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Structures of the nematic and cholesteric layers with tangential-conical boundary conditions

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Abstract: Orientational structures formed in nematic and cholesteric layers with tangential-conical boundary conditions are investigated. LC cells with one substrate specifying the conical surface anchoring and another substrate specifying the tangential one were considered. Director configurations and topological defects have been identified analyzing the texture patterns obtained by polarizing microscope in comparison with the structures and optical textures calculated by free energy minimization procedure of director eld and finite-difference time-domain method, respectively. The domains, periodic structures and two-dimensional defects, which are specific only for LC cells with tangential-conical anchoring, are studied depending on the layer thickness and cholesteric pitch.

Keywords: liquid crystal; cholesteric; nematic; conical boundary conditions; orientational structure; director configuration; topological defect

1. Introduction

Cholesteric liquid crystals (CLCs) are characterized by the helicoidal structure of director \mathbf{n} (the unit vector indicating the preferred orientation of the long axes of liquid crystal (LC) molecules). These media have unique structural and optical properties [1]. CLCs can be used in various applications such as electro-optical devices with memory effect [2,3], quantum generation of light [4,5], switchable diffraction gratings [6–10], optical rotators [11], formation of colloidal systems with periodic distribution of particles [12], etc. The applications require various stable and metastable orientational structures depending on the boundary conditions, LC material parameters, ratio between LC layer thickness d and cholesteric pitch p , external factors [1,13–15]. For example, the homeotropic director configuration is formed in the cells at normal CLC anchoring with substrates and confinement ratio $d/p < K_{33}/2K_{22}$, where K_{22} , K_{33} are the twist and bend elastic constants, respectively [16–18]. The electric field applied to such cells causes the formation of bubble or elongated domains if the cholesteric pitch is slightly larger than the thickness of LC layer [19,20]. Besides, the topological soliton-like structures can be obtained using laser radiation [21,22] or the thermal quenching process [23]. At tangential anchoring of CLC with substrates, the Grandjean planar texture or domain structures are formed [3,13,24,25]. The structure with a periodic director modulation can be obtained in LC cells with certain confinement ratios d/p by application of electric field [7,26]. Under hybrid surface anchoring in the cell (one substrate specifies the tangential boundary conditions and another substrate specifies the normal ones) with confinement ratio $d/p > 1$, the structure of modulated hybrid-aligned cholesteric is formed, which can be controlled by light [9], temperature [10] or electric field [27].

31 The structures of cholesteric with conical boundary conditions have not been well studied yet.
32 Smooth transformations of cholesteric orientational structures induced by the modification of normal
33 surface anchoring to tangential one through formation of tilted or conical boundary conditions have
34 been observed in [28,29]. Modulated structure of cholesteric under tangential surface anchoring
35 at one of substrates and weak conical boundary conditions at the opposite side, which are formed
36 at the interface of CLC and its isotropic phase, have been investigated in [30]. It has been shown
37 that the period of director modulation is equal to the cholesteric pitch and orientation of periodic
38 structure depends linearly on the confinement ratio d/p . At that, the critical d/p value for formation
39 of modulated structure depends on the ratio of elastic constants, and for some CLCs it was less than in
40 the case of normal or hybrid surface anchoring.

41 In present work the nematic and cholesteric structures formed in the LC cells with
42 tangential-conical boundary conditions have been investigated.

