

1 **Limited capacity of tree growth to mitigate the global**  
2 **greenhouse effect under predicted warming**

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4 **Ulf Büntgen<sup>1,2,3</sup>, Paul J. Krusic<sup>1,4</sup>, Alma Piermattei<sup>1</sup>, David A. Coomes<sup>5</sup>, Jan Esper<sup>6</sup>,**  
5 **Vladimir S. Myglan<sup>7</sup>, Alexander V. Kirilyanov<sup>1,8,9</sup>, J. Julio Camarero<sup>10</sup>, Alan**  
6 **Crivellaro<sup>1</sup> & Christian Körner<sup>11</sup>**

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8 <sup>1</sup>Department of Geography, University of Cambridge, Cambridge CB2 3EN, UK. <sup>2</sup>Swiss Federal Research  
9 Institute (WSL), 8903 Birmensdorf, Switzerland. <sup>3</sup>Global Change Research Centre and Masaryk University,  
10 613 00 Brno, Czech Republic. <sup>4</sup>Department of Physical Geography, Stockholm University, 10691  
11 Stockholm, Sweden. <sup>5</sup>Department of Plant Sciences, University of Cambridge, Cambridge CB2 3EA, UK.  
12 <sup>6</sup>Department of Geography, Johannes Gutenberg University, 55099 Mainz, Germany. <sup>7</sup>Institute of  
13 Humanities, Siberian Federal University, 660041 Krasnoyarsk, Russia. <sup>8</sup>Sukachev Institute of Forest SB  
14 RAS, 660036 Krasnoyarsk, Russia. <sup>9</sup>Institute of Ecology and Geography, Siberian Federal University,  
15 660041 Krasnoyarsk, Russia. <sup>10</sup>Instituto Pirenaico de Ecología (IPE-CSIC), 50059 Zaragoza, Spain.  
16 <sup>11</sup>Institute of Botany, University of Basel, 4056 Basel, Switzerland.

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18 Correspondence should be addressed to U.B. (email: [ulf.buentgen@geog.cam.ac.uk](mailto:ulf.buentgen@geog.cam.ac.uk))

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24 **It is generally accepted that animal heartbeat and lifespan are often inversely**  
25 **correlated, however, the relationship between productivity and longevity has not**  
26 **yet been described for trees growing under industrial and pre-industrial climates.**  
27 **Using 1768 annually resolved and absolutely dated ring width measurement series**  
28 **from living and dead conifers that grew in undisturbed, high-elevation sites in the**  
29 **Spanish Pyrenees and the Russian Altai over the past 2000 years, we test the**  
30 **hypothesis of grow fast – die young. We find maximum tree ages are significantly**  
31 **correlated with slow juvenile growth rates. We conclude, the interdependence**  
32 **between higher stem productivity, faster tree turnover, and shorter carbon**  
33 **residence time, reduces the capacity of forest ecosystems to store carbon under a**  
34 **climate warming-induced stimulation of tree growth at policy-relevant timescales.**

35

## 36 **Introduction**

37 Despite a wide range of recent advancements in tree-ring research<sup>1</sup>, including contributions to  
38 (paleo)climatology, ecology, plant physiology and wood anatomy, it is still not clear if tree  
39 longevity depends on slow growth rates, and whether or not this relationship is species-specific,  
40 genetic and/or environmentally controlled. Our lack of understanding has an important bearing on  
41 the current debate about carbon sequestration<sup>2</sup>, carbon residence time<sup>3</sup>, and climate change  
42 mitigation<sup>4-7</sup>. This knowledge gap is disconcerting as faster tree growth under future climate  
43 change is expected to lead to higher forest carbon stocks, thereby contributing to the mitigation of  
44 the anthropogenic greenhouse effect via the biological uptake of carbon dioxide (CO<sub>2</sub>). The  
45 assumption that a climate warming-induced increase of tree growth translates into large-scale  
46 carbon sequestration is a paradigm that has far-reaching political, ecological and economic

47 consequences<sup>8,9</sup>. The concept of negative emission, vis-a-vis secondary forests, has generated  
48 much governmental and institutional action<sup>8,10</sup>; the Bonn Challenge being one example<sup>11</sup>, already  
49 causing a multitude of societal and environmental implications<sup>12</sup>.

50 The putative tradeoff between the rate of tree growth and achieved tree lifespan is complicated  
51 by complex interactions between the composition and density of forest stands and the possibility  
52 that trees can switch their growth strategy once they reach a certain size<sup>13</sup>. Accelerated growth  
53 rates of juvenile trees in dense forest stands permit individual trees to escape from becoming  
54 victims of competitive exclusion, which potentially turns into increased longevity, both within  
55 monocultures and mixed populations<sup>14,15</sup>. In more open forests, such as those of the upper alpine  
56 and northern boreal treeline ecotones, a distinction between interspecific and intraspecific effects  
57 on tree longevity is needed. Though it is generally accepted that fast growing, pioneer tree species  
58 exhibit overall lower wood density and a shorter life expectancy<sup>16</sup>, which jointly translates into a  
59 limited capacity for carbon sequestration, it is still unknown if later successional species growing  
60 under cold and temperate climates could live longer if they grew slower during their late  
61 adolescence and early adult life. Since this seems to be the case for many humid tropical taxa<sup>17,18</sup>,  
62 tree mortality rates are often positively correlated with forest net primary productivity<sup>18</sup>, whereas  
63 our understanding of size-specific tree mortality patterns is challenged by a lack of suitable data<sup>19</sup>.

