecology, permafrost, Siberia, seasonally thawing soil layer, tree rings,
60 wildfires, *Larix gmelinii*

61 **1. Introduction**

62 Underlying up to 24% of the Northern Hemisphere landmass (Zhang et al., 1999; 2000),
63 permafrost is an important component of the widespread, circumpolar, boreal forest biome.
64 Both, soil-forming activities (Ershov 1994, 1995; Gubin and Lupachev, 2008), as well as
65 water and nutrient supply for plants (Sugimoto et al., 2002; Saurer et al., 2016; Prokushkin et
66 al., 2018), predominantly depend on thaw-freeze processes of the upper permafrost layer.
67 Generally operating at large spatial and temporal scales, a multitude of effects of ongoing
68 global climate change have been reported for the behavior of different components of the
69 permafrost-sphere (Groose et al., 2016), with their influences likely to increase under
70 predicted warming (IPCC, 2013). In this regard, far reaching ecological consequences are
71 expected well-beyond the permafrost body itself (Chadburn et al., 2017; Lawrence and Slater,
72 2005; Nelson et al., 2001; Schuur et al., 2015), such as changes in the intertwined
73 hydrological and biogeochemical fluxes that are characteristic for the high northern latitudes
74 (McGuire et al., 2002; Pokrovsky et al., 2005).

75 Moreover, wildfires are major drivers of forest structure and species composition, thus
76 influencing the energy exchange, biogeochemistry, hydrology and carbon storage of the
77 boreal forest (Certini, 2005; Conard and Ivanova, 1997). Although it has been argued that the
78 frequency and intensity of wildfires will increase under rising temperatures (Kharuk et al.,
79 2013), it is unclear how fires will, directly or indirectly, contribute to changes in the
80 seasonally thawing upper permafrost stratum, the so-called active layer (Permafrost
81 Subcommittee, 1988). Since most of northern Eurasia's permafrost area is covered by
82 undisturbed larch (*Larix* spp) forests (Abaimov et al., 1997), and wildfires are a natural
83 component of this boreal ecosystem, it is worthwhile to assess possible fire effects on
84 permafrost active layer dynamics. This pending task appears particularly relevant to current
85 debates on the amount of carbon and methane that might be released from melting permafrost
86 in a warmer future (Anisimov, 2007; Koven et al., 2011; Schaefer et al., 2011; Schuur et al.,
87 2015). Thus, greenhouse gas fluxes from the cryosphere into the atmosphere may be
88 sufficiently affected by alterations in the return frequency, severity and spatial extent of
89 wildfires and their impact on permafrost active layer dynamics.

90 Here, we present the first tree ring-based reconstruction of long-term changes in the
91 depth of the seasonally thawing upper permafrost stratum that occurred after a massive
92 wildfire in 1899 killed most of a *Sphagnum* forest-bog ecosystem. Conducted in an
93 undisturbed, natural forest in Central Siberia, our study aims to test the hypothesis that fire-

94 induced modifications of the depth of the permafrost active layer are directly related to the
95 rate of change in the insulating vegetation cover, and thus may range from multi-decadal to
96 centennial time-scales.

97

98 **2. Material and Methods**

99 The genus *Larix* is well adapted to the harsh environmental conditions of the widespread
100 boreal permafrost zone in northern Eurasia. Larch trees are resistant to extremely low and
101 extended winter temperatures, as well as to late spring and early autumn frosts. Due to the
102 possibility of producing adventitious roots (Cooper, 2011; Sukachev, 1912), larch trees are
103 also tolerant to very low soil temperatures and a particularly shallow active permafrost layer
104 (Abaimov, 2010). This phenomenon is especially well pronounced in *Sphagnum* ecosystems,
105 in which an extensive moss and peat layer translates into exceptionally high insulation rates of
106 direct solar radiation, and subsequently cold soil conditions.

