

1 **The effect of individual genetic heterozygosity on general homeostasis,**  
2 **heterosis and resilience in Siberian larch (*Larix sibirica* Ledeb.) using**  
3 **dendrochronology and microsatellite loci genotyping**

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## ABSTRACT

1  
2 The genetic mechanisms underlying the relationship of individual heterozygosity  
3 (IndHet) with heterosis and homeostasis are not fully understood. Such an understanding, however,  
4 would have enormous value as it could be used to identify trees better adapted to environmental stress.  
5 Dendrochronology data, in particular the individual average radial increment growth of wood  
6 measured as the average tree ring width (AvTRW) and the variance of tree ring width (VarTRW) were  
7 used as proxies for heterosis (growth rate measured as AvTRW) and homeostasis (stability of the  
8 radial growth of individual trees measured as VarTRW), respectively. These traits were then used to  
9 test the hypothesis that IndHet can be used to predict heterosis and homeostasis of individual  
10 trees. Wood core and needle samples were collected from 100 trees of Siberian larch (*Larix sibirica*  
11 Ledeb.) across two populations located in Eastern Siberia. DNA samples were obtained from the  
12 needles of each individual tree and genotyped for eight highly polymorphic microsatellite loci. Then  
13 mean IndHet calculated based on the genotypes of eight loci for each tree was correlated with the  
14 statistical characteristics of the measured radial growth (AvTRW and VarTRW) and the individual  
15 standardized chronologies. The analysis did not reveal significant relationships between the studied  
16 parameters. In order to account for the strong dependence of the radial growth on tree age the age  
17 curves were examined. An original approach was employed to sort trees into groups based on the  
18 distance between these age curves. No relationship was found between these groups and the groups  
19 formed based on heterozygosity. However, further work with more genetic markers and increased  
20 sample sizes is needed to test this novel approach for estimating heterosis and homeostasis.

21 **Keywords:** Dendrochronology; Tree ring width; Radial growth; Individual heterozygosity;  
22 Microsatellite markers; Heterosis; Homeostasis; Climate change; Environmental stress

23

## 24 Introduction

25 The concept of individual homeostasis in a heterogeneous environment as indicated by the low  
26 impact of environmental factors (temperature, precipitation, etc.) on individual development was  
27 first introduced by Walter Cannon (1929). It was further developed into the concept of  
28 developmental homeostasis (Dobzhansky and Wallace, 1953), genetic homeostasis (Lerner,

1 1954), developmental stability (Mather, 1953; Thoday, 1955) and phenotypic stability (Lewis,  
2 1954). The concept was based on the observation that individuals with  
3 higher individual heterozygosity (IndHet) were characterized by a more stable growth pattern and less  
4 impacted by environmental factors, such as, for instance, temperature and precipitation (see Livshits  
5 and Kobylansky, 1985 for early review). The concept was revisited and reevaluated multiple times,  
6 but still needs additional studies and experimental data to improve our understanding of the  
7 molecular basis and genetic mechanisms underlying individual homeostasis and heterosis (see for  
8 more recent review Woolf and Markow, 2003; Hochholdinger and Hoecker, 2007; Fridman, 2015;  
9 Lippman and Zamir, D., 2007; Nicoglou, 2015; Peirson, 2015).

10 Stable growth pattern and the problem of individual response to environmental stress should  
11 receive special attention in light of global climate change. Long-term changes in climates as well as  
12 short-term fluctuations in weather are of special concern for long-lived, sessile plant species such as  
13 forest trees, because unlike freely moving organisms, such as most animals and some plants they  
14 cannot purposefully search for a favorable habitat and move to it, and have to withstand  
15 environmental stresses during their lifetime as long as, for instance, 300-400 years on average and  
16 up to maximum 750 years for Siberian larch (*Larix sibirica* Ledeb.) (Vaganov et al., 2006). Conifers,  
17 such as pine, larch and spruce, are the keystone species of the boreal forest ecosystems that could be  
18 both significantly affected by global climate change and at the same time play a very important role  
19 in the mitigation of climate change effects due to their ability to store large amounts of carbon  
20 (Kasischke and Stocks, 2000; Soja et al., 2007; Nelson et al., 2008; Chen and Luo, 2015; Gauthier  
21 et al., 2015). Conifers have a substantial adaptive capacity at the individual tree level due to the  
22 high phenotypic plasticity and at the population level due to the high genetic variation (Hamrick,  
23 2004; Santos-del-Blanco et al., 2013). However, genetic mechanisms of this high adaptability at  
24 both individual and population levels are still not fully understood. Siberian larch was selected for  
25 study here as it is one of the major boreal tree species in Eurasia (Kobak et al., 1996; Abaimov,  
26 2010; Shuman et al., 2011).