64 Trees that grow fast beyond their juvenile seedling-sapling stage, commonly exhibit an  
65 accelerated life cycle<sup>20</sup>, whereas slower growing individuals get older and taller<sup>21-23</sup>. This  
66 observation resembles the rate of life concept in animal sciences<sup>24</sup>, in which high metabolic rates  
67 are negatively correlated with longevity. Such a diversity of life histories affects the architecture,  
68 age structure, and biomass turnover rates of forest communities<sup>25</sup>, and thus, to a large degree, the  
69 terrestrial carbon stock<sup>4,9</sup>. On a global scale, the short but fast life of trees is associated with

70 reduced carbon reservoirs (short carbon residence time)<sup>20</sup>. For example, the highly productive  
71 southern and western Amazonian forests store less carbon<sup>26</sup> than their less productive eastern  
72 counterparts<sup>27</sup>, and the same discrepancy is found between temperate and boreal forests<sup>28</sup>. In  
73 tropical Borneo, faster growing forests are found to contain less aboveground carbon density  
74 compared to slower growing sites simply due to differences in carbon residence time<sup>29</sup>. Although  
75 old trees reveal substantial annual biomass increments<sup>22,25,30</sup>, relatively little is known about the  
76 intraspecific tradeoffs between post-juvenile growth rates, plant height and stem diameter, as well  
77 as the lifespan of trees<sup>31</sup>. Are old, high-carbon-stock trees intrinsically slow growers<sup>23</sup>? Though, it  
78 has been argued that the tallest trees are also among the oldest<sup>32</sup>, it is not known whether this  
79 relates to lower growth rates earlier in life. If the size and/or age distribution of forest stands,  
80 together with the occurrence of disturbance events<sup>33</sup>, determines the extent of forest carbon stock,  
81 then the rate of forest carbon turnover (carbon residence time) is of paramount significance for  
82 estimating the long-term net CO<sub>2</sub> capture from the Earth's atmosphere<sup>1-3,34,35</sup>.

83 While the influence of climate change, nutrient availability and rising CO<sub>2</sub> concentrations on  
84 tree growth can be examined experimentally under controlled conditions<sup>34,36</sup>, their influence on  
85 the lifespan of trees cannot. This is where dendroecology can provide unique insights into extra-  
86 tropical, inter-annual tree growth variability at centennial to millennial time-scales<sup>37,38</sup>. However,  
87 dendrochronological candidate collections must fulfill numerous criteria that are commonly not  
88 addressed in traditional dendroclimatological/ecological tree-ring studies<sup>39,40</sup>. To begin with, a  
89 large sample size of several hundreds to thousands of recent (living) and relict (dead) tree stems  
90 cross-sections, ideally consisting largely of disc samples that include the innermost ring, or core  
91 samples with reliable pith-offset estimates, are needed in order to provide sufficient statistical  
92 confidence for precise age and growth rate determinations. In addition, the species- and site-

93 specific inventories of annual tree-ring width measurements, preferably from stem discs, rather  
94 than increment cores, must be characterized by a homogeneous distribution of the constituent  
95 series' start and end dates over past centuries to represent pre-industrial climate conditions. The  
96 samples also need to contain a wide range of individual tree ages and growth levels. Moreover, the  
97 appropriate datasets should represent trees that grow in open environments, where year-to-year  
98 and longer-term ring width variations are largely constrained by growing-season temperatures,  
99 rather than between-tree competition. Finally, the collection sites should be free of silvicultural  
100 treatments, and minimally affected by natural disturbances<sup>33</sup>, such as cyclic insect defoliations  
101 and/or stochastic forest fires.

102 This study provides a conceptual framework to examine the growth-lifespan tradeoff in two  
103 conifer species and uses tree-ring width measurements from living and relict tree stem cross-  
104 sections to address the question: To what extent does the growth rate and lifespan of trees, within  
105 given species population, co-vary?

106

107 **Results**

108 *Conceptual tree growth changes under global warming*

109 To meet the aforementioned criteria, and provide a dendroecological perspective on the  
110 relationship between plant lifespan and radial stem growth, we use annually resolved and  
111 absolutely dated tree-ring information from 1768 conifers that lived during the past two millennia  
112 in the Spanish Pyrenees and the Russian Altai. Due to the sites' remote locations, we assume there  
113 are no direct anthropogenic disturbances affecting tree growth rates (as opposed to possible  
114 indirect affects vis-à-vis climate change). We consider the following three categorical hypotheses  
115 (Figure 1), and acknowledge that reality maybe somewhere in between. H1 is the fixed-age