107 This study was conducted in an undisturbed (Fig. 1a), Gmelin larch (*Larix gmelinii*
108 (Rupr.) Rupr.) dominated *Sphagnum* bog in the Kochechum River valley in Central Siberia
109 (64°19'30''N, 100°14'53''E, and 147 m asl). Located within the continuous permafrost zone,
110 the region is characterized by a severe continental climate. Based on meteorological
111 measurements from the nearby instrumental station in Tura that operates since 1929, mean
112 annual temperature is -8.9° C, with the warmest (+16.6° C) and coldest (-35.9° C) monthly
113 means mainly occurring in July and January, respectively. The average amount of annual
114 precipitation is 357 mm, and the growing season is generally restricted to ~70-90 days
115 between the end of May and the beginning of September (Bryukhanova et al., 2013; Shishov
116 et al., 2016).

117 Dendroecological standard techniques were used to reconstruct the fire history in this
118 region (Panyushkina and Arbatskaya, 1999; Kharuk et al., 2005, 2008). Moreover, we follow
119 Borggreve (1889), who suggested that tree seeds which germinate on the surface of a
120 *Sphagnum* bog may allow moss growth rates to be estimated. This approach is based on the
121 fact that *Sphagnum* grows vertically during succession, but a tree's root collar (hypocotil)
122 remains at the same position at which its seed germinated. The vertically growing *Sphagnum*
123 thus buries the lower part of a tree stem, which can, in the case of larch, produce adventitious
124 roots (Cooper, 2011; Kajimoto, 2010; Kajimoto et al., 2003; Sukachev, 1912). Assuming that
125 seed germination occurred on the surface of a *Sphagnum* mat, tree age at the collar provides

126 precise, annually resolved information of the rate of vertical moss growth (Borggreve, 1889;
127 Dubakh, 1927; Schulze et al., 2002; Knorre et al., 2003; Prokushkin et al., 2006).

128 For larch growing on permafrost at *Sphagnum*-dominated sites, it was found that tree-
129 ring formation ceases at different positions along the root and buried in moss stem in different
130 years (Fig. 2). Here we use data on cambium activity cessation at different locations along the
131 larch tap roots and stems below the current moss surface to reconstruct the dynamics of active
132 soil layer thickness.

133 Ten and five larch trees between 0.6-3.0 m high were sampled in 2002 and 2005,
134 respectively. The moss-buried, belowground stem parts were entirely excavated (Fig. 1b),
135 before being transported to the laboratory at the Sukachev Institute of Forest SB RAS in
136 Krasnoyarsk. For each tree, a total of 4-11 discs were cut along the buried stem section from
137 the current surface of the moss layer (i.e. 0 cm for each individual) down to the level of the
138 root collar (e.g. between 27 and 45 cm depending on individual trees). For each disc sample,
139 ring widths were measured along the two longest, undisturbed and continuous radii using a
140 LINTAB measuring system (RINNTECH e.K., Heidelberg, Germany). The disc-specific ring
141 width series were visually cross-dated and then averaged in TSAP-win (Rinn, 2003). The
142 resulting disc chronologies were further cross-dated between discs from different positions of
143 the same tree. The cross-dated ring width chronologies were then used to define the calendar
144 year of the first, oldest (innermost) and last, youngest (outermost) tree ring at each sample
145 depth of the belowground “stem section. The calendar year of the innermost ring at the root
146 collar was considered the year of tree establishment, whereas the year of the outermost ring
147 referred to the year when cambium activity ceased at this particular stem position. Due to
148 heavily suppressed wood, the outermost rings of three out of 77 discs could not be accurately
149 cross-dated and were therefore excluded from any further analysis.

150 To test the hypothesis of a thermal-induced cessation of cambial activity within the
151 belowground part of a tree, a set of waterproof sensors S-TMB-M002 (Onset Computer
152 Corporation, Bourne, MA, USA) were installed to measure temperatures at 5, 10, 20 and 40
153 cm soil/stem depth below the *Sphagnum* upper surface. All sensors were connected to a
154 HOBO Micro Station Data Logger H21-002 (Onset Computer Corporation, Bourne, MA,
155 USA) that recorded mean hourly temperature at each depth from the end of the 2007 growing
156 season until the end of the 2008 growing season. Data were then averaged to represent daily
157 temperature means at each of the depths.