1 We consider two main hypotheses for the genetic mechanisms that may explain why  
2 individuals with higher IndHet could be less impacted by environmental factors and demonstrate  
3 higher heterosis: 1) overdominance (see review by Hansson and Westerberg, 2002), and 2)  
4 dominance, because highly heterozygous individuals by definition have lower levels  
5 of inbreeding and less inbreeding depression (see, e.g., David, 1999; Reed et al., 2012; Gonzalez-Varo  
6 et al., 2012; Abrahamsson et al., 2013). Both these genetic mechanisms could be responsible for the  
7 stable growth of individual trees with higher IndHet and their resistance to fluctuations in the  
8 environment, i.e. homeostasis can be associated with heterosis due to either the higher fitness of  
9 heterozygotes because of dominance (when the detrimental or less favorable recessive alleles that  
10 weaken the individual adaptability in homozygotes are masked and do not affect the individual  
11 fitness in heterozygotes) and/or overdominance (when heterozygotes have higher fitness than any of  
12 homozygotes). Either case would lead to the natural selection of trees with higher IndHet, and one  
13 can expect that trees that are more resistant to (and more independent from) the environmental  
14 stress would have both a more stable development and a higher IndHet. Maladaptive seedlings and  
15 trees would occur in the population, however, as a genetic segregation load that could be a heavy  
16 price that a population would need to pay to maintain a high level of heterozygosity (Altukhov,  
17 1991). Therefore, we expect also that there is an optimal level of IndHet. Exceeding this optimal  
18 level may lead to an increase of the segregation load and thus IndHet can be regulated by selection  
19 making extremely heterozygous trees less adaptive and less stable.

20 In addition, several variants of certain multimeric enzymes can be formed in heterozygotes,  
21 which acting together may be more efficient than the single form of the enzyme found in  
22 homozygotes (Berger, 1976). In this case, heterosis and homeostasis can be due to overdominance of  
23 heterozygotes. More heterozygous individuals are better adapted according to the theory of  
24 balancing selection in favor of heterozygotes. The mechanisms of heterosis and homeostasis are  
25 poorly understood, however, and available data are very contradictory.

1 Both heterosis and homeostasis have been studied in different organisms, including tree species  
2 and using different traits and genetic markers, such as allozymes (e.g., Ledig et al., 1983; Mitton and  
3 Grant, 1984; Strauss, 1986; Bush et al., 1987; Strauss and Libby, 1987; Zouros et al., 1988; Jelinski,  
4 1993; Gonzalez-Varo et al., 2012), microsatellites or so-called simple sequence repeats - SSRs (e.g.,  
5 Abrahamsson et al., 2013; Zgaga et al., 2013), as well as single nucleotide polymorphisms - SNPs  
6 (e.g., Govindaraju et al., 2009; Chelo and Teotonio, 2013). Correlation of IndHet with various  
7 physiological, morphological and biochemical traits of heterosis and homeostasis (stable  
8 development) was estimated in these studies. Traits used included bilateral asymmetry (see Livshits  
9 and Kobylansky, 1991; Parsons, 1992; Leung et al., 2000 for early reviews and more recent  
10 Kurbalija et al., 2011; Weisensee, 2013), growth rate (Ledig et al., 1983; Mitton and Grant, 1984;  
11 Strauss, 1986; Bush et al., 1987; Strauss and Libby, 1987; Zouros et al., 1988; Jelinski, 1993), and  
12 skeletal meristic traits (Zink et al., 1985).

13 The main objective of our study was to examine relationships between the level of heterosis  
14 and homeostasis measured using dendrochronology traits, such as the average tree ring width  
15 ( $AvTRW$ ) and the variance of tree ring width ( $VarTRW$ ), and IndHet measured with genome wide  
16 genetic markers, such as microsatellite loci (SSRs). In this initial study we used random (and,  
17 therefore, likely intergenic) genomic SSRs that are supposedly selectively neutral genetic markers.  
18 Microsatellite loci were chosen because they are highly informative and relatively inexpensive for  
19 measuring genome-wide individual heterozygosity (but see Väli et al., 2008). They have high  
20 mutation rate, high levels of polymorphism, relatively uniform distribution across the genome, broad  
21 representation, and are relatively simple to detect and to genotype (e.g., Schlotterer, 2000).

22 In our study we used a novel approach to address homeostasis from perspectives of two  
23 disciplines - dendrochronology and population genomics (Gonzalez-Martinez et al., 2006; Krutovsky  
24 and Neale, 2005; Krutovsky, 2006). This approach allows us to more effectively study  
25 the adaptability of natural populations to global climate change (King et al., 2013), and how genetic  
26 variation may be affected (Pauls et al., 2013). For the first time here we propose to use tree ring data

1 to estimate stability and homeostasis. The AvTRW and VarTRW parameters are  
2 particularly useful because they likely correlate with very important environmental and climatic factors  
3 such as precipitation, temperature, and length of growth period (Vaganov et al., 1996, 1999, 2006).

