

1 **Modeled tracheidograms disclose drought influence on *Pinus sylvestris***
2 **tree-rings structure from Siberian forest-steppe**

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19 **Total word count:** 4313 words

20 Number of Figures: 5 (all in color)

21 Number of Tables: 2

22 Supporting Figures: 3

23

24 **Keywords:** cambial activity, cell size, process-based Vaganov-Shashkin model, South Siberia,
25 **tracheid, tracheidogram, VS-oscilloscope**

26

27 **Abstract**

28 Wood formation allows trees to adjust in a changing climate. Understanding what determine its
29 adjustment is crucial to evaluate impacts of climatic changes on trees and forests growth. Despite
30 efforts to characterize wood formation, little is known on its impact on the xylem cellular structure.
31 In this study we apply the Vaganov-Shashkin model to generate synthetic tracheidograms and verify
32 its use to investigate the formation of IADFs, one of the most frequent climate tree-ring markers in
33 drought-exposed sites. Results indicate that the model can produce realistic tracheidograms, except
34 for narrow rings (<1 mm), when cambial activity stops due to an excess of drought or a lack of
35 growth vigor. These observations suggest that IADFs are caused by a release of drought limitation to
36 cells formation in the first half of the growing season, but that narrow rings are indicators of an even
37 more extreme and persistent water stress. Taking the example of IADFs formation, this study
38 demonstrated that the Vaganov-Shashkin model is a useful tool to study the climatic impact on tree-
39 ring structures. The ability to produce synthetic tracheidogram represents an unavoidable step to link
40 climate to tree growth and xylem functioning under future scenarios.

41

42 **1 Introduction**

43 As long-living and sessile organisms, wooden plants needs to continuously adjust their structure to
44 survive under changing environmental conditions. These adjustments are usually achieved while
45 growing (Meinzer *et al.*, 2011). Thanks to the primary and secondary meristems, plants are indeed
46 constantly producing new tissues when conditions are favorable (Vaganov *et al.*, 2006). The
47 cambium can modify the number of cells produced, and their morphological characteristics to
48 regulate the important xylem and phloem functions of transport, storage and mechanical support. In
49 this way, not only the tissues are constantly renewed, but also their form and functioning. This
50 capacity offers trees the necessary dynamic to face changes. However, since wood formation requires
51 resources and time to take place (Steppe *et al.*, 2015), the current environment conditions strongly
52 influence the width, structure and chemical composition of the annual rings, thus limiting the ability
53 of plants to acclimate and endure. These limitations can restrict the physiology over several years, as
54 already observed as a consequence of increasing drought pressure (Anderegg *et al.*, 2013; Britez *et*
55 *al.*, 2014), threatening plant survival (McDowell *et al.*, 2008) and eventually leading to forest
56 mortality (Allen *et al.*, 2010).

57 A better understanding of the chain between environment, tree-physiology, wood formation, wood
58 structure and plant performance is unavoidable to soundly assess the fate of trees species and
59 provenances under a rapidly changing environment (Sass-Klaassen *et al.*, 2016). Without a clear
60 long-term and high-resolved perspective of the process of xylem formation and its interaction with
61 the environment, it is not possible to fully comprehend how annual growth rings and their typical
62 wood structures are formed and respond to climate and their extreme events (Pacheco *et al.*, 2016;
63 Rathgeber *et al.*, 2016). Evidences of the impact of the environment on plant growth are not only
64 manifold, but are also exploited to reconstruct past environmental conditions via the study of tree-
65 rings (Fritts, 1976). Novel methods over the last decades have extended interests into the processes of
66 tree-ring formation towards higher intra-annual resolution and deeper mechanistic understanding of
67 environmental impact (McCarroll & Loader, 2004; Fonti *et al.*, 2010). Attention has also been given
68 to the process of xylogenesis (e.g., Cuny *et al.*, 2015) to better assess cambial phenology (e.g., Rossi
69 *et al.*, 2016), timing (Carrer *et al.*, 2017) and dynamic (Cuny *et al.*, 2014) of growth. Based on the
70 improved spatial (cellular) and temporal (weekly) resolution, it has now become possible to better

71 link the impact of specific environmental events to the processes shaping the amount of carbon fixed
 72 (Cuny *et al.*, 2015) and its cellular structure (e.g., Abrantes *et al.*, 2013; Castagneri *et al.*, 2015), as
 73 well as its influences on the functioning of the xylem (e.g., Mayr *et al.*, 2006; Martin-Benito *et al.*,
 74 2017).

75 Intra-annual density fluctuations (IADF), i.e., a density anomaly appearing within the annual ring due
 76 to the occurrence of latewood-like cells within earlywood, or earlywood-like cells within latewood,
 77 represent one of the most obvious examples of climatic impact on the tree-ring structure (Fritts,
 78 1976). IADFs are in general associated to unusual strong events (as drought or cold period) changing
 79 the “typical” process of cell development” as e.g.; a temporary decrease in growth rate. Its
 80 occurrence has been suggested to be associated with plastic adjustments to maintain the balance
 81 between hydraulic efficiency and safety under short-term variations in environmental conditions (De
 82 Micco *et al.*, 2016). Despite numerous investigations (e.g., Nabais *et al.*, 2014;), reliability of IADFs
 83 occurrence and distribution is still uncertain (Campelo *et al.*, 2015). IADFs do not arise in all the
 84 trees within the same site, not even all drought events trigger IADFs. This variability has been
 85 associated to differences in sensitivity among species (Pacheco *et al.*, 2016), tree size and age
 86 (Campelo *et al.*, 2015), growth rate (Rigling *et al.*, 2001), sex (Olivar *et al.*, 2015), or to difference in
 87 intensity and duration of the climatic event (Vieira *et al.*, 2017).