116 hypothesis that states; if mean maximum tree size is reached early (growth has been accelerated  
117 for whatever reason), trees will wait to die until they reach a certain age. H2 is the bigger  
118 hypothesis that states; faster growing trees will become bigger (taller and greater stem diameter)  
119 within a given lifespan, and will die at a species-specific age. H3 is the fixed-size hypothesis that  
120 states; when trees grow faster, they will die once they reach a certain size, and that timing will  
121 determine the turnover rate. Under H1 and H2 tree growth patterns translate into increasing  
122 landscape-wide carbon stocks (sequestration), while the pattern predicted by H3 does not. An  
123 extension of H3 could include the possibility of the carbon stock being reduced by virtue of all  
124 intermediate responses between H2 and the accelerated turnover hypothesis. Our tests explore the  
125 importance of size versus age control on tree lifespan (H2 versus H3). Since neither the fatal  
126 consequences of great age or size can be expected to possess sharp thresholds, a large sample size  
127 is required to statistically describe tree lifespan (forest demography). Finally, we concede it is  
128 impossible to define explicitly the actual causes of tree mortality, because individuals may die due  
129 to just age or even age-related susceptibility to disturbance factors and/or post-disturbance  
130 pathogens.

131

### 132 ***Grow fast – die young***

133 By analyzing 1108 tree-ring width and basal area increment series from 602 living and 506 dead  
134 Mountain pines (*Pinus uncinata* Ramond ex DC.) in the Spanish Pyrenees<sup>41,42</sup>, and 660 comparable  
135 samples (147 living and 513 relict tree stems) of Siberian larch (*Larix sibirica* Ledeb.) from the  
136 Russian Altai<sup>43</sup> (Figure 2), we reconstruct the total lifespan and juvenile growth rates of trees that  
137 were growing during both industrial and pre-industrial climate conditions (see Methods). All trees  
138 grew under undisturbed and unmanaged, summer temperature limited, high-elevation, climax

139 forests with wide tree spacing in the Pyrenees and the Altai (see Methods). Since the lifespans of  
140 these trees from Europe and inner Eurasia are fairly evenly distributed over the past 1000 and 2000  
141 years, respectively (Supplementary Figure 1), the timing of each tree's juvenile growth period  
142 occurred during different periods of natural climate variability and well before the recent warming.  
143 Most samples from the Pyrenees contain between ~100 and 200 growth rings (Figure 2A), with  
144 mean ring widths between ~0.5 and 1.0 mm, which translates into a mean annual basal area  
145 increment of ~200 to 500 mm<sup>2</sup>. The much flatter age distribution of the Altai samples reveals the  
146 trees there are generally older and have slightly smaller annual increments (Figure 2B). The Altai  
147 mean tree age, ring width and basal area are 355 years, 0.44 mm and 195 mm<sup>2</sup>, respectively.

148       The average ring width and basal area increment in the first 25 years of juvenile growth in the  
149 1108 Pyrenees pine samples shows a clearly negative relationship with total tree lifespan (Figure  
150 3A). Old ages are reached only if juvenile growth is slow. Though less distinct a similar, and  
151 statistically significant, relationship can be seen in the 660 Altai larch samples (Figure 3B). While  
152 the younger trees exhibit a wide range of growth rates, it is evident from both datasets that low  
153 juvenile growth rates are indeed required to reach a great tree age. Considering different periods  
154 of juvenile tree growth between 25 and 75 years, does not change this finding (Supplementary  
155 Table 1). Moreover, the association between increased juvenile stem growth and reduced total tree  
156 age remains statistically significant when calculated separately for the living and relict trees in  
157 both regions (Supplementary Table 1 and Supplementary Figures 2-3).

158

## 159 **Discussion**

160 Based on the evidence of 749 living and 1019 relict tree-ring measurement series, representing  
161 species-specific conifer ring widths over the past 1000 and 2000 years at undisturbed high-

162 elevation sites in the Spanish Pyrenees and Russian Altai, this study suggests that accelerated tree  
163 growth (past, present and future) is unlikely to translate into enhanced carbon sequestration,  
164 thereby mitigating the global greenhouse effect. The data illustrate that increased biomass  
165 productivity leads to reduced tree longevity (Figure 1). A faster turnover of individual trees implies  
166 a shorter carbon residence time from stand to biome scales<sup>2</sup> (in line with H3).

167 By accepting H3 and rejecting H1 and H2 (Figure 1), we see no evidence for a shift in  
168 demography toward higher ages, and thus a greater carbon stock, when trees grow faster. Our data  
169 do support a size, rather than an age, control of tree lifespan and thus stand-level turnover, with  
170 recorded tree age being a consequence, rather than a cause, of death. Could faster growing trees  
171 break the size limit and get larger? This would require anatomical adjustments typically associated  
172 with maximum tree size that provide resilience in the face of the many physical disturbance vectors  
173 that damage trees<sup>44</sup>. Record tree heights found in both angiosperms (*Eucalyptus regnans* F.Muell.;  
174 mountain ash) and gymnosperms (*Sequoia sempervirens* (D.Don) Endl.; coastal redwood), are  
175 believed to relate to apical turgor maintenance<sup>31,45</sup> irrespective of whether trees possess vessels or  
176 just tracheid cells, and explains why such giants are confined to humid areas<sup>46</sup>.