43 2. Materials and Methods

44 2.1. Experimental

45 The experiment was carried out with sandwich-like cells consisting of two glass substrates coated
46 with polymer films and the LC layer between them. Bottom substrate was covered by the polyvinyl
47 alcohol (PVA) (Sigma Aldrich) and the top one was covered by the poly(isobutyl methacrylate)
48 (PiBMA) (Sigma Aldrich). The polymer films were deposited on the substrates by spin coating. The
49 PVA film was unidirectionally rubbed while the PiBMA film was not treated after the deposition
50 process. The LC layer thickness d assigned by the glass microspheres was measured by means of the
51 interference method with spectrometer HR4000 (Ocean Optics) before the filling process. The nematic
52 mixture LN-396 (Belarusian State Technological University) and LN-396 doped with the left-handed
53 chiral additive cholesterylacetate (Sigma Aldrich) were used as nematic and cholesteric, respectively.
54 The helical twisting power $HTP = 6.9 \mu\text{m}^{-1}$ of cholesterylacetate in the LN-396 was determined
55 using the Grandjean-Cano method. The cholesteric pitch p of used mixtures was calculated from
56 $p = 1/(HTP \times c_w)$, where c_w is weight concentration of the chiral additive. The LC cells with
57 the confinement ratios d/p of 0.14, 0.28, 0.43, 0.60, 0.78 and 0.88 were investigated by means of the
58 polarizing optical microscope (POM) Axio Imager.A1m (Carl Zeiss).

59 2.2. Computer simulations

60 The nematic orientational structure inside the domain was calculated using the free energy
61 minimization procedure of director field described in detail in ref. [31]. The domain wall orientational
62 structure was analytically interpolated between the neighbouring domain structures. The values of $\theta(x)$
63 and/or $\varphi(x)$ were asymptotically reversed approaching the domain bulk value with multiplication by
64 $\tan^{-1}(4x/L) \cdot 2/\pi$, where L is a characteristic wall thickness.

65 The optical properties of LC structures were calculated by Finite-Difference Time-Domain (FDTD)
66 method. LC structure was illuminated from below by the plane wave with normal incidence along
67 z -axis and polarization perpendicular to the rubbing direction. The plane wave source was located
68 at the bottom boundary of the LC layer. Periodic boundary conditions were applied at the lateral
69 boundaries of the simulation box (along the x and y axes), while the perfectly matched layers (PML)
70 were used on the remaining top and bottom sides. The components of the electric field were calculated
71 at the top boundary of the LC layer. POM images were obtained for the wavelength of incident light
72 $\lambda = 602 \text{ nm}$.

73 3. Results and Discussion

74 3.1. Nematic layer

75 PVA film orients the nematic LN-396 tangentially while PiBMA film specifies the conical boundary
 76 conditions with the tilt angle of director $\theta_d = 50^\circ$ [32–34] and azimuthal degeneration. The azimuthal
 77 degeneration is eliminated by presence of rubbed PVA film on the bottom substrate specifying the
 78 director orientation with azimuthal angle $\varphi_0 = 0^\circ$. As a result, the orientational structure with zero
 79 azimuthal angle of director is formed in the cells including the LC-PiBMA interface (Fig. 1). At that,
 80 two types of domains differing by the tilt angles $+\theta_d$ and $-\theta_d$ on the PiBMA film are observed. The
 81 similar situation was in the LC cell with tangential-conical boundary conditions in the case of formation
 82 of conical surface anchoring at the interface of LC-isotropic phase [35]. Domains are separated from
 83 each other by defect walls which are clearly observed on the optical textures using polarized light
 84 when the polarization of incident light coincides with rubbing direction of PVA film. In the case of
 85 orthogonal orientation of polarizer and rubbing direction of PVA film these defect walls are almost
 86 invisible (see Suppl. Fig.S1). When the LC cell is placed between crossed polarizers the segments of
 87 wall with orientation differing from rubbing direction are clearly observed (Fig. 1a) indicating the
 88 presence of twist deformation of the director. The similar situation was observed in the domain walls
 89 formed by the application of the magnetic field [36].