88 To better assess the ability of tree species to acclimate and endure a changing environment requires
 89 tools allowing projecting growth under future climatic scenarios (Guiot *et al.*, 2014). Process-based
 90 models of tree-ring growth (e.g., *MUSICA* (Ogee *et al.*, 2003); *CASSIA* (Schiestl-Aalto *et al.*, 2015);
 91 and *CASTANEA* (Delpierre *et al.*, 2012)) provide this additional perspective for simulating intra-
 92 annual growth under differing climatic scenario as increasing intensity and duration of drought (e.g.;
 93 Wilkinson *et al.*, 2015). Several process-based model exists to estimate the annual course of wood
 94 biomass, which is essential to study the impact of intra-seasonal climatic event on tree-growth.
 95 However, these models are usually not able to provide data on the formed wood structure (with few
 96 exception as Deleuze & Houllier (1998) and *CAMBIUM* model by Downes *et al.*, (2009)) – one of
 97 the most critical connections between environment and plant functioning – precluding the
 98 opportunity to connect structure to function. The Vaganov-Shashkin model (*VS-model*, Vaganov *et*
 99 *al.*, 2006) is an environmental driven conifer tree-ring growth model that has proven to provide
 100 reliable estimates under strong limited conditions (for examples of model applications see (Touchan
 101 *et al.*, 2012; Shishov *et al.*, 2016). A singularity of this model is the assumption that the environment
 102 (via the most limiting environmental factor) is determining xylem cell differentiation (cell
 103 enlargement and wall thickening) only during the time-window the cells are residing in the cambial
 104 zone. In this way, the model computes daily growth rate relative to the growth in absence of
 105 limitations. To run, the model only requires daily temperature and precipitation data and needs to be
 106 calibrated to the growth characteristics for the selected species specific to the site considered
 107 (Vaganov *et al.*, 2006; Shishov *et al.*, 2016).

108

109 2 Materials and Methods

110 2.1 Study site and climate

111 This study uses wood cores collected in late 2013 on a foothill-forested site around Malaya Minusa
 112 (53°43' N, 91°47' E, 251 m a.s.l.), southern Siberia (Russia), a village close to Minusinsk at the
 113 border with the Altai–Sayan region. The area is a steppe-like landscape characterized by a cold and
 114 semi-arid climate. Records from the 25 km close meteorological station of Minusinsk (53°41' N,

115 91°40' E, 254 m a.s.l.) indicated an average annual temperature of 1.0°C and precipitation sum of 341
 116 mm (average 1936-2013), 90% of which falls from the end of April to the beginning of October. The
 117 site is composed of a mixed forest of birch (*Betula pendula*) and pine (*Pinus sylvestris*) growing on
 118 deep sandy soil covered by sedge-grass and mosses.

119 2.2 Tree-ring width and tracheidograms

120 The wood cores were collected from a selection of 20 damage-free, dominant and mature *Pinus*
 121 *sylvestris* trees. Two parallel radial cores were extracted at stem breast height from each tree using an
 122 increment borer with a diameter of 5 mm. Half of the cores (one per tree) were prepared to build an
 123 annual growth chronology— necessary to calibrate the VS-model – and the second half served the
 124 description of the tracheid anatomical properties. Ring widths were measured with a precision of 0.01
 125 mm using a LINTAB measuring table connected to the TSAP software (Rinntech, Heidelberg,
 126 Germany). The obtained time-series were visually cross-dated and verified with the software
 127 COFECHA (Grissino-Mayer, 2001). A 50%-variance cubic smoothing spline with a 2/3 cut-off was
 128 used to remove non-climatic factors and the auto-correlations removed with an auto-regressive
 129 modeling. The residual tree-ring chronology (1936-2013) was finally obtained by averaging the
 130 individual time-series with a bi-weight robust mean (Cook & Kairiukstis, 1990).

131 Tracheidograms were built to characterize the variation of tracheid radial size along the annual rings
 132 (Vaganov, 1990). The cores from the five trees best correlating with the chronology ($R > 0.70$) were
 133 selected. Cell measurements were performed on cross-section images of safranin-stained micro-
 134 sections (15–20 μm thick) cut with a sliding microtome (Reichert, Germany) along the 50 last annual
 135 rings (1964 to 2013). The images were captured at a 400 \times magnification with a digital camera (5
 136 Megapixels) connected to a microscope (Axio Imager A1m, Carl Zeiss, Germany). Tracheids radial
 137 cell diameter (TD) were assessed by measuring the lumen radial diameter (LD) and double
 138 tangential cell wall thickness (2CWT) along five undisturbed and representative radial files in each
 139 ring (Vaganov *et al.*, 2006; Seo *et al.*, 2014) using the image analysis package *Lineyka*,
 140 *SuperMoment* and *ProcessorKR* (Silkin, 2010). All measured files were standardized, i.e. adjusted to
 141 the rounded average cell number for the trees in that year (Vaganov *et al.*, 1985; Campelo *et al.*,
 142 2016).

143 To perform analysis, from the 50 available calendar years, we selected three groups of years inducing
 144 specific tree-ring anatomical patterns (ring types), namely the narrow (N, 1965, 1974, 1983, 1998,
 145 2012), wide (W, 1970, 1982, 1995, 2003, 2006) and IADF (I, 1969, 1992, 1999, 2007, 2013) rings.
 146 The selection of narrow and wide ring was based on the five years in the chronology with
 147 respectively the largest and smallest ring width (see Figure 1). The IADF have been based on the
 148 presence of IADF from the latewood type L+ (Campelo *et al.*, 2007), i.e. density fluctuation
 149 occurring in the latewood. These patterns have been identified using the tracheidograms and
 150 specifically when tracheid radial size in the second half of the ring showed an increase of $> 10\%$
 151 compared to a previous local minima (Figure 2C).

152 2.3 Xylogensis and tracheid anatomical measurements

153 To monitor seasonal tree-ring growth, microcores from 15 trees were collected at the stem breast
 154 height from April to October 2013 (with approx. a 10-days interval for a total of 18 sampling dates).
 155 Samples were fixed in water-glycerin-ethanol solution (1:1:1) to maintain the soft tissue in their
 156 original hydrated status. Cross-sections (15- μm thick) were obtained with a sliding microtome
 157 (Thermo Fisher Scientific HM 450), stained with safranin (1% solution) and astra blue (2% solution)
 158 and placed into glycerin on a microscope slide. Growth was assessed by counting along three radial

159 files the tracheids in the cambial, enlargement, wall thickening, and mature zone. The last collected
 160 micro-sections were used to characterize tracheid anatomy, by measuring the radial lumen size and
 161 the double cell wall thickness along five radial files using AxioVision (SE64 Rel. 4.9.1, Carl Zeiss,
 162 Jena, Germany).

163 **2.4 VS-model calibration and assessment of daily growth rates**

164 The VS-model computes relative daily growth rate (Gr) from daily data of temperature, precipitation
 165 and hours of sunlight. These computations require the calibration of the most sensitive parameters to
 166 the growing characteristics of the species at the site (Tychkov *et al.*, in review). We performed the
 167 model calibration (1960-2013) and verification (1934-1959) by comparing the simulated time series
 168 of annual growth rates with the site-specific detrended tree-ring width chronology using the VS-
 169 oscilloscope (Shishov *et al.*, 2016). This tool is specifically designed to interactively adjust the model
 170 parameters and directly visualize and assess the match between the model output and the indexed
 171 tree-ring width chronology. As input for the model we used the daily temperature and precipitation
 172 data from the meteorological station of Minusinsk (53°41' N, 91°40' E, 254 m a.s.l.) from January
 173 1934 to December 2013.