177 Given the data available, our findings are restricted to two conifer species of upper montane  
178 forests and the treeline ecotones, and therefore do not contribute to answering the question of how  
179 drought stress under predicted climate change will affect the functioning, productivity and carbon  
180 stocking of forest ecosystems at lower elevations<sup>47-49</sup>. Future estimates of the amount of stored  
181 carbon in arid environments are particularly challenging, as there is a thin line between drought-  
182 induced reductions of metabolic activity, which would extend the trees' lifespan in line with our  
183 findings and facilitate long-term carbon storage, versus widespread forest dieback that would  
184 convert a carbon sink into a source, similar to what happens after large bark-beetle outbreaks and

185 wildfires<sup>50</sup>. Any model-based predictions of how forest regrowth across different parts of the  
186 Earth's landmass will affect global carbon dynamics are, however, associated with great  
187 uncertainties in the lights of demography<sup>51</sup> and mortality<sup>52</sup>.

188 Although site- and species-specific, our results warn against scaling from growth rates to  
189 carbon stocking without accounting for tree lifespan and stand turnover (shifts in demography)<sup>35</sup>.  
190 The data presented here suggest that faster growth does not permit one to infer levels of carbon  
191 sequestration at the landscape scale. Such an inference would require responses closer to H1 and  
192 H2, which we did not find. Our data rather suggest that accelerated growth is associated with faster  
193 ontogeny, as was demonstrated by plantation trees exposed to elevated CO<sub>2</sub> (53), and a higher  
194 likelihood of tree death as a function of tree size. The idea that global warming, artificial nitrogen  
195 deposition, or atmospheric CO<sub>2</sub> enrichment will rise carbon stocks in forests, the size control of  
196 turnover hypothesis (extension of H3) must be rejected.

197

## 198 **Methods**

199 **Tree-ring sampling.** During several field campaigns since 2004, disc and core samples from 1108  
200 living and dead Mountain pine (*Pinus uncinata* Ramond ex DC.) trees were collected at two upper  
201 treeline sites in the most northern part of the Aigüestortes i Estany de Sant Maurici National Park  
202 in the central Spanish Pyrenees<sup>41,42</sup>. This region is characterized by undisturbed, open ecotone  
203 habitats between around 2300 and 2600m asl.

204 Over the past decade, stem discs and a few increment cores from 660 living and dead Siberian  
205 larch (*Larix sibirica* Ledeb.) trees were collected at five upper treeline sites across the Russian  
206 Altai-Sayan Mountains<sup>43</sup>. This region also is characterized by undisturbed, open forests around  
207 2000-2400m asl. High-elevation tree growth in both regions is predominantly controlled by

208 summer temperature conditions. Individual trees at all sites can reach ages of up to 1000 years.  
209 The abundance of dead wood in the Altai and Pyrenees is indicative of remote locations with little  
210 to no modification by humans, or disturbance due to grazing by wild or domestic animals.

211 **Tree-ring analyses.** All disc and core samples were air-dried and polished with sand paper of  
212 progressively finer grain size down to 800 grit. Tree-ring width (TRW) was measured at a  
213 resolution of 0.001 mm using LINTAB measuring systems, and cross-dated via TSAP-win and  
214 PAST4 software. All dating was verified with COFECHA (Version 6.02P). The germination year  
215 (birth) of each tree was defined by the calendar date of its pith. In those cases where samples had  
216 no pith, pith-offset estimates were calculated, by fitting a geometric pith locator to the innermost  
217 rings and converting this distance into the number of missing rings. The dated TRW measurements  
218 were transformed into basal area increments (BAI) to account for the geometric constraints of  
219 adding incremental growth to an ever-increasing surface area.

220 Linear functions fitted to the log-transformed data of the first 25, 50 or 75 years of juvenile  
221 tree growth in all 1108 and 660 individual series of TRW and BAI from the Pyrenees and Altai,  
222 and plotted against total tree age, describe the overall tradeoff between the productivity (growth)  
223 and longevity (lifespan) of trees. Mean TRW and BAI of the juvenile tree growth of all samples  
224  $\leq 200$  and  $\geq 400$  years further emphasize the tendency of younger trees to grow faster and older  
225 trees to grow slower. Finally, we performed the same analysis on the 506 and 513 relict (602 and  
226 147 living) trees from the Pyrenees and Altai to test for the temporal stability in our results.

227

228 **Data availability**

229 All source data underlying this study are provided as two separate Source Data files, for the  
230 Spanish Pyrenees (SourceDataPyrenees.txt) and the Russian Altai (SourceDataAltai.txt). All  
231 calculations were performed with the open access software R.

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#### 351 **Authors contributions**

352 U.B. and C.K. designed the study. C.K. developed the theories with input from D.A.C. and U.B.  
353 U.B., J.E., V.S.M., A.V.K., and J.J.C. collected data, and A.P. and A.C. performed statistical  
354 analyses. U.B, C.K. and P.J.K. wrote the paper with input from all others. Each author was  
355 involved in revision and approved resubmission.