158 To reconstruct the post-fire dynamics of active soil layer thickness, we complement
159 our data with the measurements of seasonal upper permafrost layer thaw depth for a sequence
160 of sites affected by wildfires in 2005, 1990, 1994, 1981 and 1947, as well as several control
161 sites nearby that were not affected by fire for at least 150 years. These additional
162 measurements were conducted between mid-July and mid-August 2005, i.e. still before the
163 maximum upper permafrost thaw that usually occurs in September.

164

165 **3. Results and Discussion**

166 Killing almost all trees, as well as the entire understory vegetation, including the extensive
167 moss layer and large parts of the organic upper soil horizon, the last major wildfire devastated
168 the study site in 1899 AD.

169 The regeneration rate of larch trees was particularly high during the first decades after
170 wildfire, because of a favorable soil temperature regime and, most probably, a lack of
171 competition for the new seedlings since ground vegetation had been completely removed by
172 wildfire. The vast majority of trees germinated within the first 10 years after the fire (50%)
173 and all of the larch seedlings established within the first 34 years between 1900 and 1932 AD.
174 The age of the individual larches that were sampled thus varies from 71-103 years, with a
175 mean of 91 years (± 9.4 years standard deviation). As a direct consequence of the post-fire
176 reforestation that coincided with the expansion and vertical growth of *Sphagnum*, the root
177 collars of the sampled trees are now buried under a 20-45 cm thick moss layer. The mean root
178 collar depth is 32.5 cm (± 6.5 cm).

179 Recovery of ground vegetation reduced the depth of the permafrost active layer and
180 sealing the roots and stems in permafrost leads to cessation of cambial withering away. Since
181 the outermost tree ring of each tree disc refers to the year in which cambial activity stopped,
182 we found a positive linear relationship between cessation and moss-peat layer thickness with
183 the upper levels of the buried stem dying later (Fig. 2). The average difference in calendar
184 years of formation of the last (outermost) tree-rings at the uppermost disk (collected from the
185 current surface of moss layer) and the root collar was 35.6 (± 13.1) years and ranged from 6
186 (for a tree established in 1932) to 58 years (for a tree established in 1900). In general,
187 belowground stem parts at positions closer to the current moss surface on average live longer
188 than at deeper stem layers (Fig. 2). The duration of cambial activity for stems buried 30-45
189 cm deep was 24-69 years, compared to 61-97 years of cambial activity at the moss surface (0
190 cm).

191 The most recent cases of cambial activity cessation are observed in the larch stem
192 levels currently buried at the depth of 10-15 cm (Fig. 3). Seasonal dynamics of temperature at
193 different depth of a moss layer (Fig. 4) confirm the predominant role of low temperature as a
194 triggering factor for this activity cessation. In summer 2008, temperature at the depth of 20
195 cm reached 2.3°C, the physiological minimum threshold for root growth of frost-tolerant
196 species (Schenker et al., 2014), just for a few days at the first half of July and never reaches
197 even 3.0°C. At the depth of 10 cm, temperature becomes >2.3°C on 31 May. However, the
198 level of 5°C, which is a widely accepted as a low temperature limit for xylogenesis (Rossi et
199 al., 2007, 2008; Körner 2012) and a threshold for root and shoot growth of *Larix decidua*
200 Mill. (Häsler et al., 1999), is reached only in the middle of June (14 June 2007). Seasonal
201 growth analysis data from the region testify that by this date, up to 25% of the final tree-ring
202 width is already completed and lignification of early wood started (Bryukhanova et al., 2013).
203 Results of dendroclimatic analysis also confirm that climatic conditions at the very beginning
204 of growing season are the most important for larch stem growth (Benkova et al., 2015;
205 Kirdyanov et al., 2013; 2016). Though temperature data for the depth of 15 cm were not
206 measured, we may conclude that the period when temperature is suitable for tree growth at
207 this depth is too short and appears late in the season.