174 **2.5 Modeling tracheidogram for each grouping of radial patterns**

175 The previously quantified associations have subsequently been applied to each ring type (N, W, and
 176 I) to assess the ability of the model to reproduce the expected pattern of the tracheidogram.
 177 Specifically, we run the model with the same original parameterization to first calculated the typical
 178 seasonal growth rates for each group and subsequently we applied the exponential dependency
 179 between growth rate and tracheid size to obtain the synthetic tracheidograms. As model input we first
 180 calculated and then smoothed with a loess function (span = 0.3) the daily temperature and
 181 precipitation average over the 5 calendar years included in each ring type. In order to account for the
 182 soil moisture legacy, the model was run on a sequence of three years, whereby the two first years
 183 where feed with the overall daily climate average (1936-2013) and the third year with the group
 184 average. Only the output relative to the third year has been considered for further analysis.

185 **2.6 Linking growth rates to tracheid radial diameter**

186 An important model assumption is that the main environmental conditions (temperature, light and
 187 soil moisture) occurring when the cells are residing in the cambial zone determine the (future) growth
 188 rate (Vaganov *et al.*, 2006). In other words, the growth rate of the cambial zone cells, i.e. the actively
 189 dividing cells, cannot be higher then allowed by the most limiting factor. To assign the average cell
 190 growth rates necessary to produce the tracheidograms, in this study we make the additional
 191 assumptions that i) the number of dividing cells over a full growing season corresponds to the
 192 number of cells produced in the tree ring, and ii) the actively dividing cells are successively produced
 193 with no overlap. Thus, we assigned the growth rate matching each dividing cell by calculating the
 194 average growth rates over the time period required to form the corresponding part of the tree-ring
 195 (Figure 3B). A corresponding R-code for time-assignment (VS-timing) has been developed for that
 196 purpose and applied to derive the linear dependency between the average cell growth rate and the
 197 tracheid size (Figure 3C-D). This relation is then inversely applied to estimate tracheid size (TD)
 198 from the growth rate obtained from the model.

199 **2.7 Identifying threshold conditions inducing IADF**

200 To identify the threshold conditions generating IADFs, we compared modeled tracheidograms from
 201 newly generated climatic scenarios obtained by progressively increasing the limitation of the main
 202 factor inducing the formation of IADF (i.e. by reducing precipitation by step of 20%). The same
 203 temperature course (as the average temperature among the groups) has been applied for each scenario
 204 to focus on the influence of precipitations only. The occurrence of IADF in the modeled
 205 tracheidograms has then been assessed with the same criteria used to its identification (Figure 2B).

206

207 **3 Results**

208 **3.1 Trees and tree-ring characteristics**

209 The selected *Pinus sylvestris* trees were on average 17.8 (± 1.4 sd) m tall and their stem DBH varied
 210 between 26 and 46 cm. Tree age ranged from 76 to 111 and their average annual radial growth
 211 (TRW) over the period 1936 to 2013 was 1.46 cm (Table 1). The common signal, expressed as the
 212 mean correlation among the individual detrended time series, was 0.62. The 5 trees selected for the
 213 tracheidograms were highly synchronized with the site chronology ($r = 0.77$) and showed a similar
 214 average annual growth of 1.33 cm. In terms of number of cell production, the annual increment was
 215 composed on average by 38 radially aligned tracheids per ring (from a minimum of 9 to a maximum
 216 of 76), but with variation among the trees (mean ranging from 21 to 49 tracheids).

217 **3.2 Model calibration and verification**

218 The model has been calibrated over the period 1960 to 2013 and verified (from 1936 to 1959) by
 219 comparing the time-series of the modeled cumulated annual growth rates with the detrended residual
 220 site chronology using the VS-oscilloscope (Vaganov *et al.*, 2006). The selected parameters are shown
 221 in Table 2. The calibrated model provided a simulated chronology matching the residual tree-ring
 222 chronology with a correlation of $r = 0.71$ ($p < 0.001$; $n = 54$ years) and a Gleichläufigkeit Glk of 80%
 223 over the calibration period, and a correlation of $r = 0.55$ ($p < 0.001$, $n = 25$, Glk = 71%) for the
 224 validation one (Figure 1).

225 According to the model, the growing season at the site extended on average for 131 days ± 12 , from
 226 DOY 137 ± 10 (May 18th) to DOY 268 ± 8 (September 26th). Growth was limited by drought from
 227 DOY 139 ± 10 (May 20th) to DOY 259 ± 10 (September 17th), and only affected by temperature
 228 limitation at both edges (beginning and end) of the growing season.

229 **3.3 Tracheid anatomy and tracheidograms**

230 The average tracheid radial diameter (TD) in the ring differed among trees ranging from 18.7 to 45.5
 231 μm (Table 1). Intra-annually, TD usually decreased monotonically from a maximum in the
 232 earlywood ($\sim 60 \mu\text{m}$) to a minimum in the latewood ($\sim 10 \mu\text{m}$, Figure 2A), but in some cases it was
 233 possible to identify the typical signature of latewood IADF (Figure 2B). IADFs occurred mainly in
 234 particular years (i.e., 1973, 1995, 2001, 2007, 2009) and mostly when the ring width was > 1 mm
 235 (Figure 2C). The frequency of IADF occurrence was 21.2% (53 rings out of 250) and varied quite
 236 strongly among the trees (from 6% in D5 to 46% in D7).

237 **3.4 Calculation of timing and growth rate of cambial cells**

238 One assumption of the model is that the conditions occurring at time of formation of cambial cells
 239 determine their cell developmental stages. The correlation between the observed and modeled growth

240 rate of cambial division is $r = 0.93$ and $r = 0.84$ for the cambial and enlarging cells, respectively
 241 (Figure 3A,B). We used this assumption to first assign a time to each dividing cambial cell to
 242 subsequently assign the corresponding cumulative growth rate (Gr, Figure 3B). As shown in Figure
 243 3C and Figure S1, there is a strong relationship between average cambial growth rate and tracheid
 244 radial diameter. The correlation distribution shows a high frequency of high correlation (Figure 3D).
 245 However, this relationship clearly weakens in some rings. However, in these specific rings, if the
 246 modeled growing season is progressively reduced, the correlations and the R^2 are considerably
 247 recovered (see Figure S2, S3).