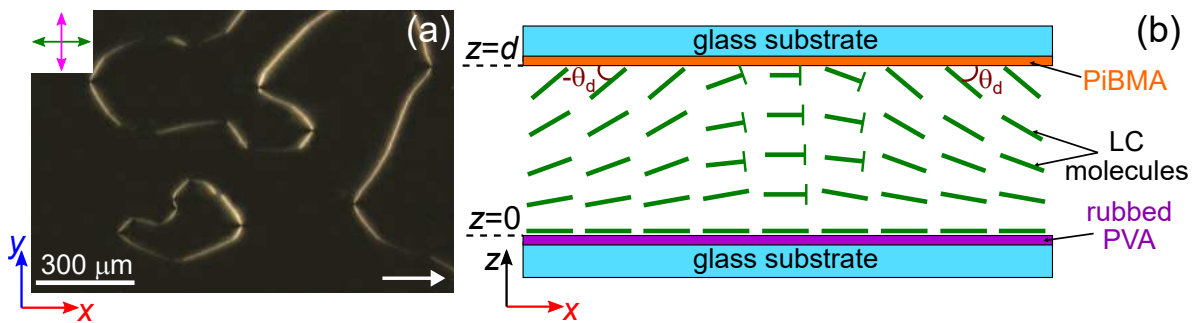


Figure 1. POM photo of LN-396 nematic layer with PiBMA film on the top substrate ($z = d$) and rubbed PVA film on the bottom one ($z = 0$) (a). The scheme of LC orientation in domains with tilt angle of director $+\theta_d$ and $-\theta_d$ on the PiBMA film (b). The LC layer thickness is $d = 21 \mu\text{m}$. Hereinafter, the polarizer and analyzer directions are indicated by the magenta and green double arrows, respectively. The single arrow is the rubbing direction of PVA film.

90 The azimuthal angle of director rotation φ_d on the top substrate covered with PiBMA film can be
 91 measured by means of the analyzer rotation (Fig. 2). If the polarization of incident light is orthogonal to
 92 the director on the entrance substrate covered with PVA film and Mauguin condition is valid then the
 93 darkest areas of optical texture for twisted director configuration correspond to the parallel orientation
 94 of analyzer and director projection on the plane of output substrate covered with PiBMA film. Thus,
 95 the topology of director projection by the area of substrate covered with PiBMA can be determined.

96 The optical textures of nematic layer for different β angles between analyzer and rubbing direction
 97 of PVA film (Fig. 2a-h) and the director orientation on the PiBMA film near the domain wall (Fig. 2i) are
 98 presented in Fig. 2. The analysis by the above-mentioned technique revealed that there is the smooth
 99 azimuthal rotation of director from $\varphi_d = 0$ (far away from the wall) to the value φ_d in the center of the
 100 wall where the director is parallel to its plane. This rotation occurs at the distance of approximately
 101 the LC layer thickness. The domain wall contains the reversing points [37], dividing the segments of
 102 the wall with different director orientation relative to rubbing direction. The direction of azimuthal
 103 rotation (the φ sign) is defined by the condition that the absolute value of azimuthal angle of rotation
 104 on the substrate covered with PiBMA does not exceed $\pi/2$ value.

105 The cross-section of the domain wall is shown in Fig. 3a. The angle between the wall plane and
 106 rubbing direction is 60° . The orientational structure was calculated by the minimization of elastic

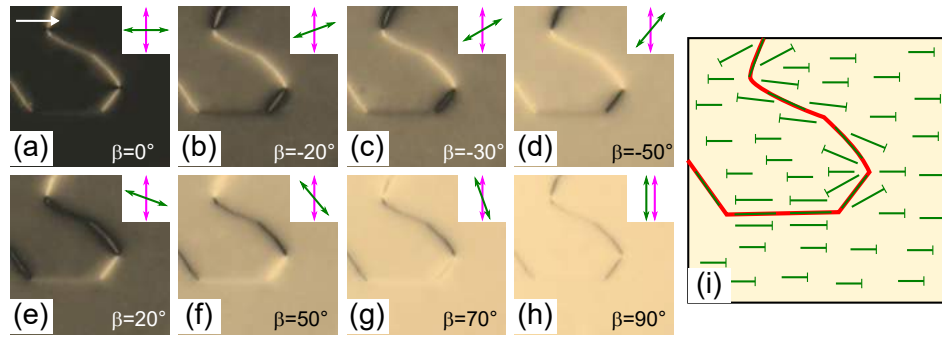


Figure 2. POM photos of sample area presented in Fig. 1. The polarizer is perpendicular to the rubbing direction of PVA film. The β angle between the analyzer and rubbing direction is 0° (a), -20° (b), -30° (c), -50° (d), 20° (e), 50° (f), 70° (g), 90° (h). Corresponding scheme of director orientation at the top substrate covered with PiBMA (i). The domain wall is indicated by the red line.