208 Our data on tree-rings in buried stems, active layer thickness for a sequence of sites
209 affected by wildfire in different years and features of *Sphagnum* growth (Prokushkin et al.,
210 2006) allow reconstruction of changes in seasonally thawing depth of the upper layer of
211 permafrost and the dynamics of this particular forest-bog ecosystem over the last century (Fig.
212 5). A forest fire occurred in 1899 and killed most of the larch trees as well as burned the
213 insulating layer of ground vegetation. As a consequence of removal of the ground vegetation
214 and the forest canopy, seasonal thawing of permafrost starts earlier in spring and in 1-2 years
215 after fire the active layer can be up to 1.5-2 m thick in late summer (Abaimov et al., 1997; our
216 own observations in the region of sites fired in 1980-2005). Rain water, which is not
217 intercepted by the ground vegetation, supplies additional heat flow from the atmosphere into
218 the soil. These favorable conditions stimulate successful regeneration of larch (current density
219 of the tree stand is 5700 trees/ha) and formation of deep rooting systems. Seasonal tree
220 growth can last from late May till the end of vegetation period (early September) during the
221 first years after fire. Ground vegetation during this period is mostly presented by separate
222 patches of *Sphagnum* and other vegetation which extend mostly horizontally and gradually
223 occupy the area with time. Vertical growth of *Sphagnum* occurs primarily in slight

224 depressions. According to our estimates (Prokushkin et al., 2006) duration of this period is
225 approximately 20 years (indicated as stage I on Fig. 3). Decomposition of litter is of high
226 rate during this period due to optimal hydro-thermal conditions and vertical growth rates of
227 mosses are low.

228 Formation of a continuous ground vegetation layer insulates the soil. Vertical growth
229 of *Sphagnum* leads to a delay in seasonal permafrost thawing in summer and a gradual
230 decrease of active soil layer thickness from year to year. Our data suggest that the rising
231 permafrost table leads to the progressive death of the buried stem as well as adventitious roots
232 beneath the moss layer. Cambium cessation of buried stems started in the 1950s at a current
233 depth of ~40 cm. If 20 years are necessary for *Sphagnum* to cover the surface (Prokushkin et
234 al., 2006), the following 25-30 years for the moss to grow up with the annual rate of 0.5-0.6
235 cm/year (Prokushkin et al., 2006; Knorre et al., 2006), to form a layer of approx. 15 cm thick
236 which is enough to start cambium cessation of larch stems at lower levels of peat (period II on
237 Fig. 3). As peat layer continues to grow up, permafrost is rising and cessation of cambial
238 activity occurs at higher and higher levels along the buried stems (period III on Fig. 3).

239 Data on Fig. 3 provide the estimation of the rate of post-fire permafrost “rise”, i.e.
240 decrease of the seasonal soil thaw depth after 1950s. The mean slope of the regression line
241 (0.52 cm/year) indicate the rate of progressive rise of the buried stem sections with cambial
242 activity ceased due to permafrost rise (decrease of active soil layer). Our estimate for the rate
243 of permafrost (0.52 cm/year) is quite in line with the rate of vertical moss growth in the region
244 (Prokushkin et al., 2006, Knorre et al., 2006). Some difference in the rate of permafrost rise
245 between the trees (Fig. 3) could be related by the difference in thermo-hydrological conditions
246 at various elements of micro-topography (mounds and troughs) and variations in density of
247 the insulating moss cover.

248

249 **Conclusion**

250 In this study, we used tree-rings of tap roots and buried in moss lower part of a Gmelin larch
251 stems to reconstruct the post-fire ecosystem dynamics based on cambial activity cessation
252 dates in a forested *Sphagnum* bog ecosystem in northern Central Siberia. A thickness of 10-15
253 cm of the *Sphagnum* layer was found to be crucial for interrupting tree-ring production in
254 larch roots and buried stem layers. In general, our case study indicates a good efficiency of a
255 proposed technique for estimating the post-fire permafrost rise as well as reconstruction of a
256 dynamics of ground cover recovery and soil active layer thickness changes. The reconstructed

257 dynamics of ground cover recovery and soil active layer thickness changes on The effect of
258 fire on active soil thickness evident for at least six decades that implies a long Further
259 investigations on root tree-rings in the permafrost zone are needed on a broader scale to get
260 data on the effect of the current climate changes on the active soil layer thickness coupled
261 with ecosystem productivity and tree growth in the largest monodominant vegetation belt on
262 the globe.