248 3.5 Modeling and simulating growth rates and tracheidograms by ring type

249 The model calculations of the daily growth rates using the average daily climatic condition of the
 250 years of the narrow (N), wide (W) and IADF (I) ring types indicated a common decreasing growth
 251 rates between 37% (W) to 64% (N) during the first half of the growing season (up to DOY 210,
 252 Figure 4). During this period, the rates were about 42 and 48% higher for the wide ring then for the
 253 other two groups. The pattern among the groups differed in particular in the second part of the
 254 season, where the Grs continued to decrease in the wide rings, while it showed a substantial recovery
 255 before a new decrease at the end of the growing season for both IADF (+ 134%) and the narrow rings
 256 (+ 24%). Up to the ending of the growing season (i.e., from DOY 1 to 270) the precipitation sum was
 257 394, 363 and 286 mm for W, I and N respectively, whereby the precipitation in the IADF group were
 258 initially not substantially differing from the narrow rings, but showed a strong increase in the second
 259 part of the growing season. Over the growing season (DOY 142 to 270), the average temperature
 260 among the groups ranged between 16.35 °C and of 16.64 °C.

261 The assessment of tracheid size (and thus of the average tracheidogram) for each group of year (using
 262 the average relationship obtained based on the observation, Figure 3) indicated that the model is able
 263 to reproduce the main pattern of the original tracheidogram, with presence of IADF only in the
 264 corresponding group (Figure 4C). However, the model failed to reproduce short rings, since growth
 265 rate, similarly to the IADF group, also recovered after the summer drought. A stop of growth induced
 266 at the end of the drought period would however provide a short ring, without IADF.

267 Model simulations performed to identify which conditions generate IADF by progressively reducing
 268 precipitation (by step of 20%) from W to I and I to N during the first half of the growing season
 269 (period DOY 1 to 186) indicated that IADF started to appear when precipitations are below 132 mm
 270 only if the second part of the growing season get sufficient precipitations (199 mm, see scenario W80
 271 in Figure 5). Notably, if the second part of the growing season persists with below average
 272 precipitation, the model predicts the formation of a narrow ring.

273

274 4 Discussion

275 To The application of the VS-model performed in this study, although based on assumptions
 276 simplifying the process of xylogenesis, provided outputs matching site observations. It is known that,
 277 differently to our assumptions, the xylem cells resulting from cambial zone are disposed in a radial
 278 band and thus successively undergo the differentiation program with some time overlap (Rathgeber
 279 *et al.*, 2016). Moreover, a latewood tracheid differentiation can easily last for more than a month
 280 (e.g.; Cuny *et al.*, 2013) and consequently cambial division stops earlier than assumed in this study.
 281 Despite these assumptions, the VS-model provided i) time-series of annual growth rate well matching
 282 the site tree-ring chronology, ii) timing of cambial cells growth rates that matched with the
 283 observations of tissue formation performed in the field in 2013 (Figure 3A,B), and iii) modeled

284 tracheidograms reproducing most of the anatomical patterns of tree-rings typical in summer-drought
285 exposed sites.

286 These results confirm the ability of the VS-model to deliver reliable annual growth outputs at cold
287 and drought limited forest sites (Breitenmoser *et al.*, 2014), as in the Quilian Mountains and Tibetan
288 Plateau (e.g.; (Zhang *et al.*, 2016; He *et al.*, 2017; Yang *et al.*, 2017), at the semi-arid central China
289 (Shi *et al.*, 2016), or within the drought sensitive Mediterranean Basin (Touchan *et al.*, 2012). At our
290 site, growth rates are generally limited by soil water shortage except for the margin of the growing
291 season which are limited by cold (Arzac *et al.*, in review; Tychkov *et al.*, in review), as confirmed by
292 results of climate-growth responses performed for the same species within the South-Central Siberian
293 forest-steppe (Babushkina *et al.*, 2015). Newly, we could verify that the VS-model also provided
294 convincing intra-annual output typical of summer drought-limited environments characterized by a
295 bi-modal growth (e.g. (Camarero *et al.*, 2010; Pasho *et al.*, 2012; Vieira *et al.*, 2014) and a radial ring
296 pattern with the occurrence of numerous IADF (e.g.; Campelo *et al.*, 2007; De Micco *et al.*, 2016;
297 Zalloni *et al.*, 2016). On the one hand, the growth rates modeled for the 2013 growing season were
298 synchronous in timing and proportion with the number of cambial and enlarging cells observed on
299 micro-cores collected in the field ($r = 0.93$ and 0.84 for cambial and enlarging, respectively),
300 including direct observations on five trees performed in the same area during the years 1979-1981
301 (Vaganov *et al.*, 1985). On the other hand, the tracheidograms simulated for the three ring types
302 provided the expected radial patterns, with the occurrence of IADF in the second part of the annual
303 ring for the IADF group.

304 However, interestingly, the model failed to reproduce the tracheid pattern of narrow rings by instead
305 providing narrow rings with the occurrence of IADF. Strong indications suggest that this discrepancy
306 is caused by a summer drought-induced growth stop in that particular year that the model misses to
307 identify. In this specific case, there are indications that in extreme drought years, trees with reduced
308 growth rate are not able to resume growth along with the drought release occurring in the second part
309 of the season due to an excessive water shortage. This result is confirmed by previous observations
310 indicating that water availability has a strong influence on growth rates and can induce an early
311 cessation of wood formation (Eilmann *et al.*, 2009; Vieira *et al.*, 2017). Studies on IADF occurrence
312 have already highlighted that IADFs were more frequent in younger trees (Vieira *et al.*, 2009;
313 Battipaglia *et al.*, 2010) or in wider tree rings (Rigling *et al.*, 2001; Campelo *et al.*, 2013), supporting
314 our suggestion that trees with smaller growth rates do not resume cambial division and thus do not
315 form IADFs. The substantial improvement of the correlations and R^2 between cambial cell growth
316 rates and cell diameter obtained when reducing the modeled growing season length (Figure S2 and
317 S3) well supports our hypothesis.