107 energy and analytical interpolation of director between two neighboring domains. The POM photos of
 108 domain wall segment for the different β angles have been obtained by the FDTD method based on
 109 the calculated orientational structure (Fig. 3b). When the analyzer is parallel to the rubbing direction
 110 ($\beta = 0^\circ$) the darkest area is observed far away from the wall. At the same time, the center of domain
 111 wall is bright. The variation of β angle leads to the appearance of a couple of dark areas (extinction
 112 bands) merging at the center line of the wall at $\beta = -60^\circ$ corresponded to the parallel orientation of
 113 the analyzer and the wall. The director orientation near the domain wall on the substrate with conical
 114 boundary conditions ($z = d$) is shown in Fig. 3c. The calculated data are in a good agreement with
 115 experiment.

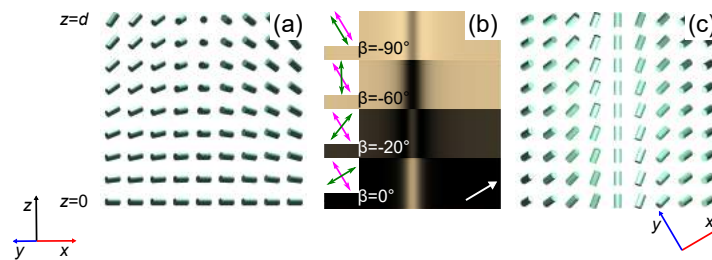


Figure 3. The calculated director configuration of nematic near the domain wall (a). The image of
 segment of domain wall for different β angles between analyzer and rubbing direction obtained by the
 FDTD method (b). The director orientation near the domain wall on the substrate covered with PiBMA
 film (c).

116 3.2. Twisted cholesteric structure

117 In the general case, the Mauguin condition is not valid for the homogeneously twisted structure
 118 of LC. However, the layer thickness of LC and wavelength of incident light can be chosen so that
 119 the Gooch-Terry minimum condition is valid for linearly polarized light passed through the LC cell
 120 [38]. This condition is independent of the rotation angle of director [39]. The twisted structure with
 121 azimuthal angle of director rotation depended on confinement ratio d/p is formed in the cholesteric
 122 cell with tangential-conical boundary conditions (Fig. 4a). The tilt and azimuthal angles of the director
 123 in the cholesteric bulk were calculated by the minimization of elastic energy for three d/p ($d = 6.5 \mu\text{m}$)
 124 values (Fig. 4a). The ellipticity angle ε for polarized light with wavelength $\lambda = 602 \text{ nm}$ was determined
 125 based on these data (Fig. 4b). One can see that Gooch-Terry minimum condition is nearly independent
 126 of confinement ratio d/p as in the case of the homogeneously twisted LC structure. Used LC layer
 127 thickness and wavelength of incident light nearly correspond to Gooch-Terry minimum condition and
 128 the ε value do not exceed 5° after passing the linearly polarized light through the cell with $d/p = 0.14$,
 129 $d/p = 0.28$, $d/p = 0.44$. Thus, the method of analyzer rotation can be used for measuring of azimuthal

130 angle φ_d of director on the top substrate covered with PiBMA. POM photos of samples with LC layer
 131 thickness $d = 6.5 \mu\text{m}$ and confinement ratio $d/p = 0.14$, $d/p = 0.28$ are shown in Fig. 4c-f. One
 132 can see that the monodomains corresponding to the opposite values of tilt angle θ_d in the cell with
 133 confinement ratio $d/p = 0.14$ have the larger size (Fig. 4c,d) than in the nematic LC cells (Fig. 1).
 134 The darkest state of domains is observed for $\beta = 37^\circ$ when the interference filter ($\lambda = 602 \text{ nm}$) is
 135 used (Fig. 4d). Thus, the azimuthal angle of director rotation at the substrate covered with PiBMA
 136 is $\varphi_d \cong 37^\circ$. The monodomain structure is formed in the cell with confinement ratio $d/p = 0.28$
 137 (Fig. 4e,f). The darkest state of domain (for incident light with wavelength $\lambda = 602 \text{ nm}$) is observed
 138 for $\beta = 75^\circ$ and, consequently, $\varphi_d \cong 75^\circ$. Thus, the experimentally measured φ_d values are in a good
 139 agreement with theoretical calculation.