263

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270

271 **Author contributions**

272 AVK initiated the study. AAK, ASP and AVK collected the material, AAK measured the
273 material and prepared the first draft of the manuscript. UB worked on the final version of the
274 paper with comments from AVK and ASP. All authors provided critical discussion.

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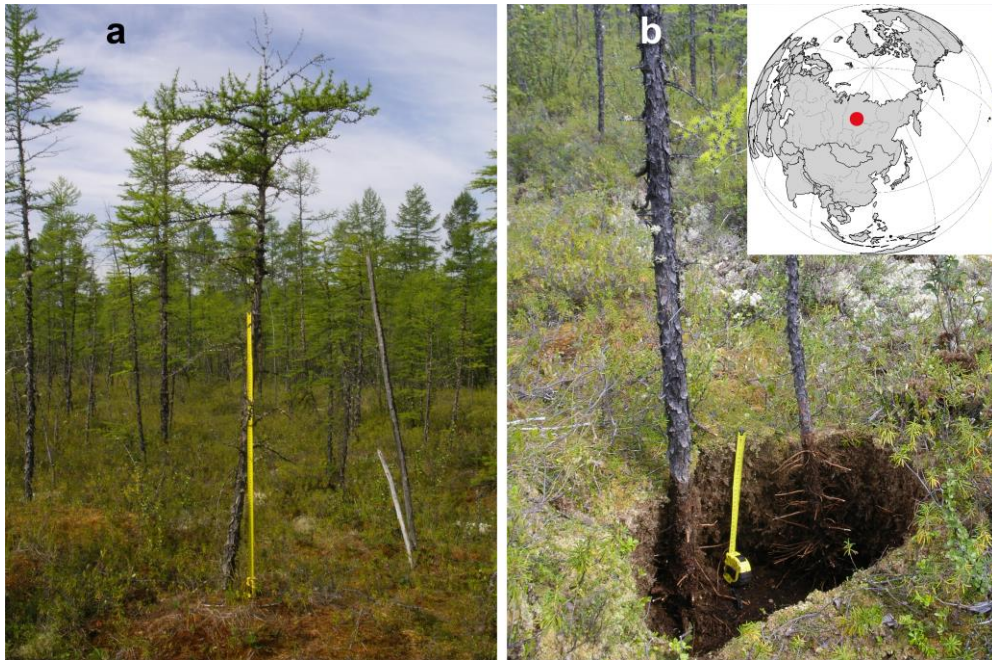
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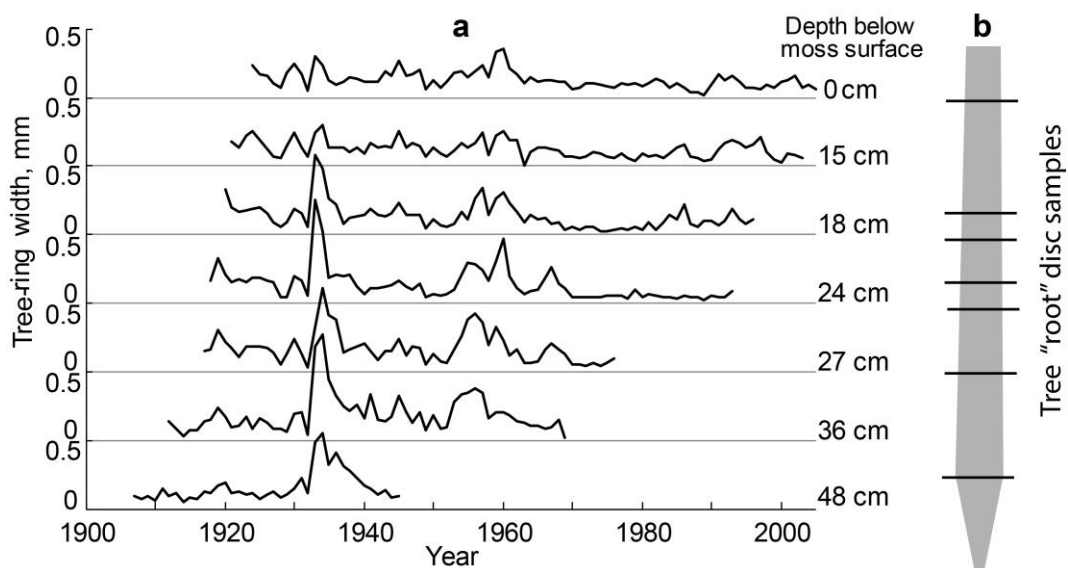
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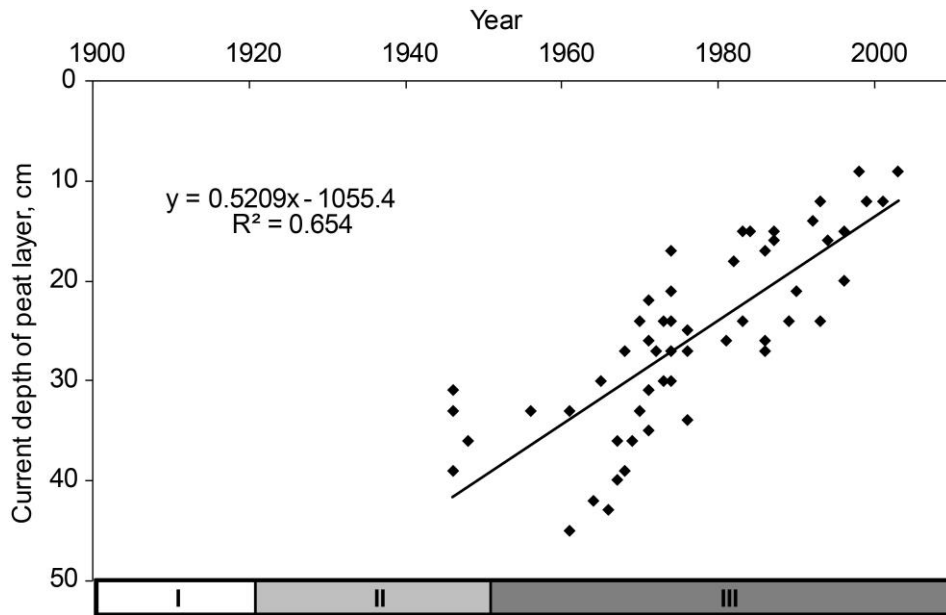
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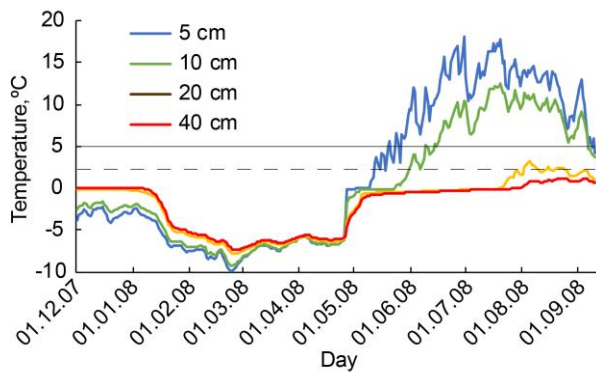
421
 422 **Figure 1.** (a) Studied larch stand established on a *Sphagnum* bog, (b) sampled trees with
 423 adventitious roots of larch (cut) and frozen peat layer at the bottom of a ground vegetation
 424 layer. Insert shows the study site location (red circle).
 425