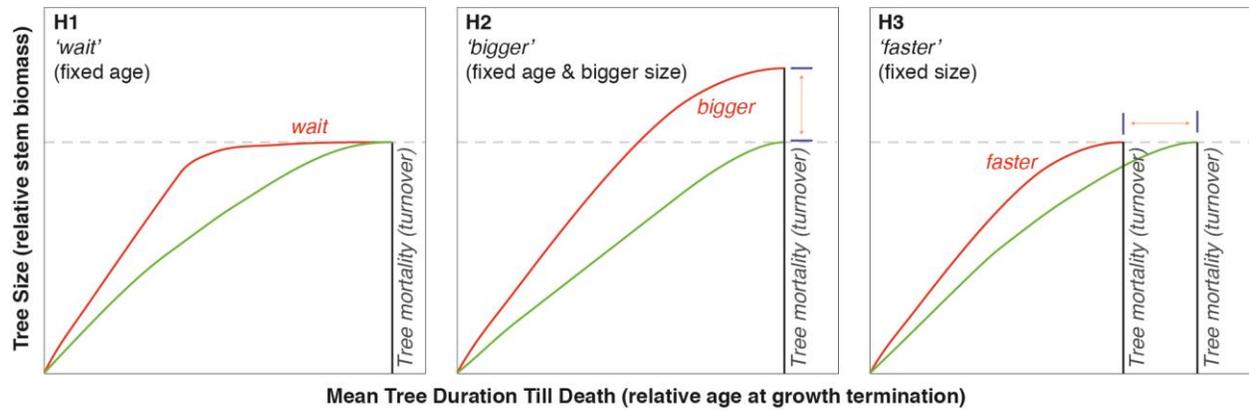
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#### 357 **Competing interests**

358 The authors declare no competing interests.

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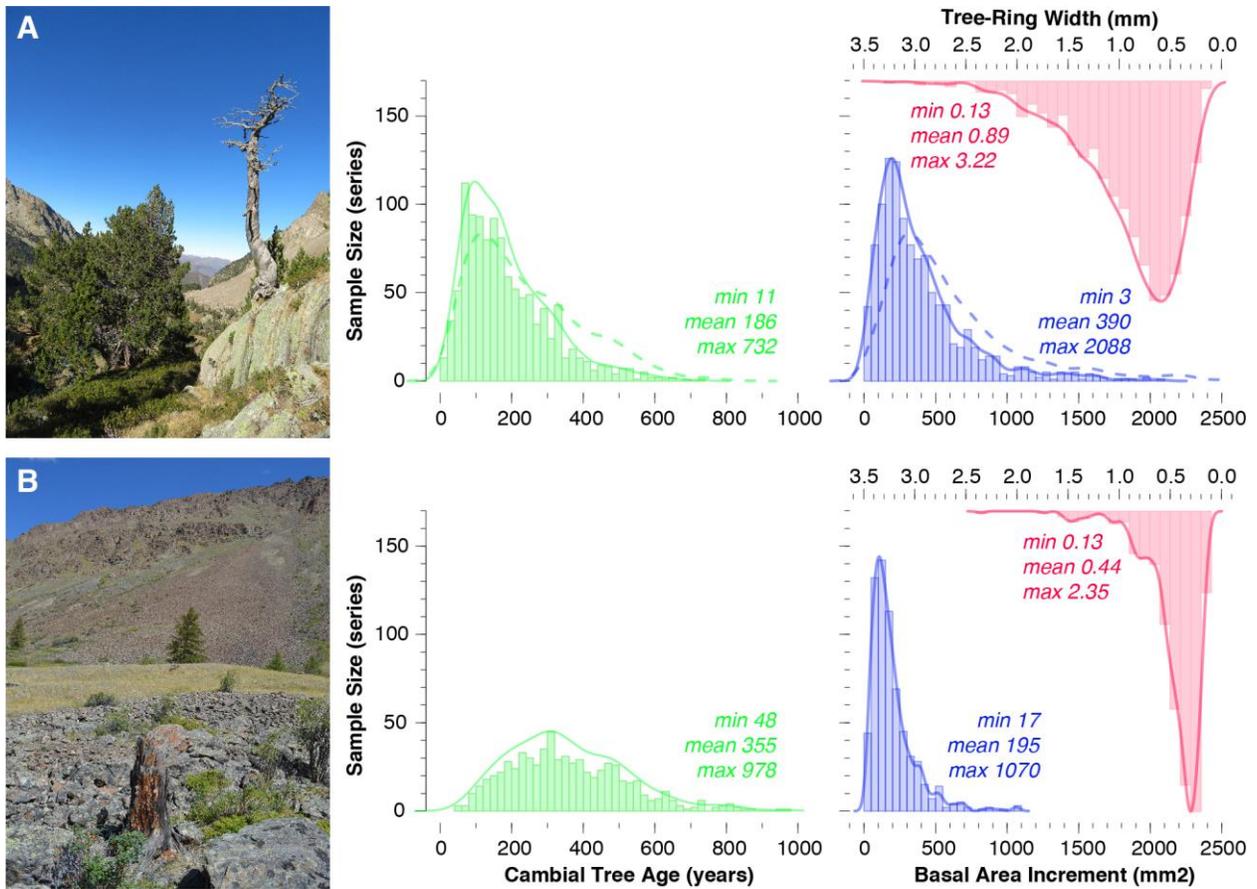
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362 **Fig. 1** Conceptual diagram of tree growth under global warming. Three alternative  
 363 hypotheses of how the relationship between the longevity (age) and growth rate (size) of  
 364 trees may change under predicted global warming (red lines). H1 shows faster initial  
 365 growth rates that are, however, not sustained until tree death. H2 shows faster growth  
 366 rates throughout the entire lifetime that is, however, not affected. H3 shows faster growth  
 367 rates together with a shorter lifespan.