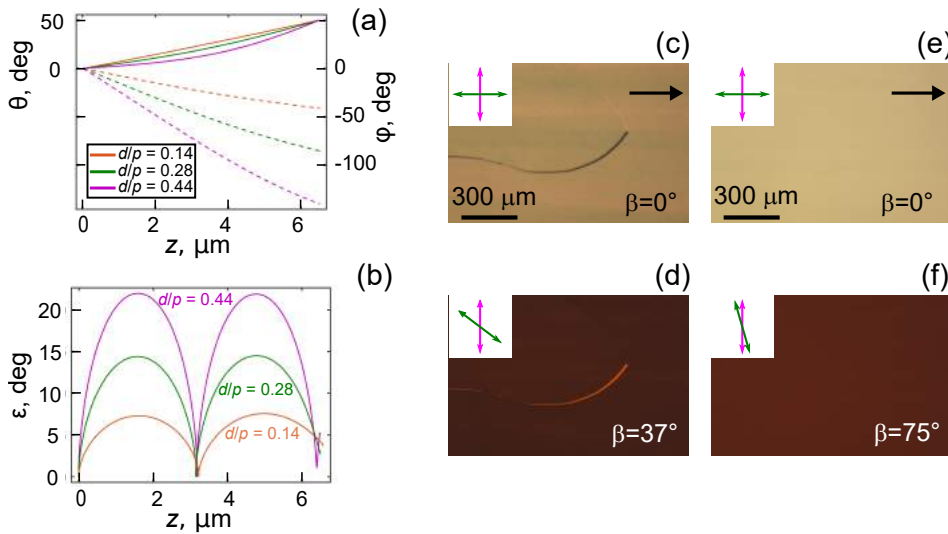


Figure 4. Calculated dependences of tilt angle $\theta(z)$, azimuthal angle $\varphi(z)$ (a) and corresponding dependence of ellipticity angle $\varepsilon(z)$ (b) for samples with $d/p = 0.14$ (orange line), $d/p = 0.28$ (green line) and $d/p = 0.44$ (magenta line). POM photos of cholesteric layer with confinement ratio $d/p = 0.14$ (c), (d) and $d/p = 0.28$ (e), (f). Photos are taken using white light when β angle between analyzer and rubbing direction of PVA film is 0° (c) and (e). Photos are taken using the interference filter ($\lambda = 602 \text{ nm}$) when β angle is 37° (d) and 75° (f). Polarizer is perpendicular to the rubbing direction. LC layer thicknesses are $6.5 \mu\text{m}$.

140 Structure deformations are observed in the LC cell with $d = 6.5 \mu\text{m}$ and confinement ratio $d/p =$
 141 0.44 . In this case, the defects are observed in the form of elongated loops (Fig. 5a) or lines originating
 142 and ending at the cell edges (interfaces LC-air and LC-glue) or the surface defects. Therefore, the defects
 143 number near the edges of LC cell is more than in the central area. They are well observed independently
 144 of the polarization of incident light when the LC cell is placed between crossed polarizers. When
 145 observed the LC cell without analyzer the lines are clearly visible when the polarizer is parallel to the
 146 rubbing direction and the lines are almost invisible in the case of perpendicular polarization of incident
 147 light to the rubbing direction. The orientation of loops and their relative position can be different. At
 148 the same time, there is the twisted director structure between loops and the optical texture has the
 149 darkest state ($\lambda = 602 \text{ nm}$) at $\beta \cong -40^\circ$ (Fig. 5b).