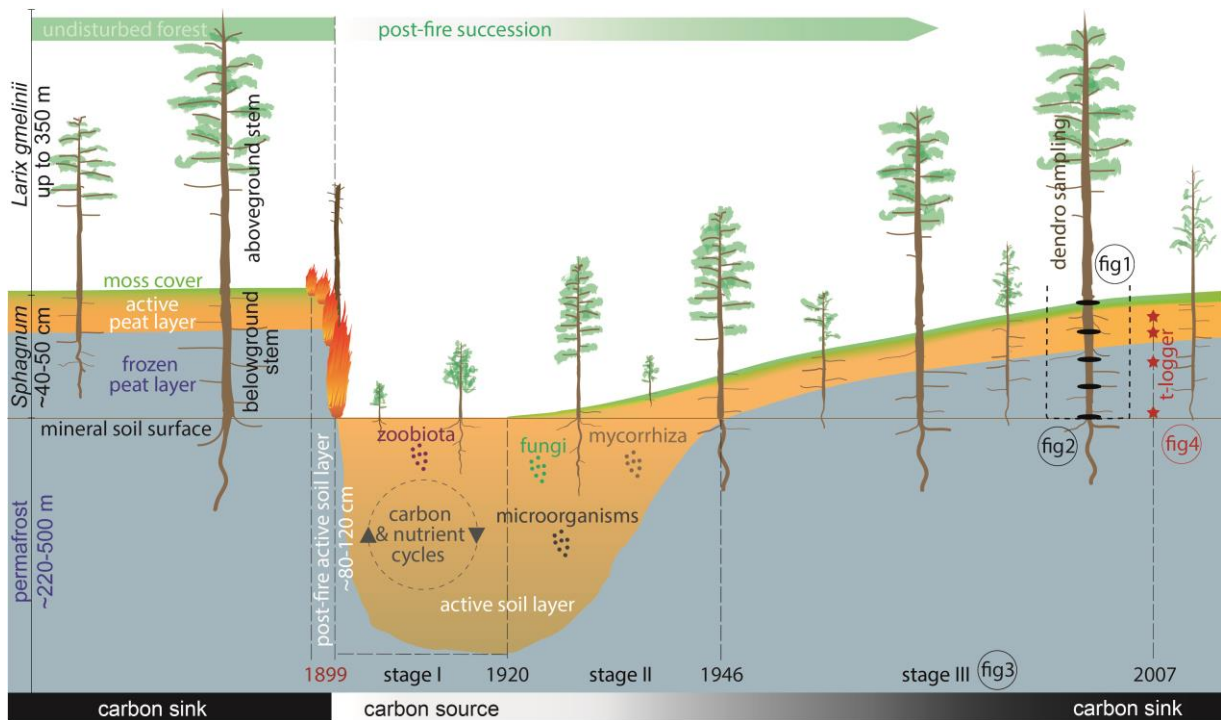
426
 427 **Figure 2.** (a) Tree-ring width chronologies obtained for tree discs of tree N5 from different
 428 depths of a moss layer. (b) The serial section technique with the tree disc samples along the
 429 “root” shown on the panel (a) for the tree N5
 430