318 The results obtained also reveals that the VS-model can be used for describing the processes
319 underlying the environmental impact on the intra-annual tree-ring structure, at least within contexts
320 characterized by climatic factors strong limiting growth, as at our site. In particular, the strength of
321 the association between the simulated growth rates and the measured radial tracheid sizes supports
322 the model assumption that tracheid radial size is pre-determined during the early stage of cell
323 differentiation and can therefore be associated with the rate of cambial cells production (Vaganov *et*
324 *al.*, 2006; Vaganov *et al.*, 2011). The climatic conditions occurring during this phase pre-determine
325 the duration and rate that the forming cells are going to endure in the enlargement phase, which
326 control the final tracheid size (Cuny *et al.*, 2014). Studies on correlation between tracheid size and
327 environmental conditions have indeed often identified seasonal climatic signals overlaying the
328 developmental phases of cambial division and tracheid enlargement, thus supporting the existence of

329 a strong association between them (e.g.; [Fonti et al., 2013](#); [Carrer et al., 2017](#); [Castagneri et al.,](#)
330 [2017](#)).

331 In this study we applied the model to assess which conditions (intensity and pattern of precipitation)
332 generate a latewood IADF in “an average tree” at the study site. Such an approach has a great
333 potential for exploring how climate is affecting wood formation, even at individual tree level. For
334 example it might be interesting to investigate what summer drought conditions are inducing a stop in
335 growth while considering both the level of drought and the individual tree growth potential by
336 performing similar analyses on trees and calendar years grouped according to ring width and/or tree
337 vigor.

338 **5 Conclusion**

339 This study demonstrated that the VS-model successfully generated realistic tracheidograms of
340 tracheid cell diameter for *Pinus sylvestris* trees growing in a drought sensitive environment. In
341 particular the strong association between the growth rate of the dividing cambial cell and the tracheid
342 size was used to predict wood structure from daily climatic condition This intra-ring resolution has
343 been achieved thanks to the model ability to provide daily growth rates ([Vaganov et al., 2006](#)). This
344 increased resolution helped us to identify narrow rings as an extreme manifestation of IADFs where
345 the recover in the second part of the season was impeded by a too high sensitivity to extreme drought
346 conditions. The model proved usefulness also to quantify average levels and seasonal patterns of
347 precipitation (thus indirectly of drought) inducing IADFs and narrow rings. The association between
348 climatic conditions and cell anatomical structure via model-generated tracheidogram provide a novel
349 opportunity to assess wood structure sensitivity to climate. Such intra-annual growth model
350 resolution represents a fundamental tool to provide reliable tracheidogram to better understand,
351 develop and up-scale scenarios of wood structural responses to climate change over time and space.
352 Considering that wood structure is an important legacy for tree performance ([Björklund et al., 2017](#);
353 [Rathgeber, 2017](#)) the results from those scenarios might have relevant implications for the
354 assessment of future plant productivity and provided forest services ([Sass-Klaassen et al., 2016](#)).

355

356 **6 Author Contributions**

357 M.P, E.V, V.S. and P.F. designed the research. E.B and M.F. performed data collection. M.P, M.F,
358 and P.F. performed analyses and interpreted the data. All coauthors contributed to the preparation of
359 the manuscript.

360

361 **7 Funding**

362 The work has been supported by the Russian Science Foundation (project #14-14-00219 P for
363 simulation approach), the Le Studium/Marie Sklodowska-Curie research fellowship (for VS-
364 modeling), the State assignment "Science of Future" (project #5.3508.2017/4.6 for data analysis), the
365 Swiss National Science Foundation project LOTFOR (no. 150205), and the Russian Foundation for
366 Basic Research (project #17-04-00315 for sampling and measurements; and project #17-04-00610
367 for xylogenesis sampling and data).

368

369 **8 Acknowledgments**

370 The authors would like to thank Tatiana Kostyakova, Irina Sviderskaya, Ivan Tychkov for support,
 371 comments and suggestions, and Georg von Arx for a friendly review on the last version of the
 372 manuscript.

373

374 **9 References**

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575 10 Figures

576 **Figure 1. Model calibration and selection of ring types.** (A) The grey line indicates the detrended
 577 *Pinus sylvestris* tree-ring chronology over the period 1936 to 2013 at the Malaya Minusa in southern
 578 Siberia; the black one shows the growth rate obtained with the model. Pearson's correlations (r) and
 579 Gleichläufigkeit (Glk) for both the calibration (1960-2013) and verification period (1936-1959) are
 580 indicated. Colored years indicate the annual rings grouping (N = narrow rings (green); W = wide
 581 rings (blue); I = IADF rings (red)) according to their typical tree-ring pattern. (B) Images of a ring
 582 displaying a typical IADF (ring 2013, scale = 100 μm).

583
 584 **Figure 2. Tracheidograms and intra-annual density fluctuations (IADF).** (A) Overview of all 50
 585 tracheidograms of tracheid diameter for the annual rings of tree D8 with different ring types shown in
 586 colors (N = narrow rings (green); W = wide rings (blue); I = IADF rings (red), see Figure 1 and
 587 Figure 2B). (B) Example of tracheidogram displaying a L+ IADF (numbers indicate the tracheid
 588 diameter). L+ IADFs have been defined in this study as rings showing a tracheid size increase of at
 589 least 10% occurring in the last third of the tracheidogram. The formula in red indicates how the
 590 increase of tracheid diameter (ΔIADF) has been assessed. (C) Presence of ΔIADFs as a
 591 function of tree-ring width for all the 250 annual rings considered in the study. In black are indicated
 592 the years of the annual ring showing a L+ IADF (i.e. with $\Delta\text{IADF} > 10\%$). The year in red
 593 corresponds to the example of Figure 2B.

594
 595 **Figure 3. Assessment of cambial cell growth rates and its relationship to the tracheid diameter.**
 596 (A) Average number of cambial (beige) and enlarging cells (yellow) as observed during the growing
 597 season 2013 with repeated micro-coring on 15 trees from the study site in Malaya Minusa. (B) Daily
 598 (grey bars) and cumulated (black thick line) growth rates as assessed by the calibrated model for the
 599 growing season 2013. Brown rectangles on the right indicate the sequentially developing cambial
 600 cells ($n=31$ for tree D1, assuming that the production of a successive cambium cell only occurs when
 601 the previous one is completed) used to assess the timing of their development and the corresponding
 602 average cell growth rate (the filled rectangle correspond to the 21st cambial cell). Specifically, the
 603 timing of each dividing cambial cell (i.e., when environmental conditions determine the future cell

604 differentiation, see (Vaganov et al., 2006)) was assigned by dividing the annual Gr by the number of
 605 cells produced. **(C)** Correlation between average cell growth rate and tracheid diameter for the
 606 example shown in Figure 3B (year 2013 of tree D1, numbers indicate the cell position in the ring);
 607 and **(D)** scatter plot of average cell growth rate and tracheid diameter for all 9468 tracheids included
 608 in the 250 tracheidograms. The exponential fitting function is shown in red. **(E)** Summary of all
 609 correlations between average cell growth rate (Gr) and tracheid diameter (TD) plotted as a function
 610 of ring width (n=250). The red dot refers to the example shown in Figure 3C. The red line shows the
 611 distribution of the correlations.