150 At the wavelength of incident light λ is 602 nm the darkest state of optical texture, observed at
 151 $\beta \cong -40^\circ$, corresponds to the azimuthal angle of director rotation $\varphi_d \cong 140^\circ$. A couple of extinction
 152 bands near one of the loop line can be observed (Fig. 5b) by the analyzer rotation. As in the case of
 153 nematic described above the couple of extinction bands are gathered to the defect under the analyzer
 154 rotation. These lines are merged when the analyzer is almost parallel to the considered segment of the
 155 loop. Under further analyzer rotation the similar couple of extinction lines appears near the opposite
 156 segment of the loop and the distance between these lines depends on the β angle (Fig. 5b). Such
 157 orientation of extinction lines indicates that the director is oriented parallel to the line of loop defect on

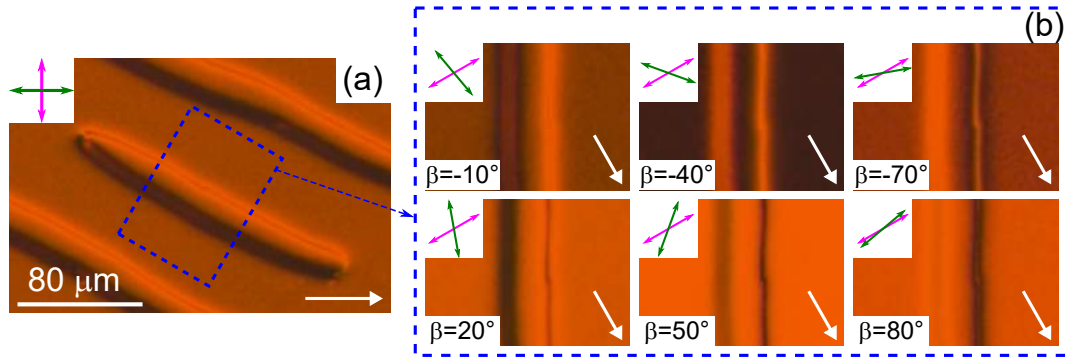


Figure 5. POM photos of cholesteric layer with confinement ratio $d/p = 0.44$ are taken using the interference filter ($\lambda = 602$ nm). The angle β between analyzer and rubbing direction of PVA film is 0° (a). The magnified area of loop at different β angles (b). Polarizer is perpendicular to the rubbing direction. LC layer thickness is $6.5 \mu\text{m}$.

158 the top substrate and $\pm\pi$ azimuthal rotation angle of director occurs between two opposite segments
 159 of the loop. Thus, the director turns by the various azimuthal angle near the defect and it is parallel to
 160 the substrate (tilt angle $\theta_d = 0^\circ$) on the defect line itself. For example, the angle between the loop line
 161 and rubbing direction is approximately 30° (Fig. 5a). Consequently, the rotation angle φ_d is about 210°
 162 on the one loop segment and 30° on the opposite one.

163 The orientation with different angle of director rotation was simulated by the FDTD
 164 method (Fig. 6). The calculation was performed based on the spatial distribution of director in
 165 the cholesteric layer determined by the elastic energy minimization as in case of nematic. The section
 166 of defect loop by the plane perpendicular to the defect lines and oriented at 30° to the rubbing direction
 167 is shown in Fig. 6a. POM images of cholesteric layer with $d/p = 0.44$ for different β angles between
 168 analyzer and rubbing direction were calculated (Fig. 6b). It was revealed that the darkest state of
 169 optical texture corresponds to the $\beta = -40^\circ$ far away from the defect. At the same time, the brightest
 170 optical texture is observed at $\beta = 50^\circ$. The defect line corresponding to the smaller twist angle becomes
 171 dark when the analyzer is parallel to this line, i.e. it is parallel to the director on the top substrate. At
 172 that, the second defect line becomes dark when the angle between analyzer and wall is approximately
 173 10° . It is explained by the fact that the effective refractive index near the walls has the larger value than
 174 that one far away from them. In this case the wavelength of incident light $\lambda = 602$ nm does not satisfy
 175 the Gooch-Terry minimum condition at large azimuthal twist of the director. As a consequence, the
 176 defect line with a large twist angle has the darkest state when the analyzer is not parallel to the director
 177 on the top substrate but this line is appreciably brighter than other sample areas at the minimum
 178 intensity condition of transmitted light. Spatial distribution of director on the substrate with conical
 179 boundary conditions is shown in Fig. 6c. Calculated data are in a good agreement with experimental
 180 results.