431
 432 **Figure 3.** The cessation dates of “root” cambium activity of buried in a moss and peat layer
 433 at different depths. Line presents least square approximation of the permafrost rise rate. I –
 434 period of increased active layer thickness and “horizontal” distribution of *Sphagnum* when
 435 insulating moss layer gradually occupies the area, II – period of vertical growth of mosses till
 436 the height of approx. 15 cm which is crucial to suppress cambial activity of larch below, III –
 437 period of rising permafrost which follows the moss layer growth. °C
 438



439
 440 **Figure 4.** Temperature dynamics at four depths (5, 10, 20 and 40 cm) of a moss-peat layer at
 441 the studied site. The temperature sensors were installed in late summer 2007. The dashed
 442 horizontal line indicates the physiological minimum threshold for root growth of frost-tolerant
 443 species 2.3°C (Schenker et al., 2014) and solid line corresponds to 5°C, a widely accepted low
 444 temperature limit for xylogenesis (Rossi et al., 2007, 2008; Körner 2012)
 445
 446



447
 448 **Figure 5.** Schematic representation of post-wildfire evolution of a forested bog ecosystem in
 449 the continuous permafrost zone in Siberia. The diagram shows the main features of the
 450 studied ecosystem development after fire event in 1899 and some facts about permafrost area
 451 in the region. It also refers to the sampling design and source of data presented in figures of
 452 the paper (fig 1-4 in circles).