612
 613 **Figure 4. Comparison of climate, growth rate, and modeled wood structure among the three**
 614 **ring types (narrow rings (green); wide rings (blue); IADF rings (red)). (A)** Daily temperature
 615 (dots) and precipitation (area) averaged for each the year in each ring type and smoothed with a loess
 616 function (span=0.3, thick lines). The smoothed values have been used as input for the model. **(B)**
 617 Growth rates obtained by the model. **(C)** Derived tracheidogram for each ring type by using the
 618 quantified relation between average cell growth rates and tracheid diameter (Figure 3D). The number
 619 of cell is proportional to the modeled ring width. **(D)** Schema of the tracheidogram shown in **(C)**.

620
 621 **Figure 5. Exploring climatic scenarios generating IADF. (A)** Averaged and loess smoothed
 622 (span=0.3) daily precipitation of each ring type (thick colored lines) and their progressive transition
 623 between groups (in 20% steps) between W - I and I -N (thin grey lines) used to run the model (with
 624 group average daily temperature). The black line indicates the average precipitation over the period
 625 1960 to 2013. Psum [mm] Doy 0-186 = precipitation sum between DOY 1 and 186 (middle of the
 626 growing season); Psum [mm] Doy187-276 = precipitation sum between DOY 187 and 276 (end of
 627 the growing season). **(B)** Obtained daily growth rates. The legend quantifies the growth rates sum
 628 [%] for each climatic scenario. **(C)** Derived modeled tracheidograms for each precipitation scenario.
 629 Tracheidograms with filled circle display a L+ IADF according to the definition given in Figure 2B.
 630 The legend quantifies the DeltaIADF [%] for each climatic scenario. N = narrow rings (green); W =
 631 wide rings (blue); I = IADF rings (red).

TABLES AND FIGURES

Table 1: Trees and tree-ring characteristics.

	H	DBH	Age	TRW			Ncell			TD			
	[m]	[cm]	[years]	[mm]	min	max	mean	min	max	mean	min	max	
All 20 trees	17.8	37.5	93	1.46	0.59	3.22	-	-	-	-	-	-	
Five trees	18.2	35.8	95	1.33	0.22	2.88	38	9	76	35.0	18.7	45.5	
Tree1	18	40	90	1.75	1.05	2.88	44	29	71	40.2	36.2	45.5	
Tree2	17	26	91	1.69	0.69	2.64	49	23	76	33.9	29.0	37.2	
Tree5	20	41	90	0.73	0.22	2.51	21	9	70	33.4	18.7	38.9	
Tree7	20	43	111	1.27	0.57	2.38	43	23	73	29.0	23.1	33.7	
Tree8	16	29	92	1.22	0.51	1.99	32	17	53	38.4	28.5	44.8	
15 trees	9.2	9.3	9.4	3	9.5	9.6	9.7	9.8	9.9	9.10	9.11	9.12	-
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H = Tree height; DBH = Stem diameter at breast height; TRW = tree-ring width; Ncell = number of cells in tracheidogram; TD = Cross-sectional tracheid radial diameter

Table 2: Selected model parameters

Param	Description	Values
T_{min}	Minimum temperature threshold for growth ($^{\circ}\text{C}$)	5.0
T_{opt1}	Lower temperatures threshold for optimal growth ($^{\circ}\text{C}$)	13.0
T_{opt2}	Upper temperatures threshold for optimal growth ($^{\circ}\text{C}$)	22.0
T_{max}	Maximum temperature threshold for growth ($^{\circ}\text{C}$)	32.0
W_{min}	Minimum soil moisture threshold for growth, relative to saturated soil (v/v)	0.0775
W_{opt1}	Lower soil moistures threshold for optimal growth (v/v)	0.25
W_{opt2}	Upper soil moistures threshold for optimal growth (v/v)	0.375
W_{max}	Maximum soil moisture threshold for growth (v/v)	0.45
W_0	Initial soil moisture (v/v)	0.15
T_{beg}	Temperature sum threshold for onset of growth ($^{\circ}\text{C}$)	110.0
t_{beg}	Size of the moving window for calculation of temperature sum (days)	10
l_r	Depth of root system (mm)	500
P_{max}	Maximum daily precipitation for saturated soil (mm/day)	40
C_1	Fraction of precipitation reaching the soil (not caught by crown) (rel. unit)	0.5
C_2	First coefficient for calculation of transpiration (mm/day)	0.3075
C_3	Second coefficient for calculation of transpiration (mm/day)	0.11
Λ	Coefficient for water drainage from soil (rel. unit)	0.005
V_{cr}	Critical growth rate to determine the end of the growing season (rel. unit)	0.04