181 3.3. Periodic structure

182 Quasi-periodic structure of defects is formed in the LC cell with $d = 6.5 \mu\text{m}$ and confinement
 183 ratio $d/p = 0.44$ at the area close to the cell edge (Fig. 7a). The azimuthal angle of director rotation
 184 φ_d on the defect lines differs by π ($-\pi$) and between them φ_d has intermediate values (see Suppl.
 185 Fig.2). Similar periodic structure is observed through the whole cell area with $d/p = 0.60$ and in the
 186 samples with larger values of confinement ratio (Fig. 7b-d). The period of observed structure is about
 187 $2p$. The periodic structure was formed at similar values of confinement ratios d/p in the LC cells with
 188 tangential-weak conical boundary conditions [30], but the period was close to the cholesteric pitch.
 189 The disturbance of periodicity of two types can be observed in the samples (Fig. 7e). In the first case,
 190 the additional couple of defect lines appears leading to the local increasing of period near the region of
 191 line bending. In this area one defect line with larger azimuthal twist angle is smoothly transformed

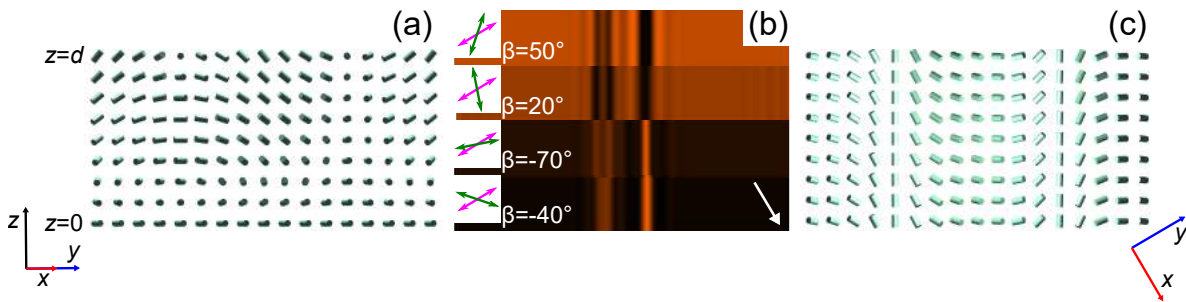


Figure 6. Calculated director configuration in the section perpendicular to the cell substrates and lines of defect (a). Simulated POM image of the area with couple of defects at different values of β angle between the analyzer and rubbing direction (b). The director orientation near the defect on the substrate covered with PiBMA (c). Polarizer is perpendicular to the rubbing direction. LC layer thickness is $6.5 \mu\text{m}$ and confinement ratio is $d/p = 0.44$.

192 into the defect line with a smaller φ_d angle. In the second case, some defect lines are formed and
 193 their orientation differs from the majority of defect lines. For example, some defect lines are oriented
 194 vertically in Fig. 7c. The periodic defect lines have 180° turn near these lines and do not intersect them.
 195 This way the defect line with larger azimuthal angle of director rotation is modified into line with
 196 smaller φ_d angle and vice versa.