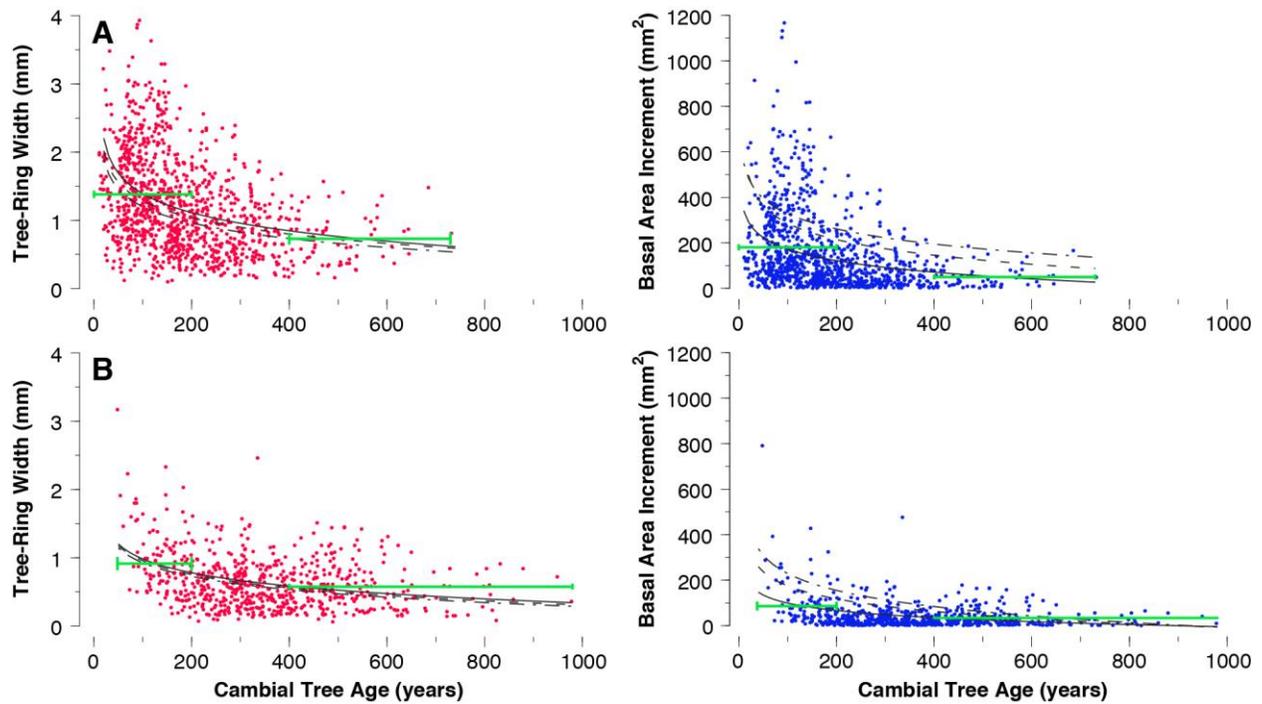
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370 **Fig. 2** Tree growth characteristics. (a) Spanish Pyrenees and (b) Russian Altai where  
 371 1108 and 660 disc and core samples were collected from recent (602 and 147) and relict  
 372 (506 and 513) Mountain pines (*Pinus uncinata*) and Siberian larch (*Larix sibirica*),  
 373 respectively. Histograms show different distributions of tree age (green), as well as annual  
 374 tree-ring width and basal area increment (red and blue). Dashed lines represent the  
 375 contribution made when considering pith-offset estimates (the number of missing rings  
 376 between the innermost annual increment and the pith). Colored numbers show the  
 377 minimum, mean and maximum (min, mean, max) values of cambial tree age, tree-ring  
 378 width and basal area increment (green, red, blue).

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381 **Fig. 3** Tree growth rates and mortality. Annual tree-ring width and basal area increment  
 382 averaged over the first 25 years of juvenile growth (red and blue) from the (a) Spanish  
 383 Pyrenees and (b) Russian Altai. Green lines are the mean value of samples aged  $\leq 200$   
 384 and  $\geq 400$  years, with their vertical bars indicating the corresponding standard error.  
 385 Logarithmic functions consider the first 25, 50 or 75 years of juvenile tree growth (solid,  
 386 regular dashed, irregular dashed).