Figure 1.JPEG

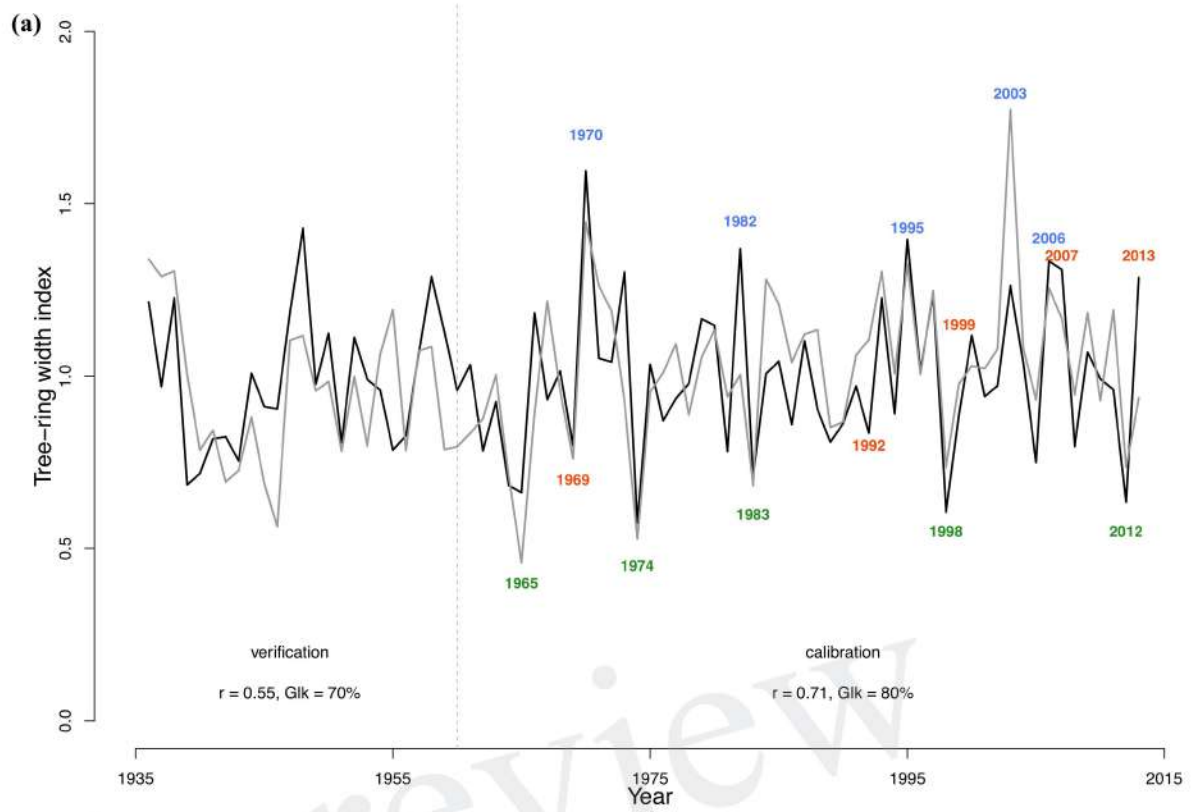


Figure 2.JPEG

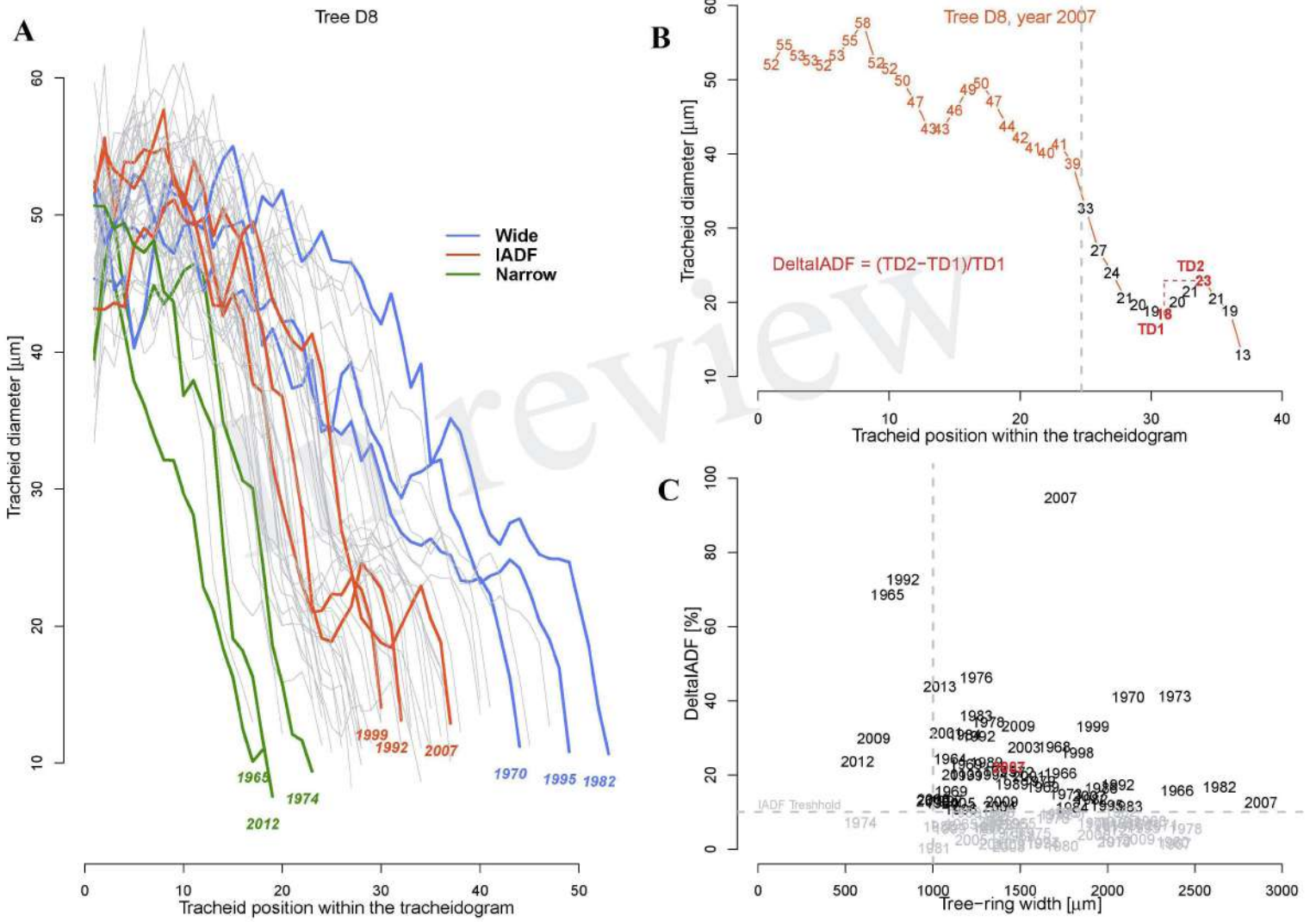