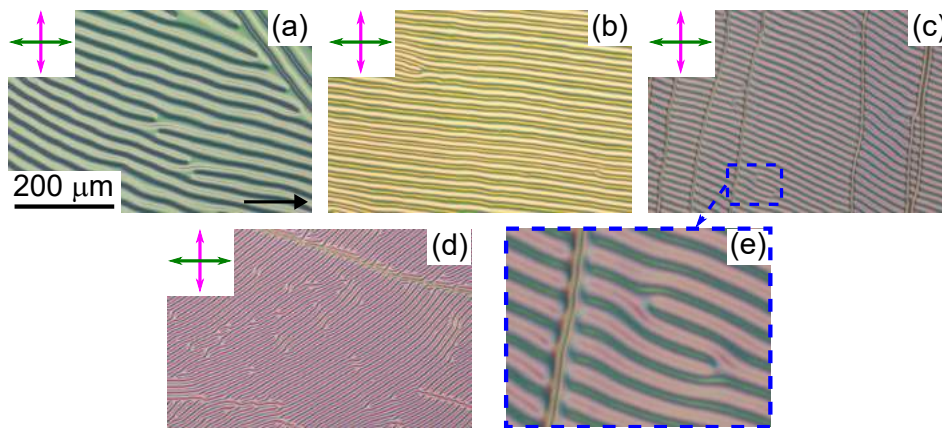


Figure 7. POM photos of cholesteric layer with confinement ratios d/p : 0.44 (a), 0.60 (b), 0.78 (c) and 0.88 (d). The magnified area with two types of defects of periodic structure at $d/p = 0.78$ (e). LC layer thicknesses are $6.5 \mu\text{m}$.

197 It should be noted that the formation of defects and their periodic structure depends not only on
 198 the confinement ratio d/p but also on the value of cholesteric pitch (the thickness of LC layer). For
 199 example, the periodic structure is formed in the LC cell with $d/p = 0.60$ at $d = 6.5 \mu\text{m}$ ($p = 10.5 \mu\text{m}$).
 200 But the twisted structure similar to the structure observed in LC cell with $d = 6.5 \mu\text{m}$ and $d/p = 0.44$
 201 (Fig. 5, Fig. 7a) is formed in the sample with LC layer thickness $d = 13 \mu\text{m}$ ($p = 21 \mu\text{m}$). The twisted
 202 structure with 185° azimuthal angle of director rotation containing a small number of defects is formed
 203 in the LC cell at $d = 21 \mu\text{m}$ ($p = 37 \mu\text{m}$).

204 4. Conclusions

205 The orientational structures of nematic and cholesteric LC with the tangential-conical boundary
 206 conditions have been investigated. The cells with various LC layer thickness d and confinement ratio
 207 d/p have been considered. The domain structure is formed in the nematic LC cells containing the
 208 areas of positive and negative tilt angle of director at the substrate with conical boundary conditions.
 209 The domains are divided by the walls where the tilt angle of director is 0° at both substrates. The
 210 monodomain twisted structure without defects is formed in the cholesteric LC cell with $d = 6.5 \mu\text{m}$

211 and $d/p = 0.28$. At that, the azimuthal angle of director rotation is $\varphi_d = 75^\circ$ at the substrate with
212 conical boundary conditions. Increase of the confinement ratio d/p up to 0.44 leads to the appearance
213 of defects of elongated loop form. These topological defects are characterized by the difference of
214 azimuthal angle of director rotation by $\pm \pi$ at the opposite segments of loop and 0° tilt angle of director
215 at the defect. The periodic structure with a period close to the double cholesteric pitch is formed at
216 $d/p \geq 0.60$ and more. The threshold value of d/p to form the periodic structure is relatively small. At
217 the same time, this confinement ratio depends on the LC layer thickness (cholesteric pitch) in contrast
218 to normal boundary conditions [16–18]. It is probably caused by the formation of two-dimensional
219 defects in the system as well as the features of asymmetric boundary conditions in the cell.

220 **Supplementary Materials:** Figure S1, Figure S2.

221 **Author Contributions:** M.N.K. initiated this study; V.S.S. and M.N.K. performed the experiments and analysed
222 the optical patterns, R.G.B. and I.V.T. performed a simulation of the orientation structures and optical texture,
223 V.Y.Z. supervised the study. All authors wrote and reviewed the manuscript.

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225 **Conflicts of Interest:** The authors declare no conflict of interest.

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