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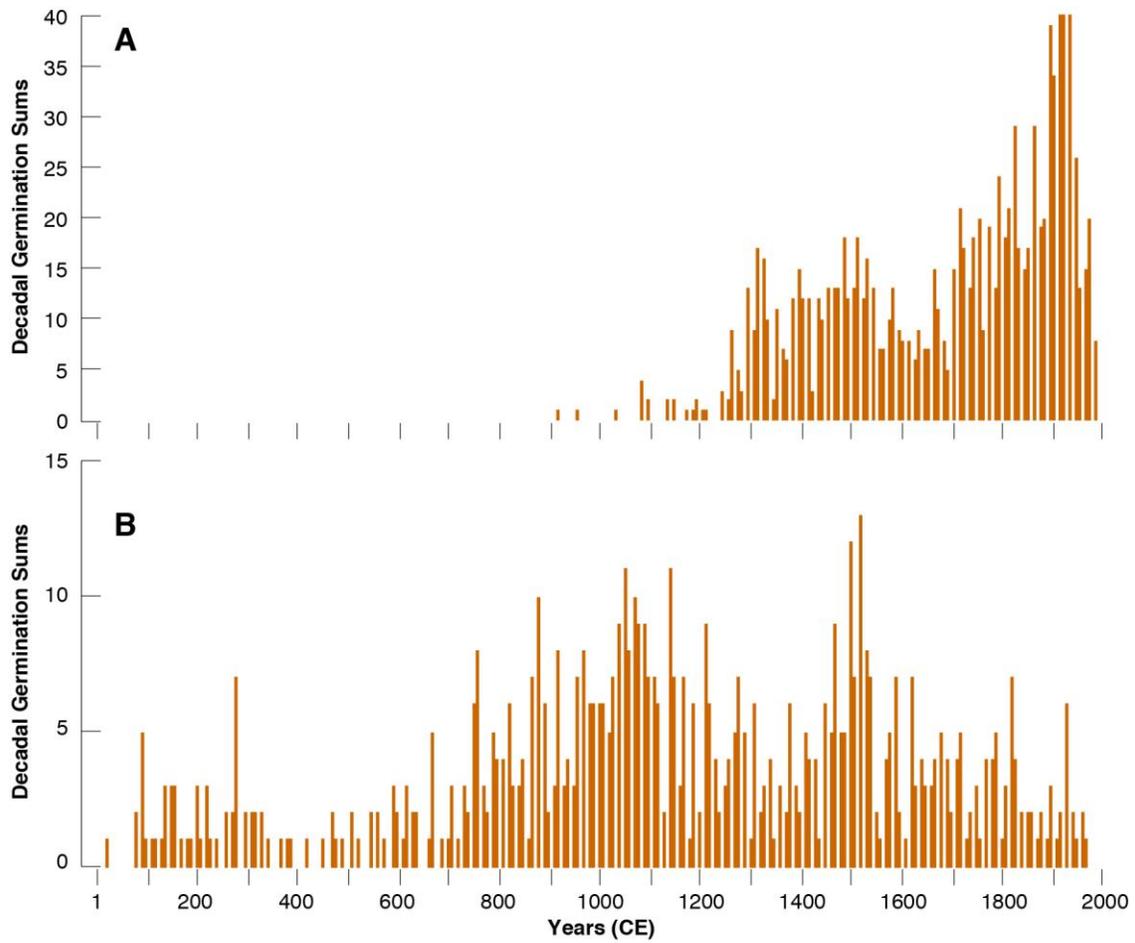
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394 **Supplementary Figures**

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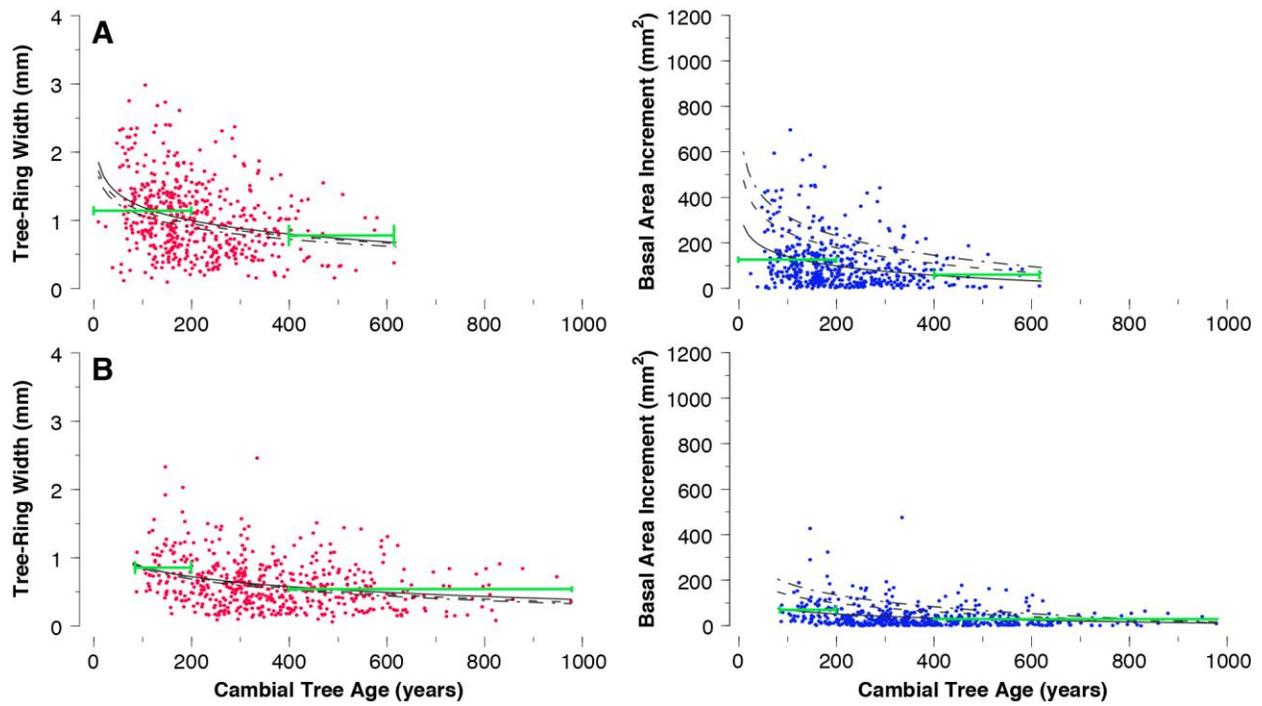