Figure 3.JPEG

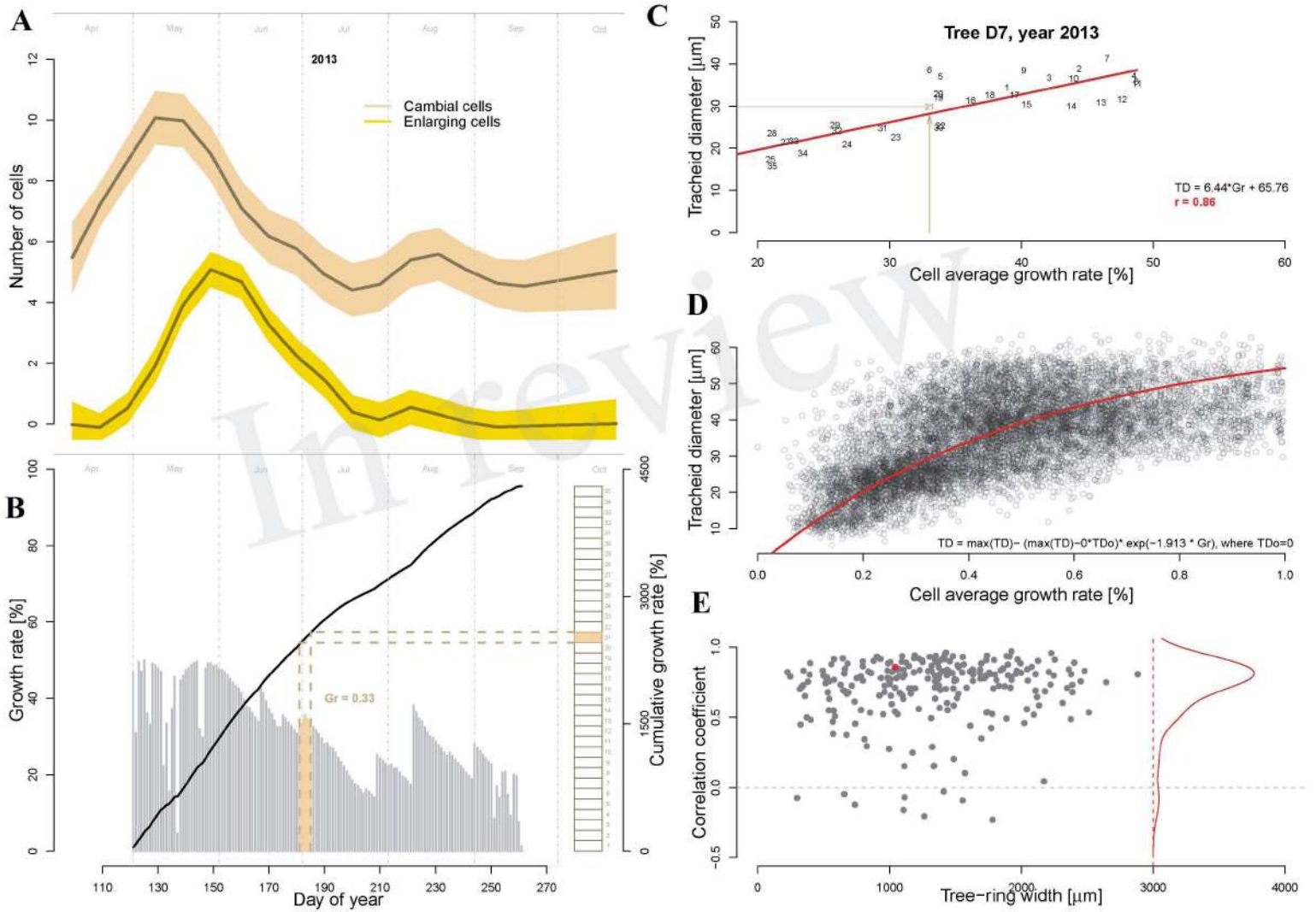


Figure 4.JPEG

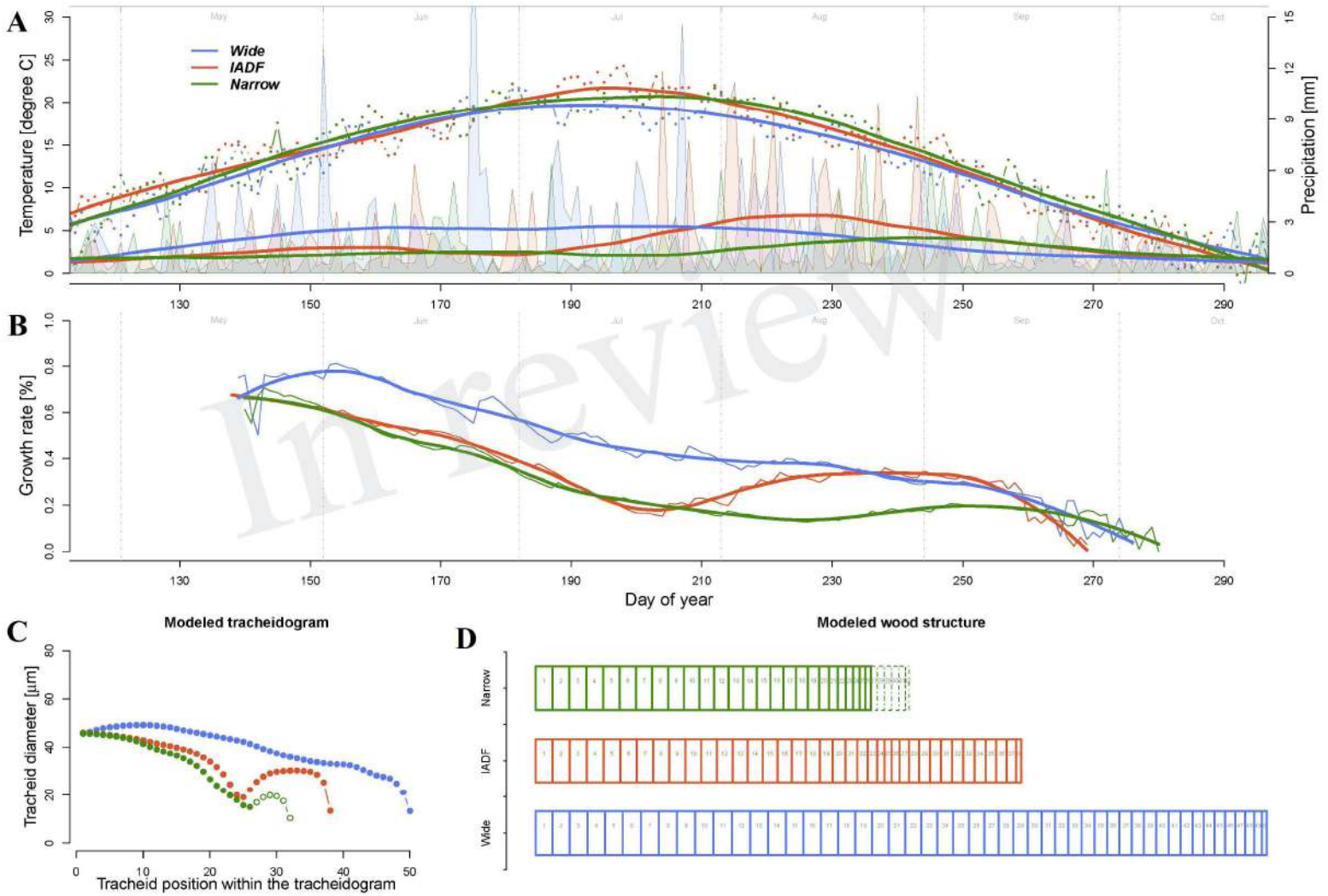


Figure 5.JPEG