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397 **Fig. 1** Decadal-sums of the germination dates of (a) 1108 pine and (b) 660 larch trees

398 from the Spanish Pyrenees and the Russian Altai.

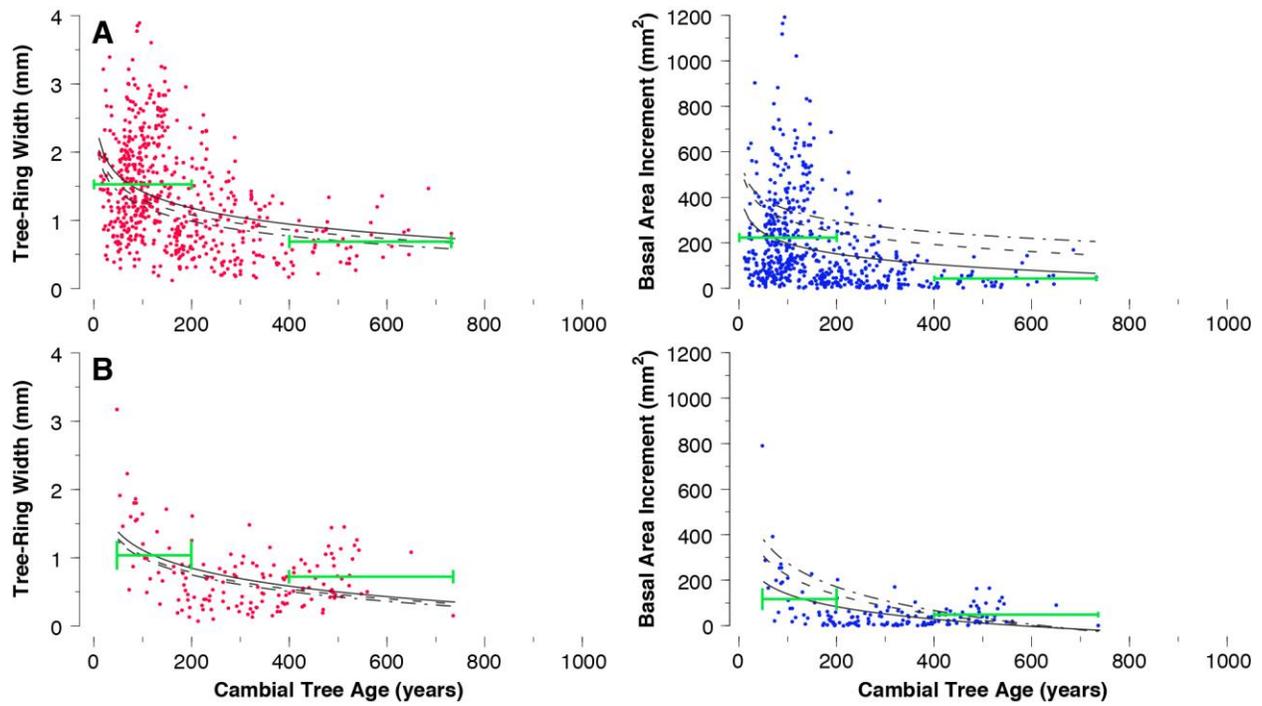
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401 **Fig. 2** Similar to Figure 2 though restricted to the 506 and 513 relict samples from the (a)  
 402 Spanish Pyrenees and (b) Russian Altai, respectively, which were growing under natural,  
 403 pre-industrial climate conditions.

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**Fig. 3** Similar to Figure 2 though restricted to the 602 and 147 recent samples from the (a) Spanish Pyrenees and (b) Russian Altai, respectively, which were growing under anthropogenic, industrial climate conditions.