4 The main task in our study was to test the hypothesis that IndHet is associated with  
5 AvTRW and VarTRW. In the early genetic studies some evidence was obtained suggesting that IndHet  
6 is positively associated with heterosis – a higher viability and stronger adaptive traits were observed  
7 in hybrids obtained from crossing parents that were genetically different and distant from each other. It  
8 was expressed as higher resistance to environment change or stress, increased growth rate and  
9 biomass growth, etc. (Schnable and Swanson-Wagner, 2009; Schnable and Springer, 2013; Feng et  
10 al., 2015).

11 If more heterozygous trees are characterized by a more stable homeostasis, then their development  
12 should be less dependent on the environment. Therefore our expectation was to find a negative  
13 correlation between IndHet and VarTRW. If AvTRW can be considered as an adaptive trait, then one  
14 can expect a positive correlation between IndHet and AvTRW due to heterosis.

15 There may, however, be an optimal level of IndHet resulting in nonlinear relationships between  
16 IndHet with AvTRW and VarTRW. High IndHet can lead to an increased segregation load in  
17 the population and cause an imbalance in the individual development. On the other hand,  
18 low IndHet may result from inbreeding, in which frequency of homozygotes for unfavorable recessive  
19 alleles increase. This in turn could adversely affect AvTRW, causing a negative correlation of the  
20 level of homozygosity with AvTRW and also disrupt homeostasis. The latter would be manifested as a  
21 positive correlation between the level of homozygosity and VarTRW. Dendrochronological and  
22 genetic data were collected for the same individual trees to assess AvTRW, VarTRW, and IndHet and  
23 to test these hypotheses.

24

## 25 **Materials and methods**

### 26 *Plant material*

1 Wood cores of Siberian larch were collected in July, 2014, from the following two populations in  
2 the Shira region of Khakasia: 1) the predominantly larch forest mixed with pine and some birch trees  
3 on a gentle southeastern slope (2-5°, 600-700 m a.s.l.) near the Shira-Berenzhak highway (this  
4 population is denoted as “BER”; Fig. 1); and 2) the larch light forest on a steep western slope (up to  
5 30°, 600-800 m a.s.l.) from the top to the base of the hill in the vicinity of the Efremkino village (this  
6 population is denoted as “EFR”; Fig. 1). The distance between the BER and EFR populations is  
7 approximately 25 km. Fifty trees of approximately similar age were randomly sampled in each  
8 population according to the dendrochronological principles (standing apart mature trees with  
9 minimal nonclimatic impacts) (Cook and Kairiukstis, 1990), taking also into account availability of  
10 live branches to collect needles for DNA isolation. Two wood cores were taken from each tree to  
11 measure tree rings. Needles were also collected from the same trees for DNA isolation and genotyping.

#### 12 *Tree-ring width data processing*

13 Initial extraction of wood cores and measurement of the tree-ring width (TRW) were performed  
14 using standard procedures (Cook and Kairiukstis, 1990). A semi-automated device LINTAB-5 and a  
15 specialized program TSAP Win were employed (Rinn, 2011). Cross-dating of the original series was  
16 performed using the COFECHA program (Holmes, 1998). About five cores from each  
17 population were partially broken because the larch wood in the study area was particularly brittle.  
18 Consequently, the time series obtained from these cores were missing from two to three rings. For  
19 further work the estimates for these cores were adjusted using the ARSTAN program (Cook, Krusic,  
20 2005). This was accomplished by constructing a 20-year spline, on which the TRW  
21 fluctuations observed on the duplicate core from the same tree were superimposed. The mean time  
22 series for each tree were obtained by averaging measured TRW values for duplicate cores (Fig. 2).

23 Most cores did not pass through the pith due to the frequently observed offset of the pith from  
24 the geometric center of the tree cross-section and the sampling imperfection. The pith was also  
25 damaged in 2-3 trees per population. We estimated the number of missing innermost rings (pith offset,  
26 PO) using the radius of curvature and the width of the innermost available rings, while taking into

1 account the cross-dating results for duplicate cores from the same tree (Duncan, 1989, Esper et al.,  
 2 2009). Using the ARSTAN program we plotted the age trend curves for each tree using the  
 3 following two approaches: 1) spline having the length equal to 67% of the length of the series  
 4 and 2) an exponential function or in the case of this resulting in negative values on the exponential  
 5 curve, a linear function.

6 The calculation of the distance between the age curves  $A(t)$  was carried out for the age interval  
 7 127 years (using the median  $Me$  of the parameter  $PO$  and the cambial age  $T$  of the trees measured in the  
 8 year 2014). The distances  $\Delta_{ij}$  were calculated for each pair of  $i$  and  $j$  trees using the formula:

$$9 \quad \Delta_{ij} = \frac{1}{t_2 - t_1 + 1} \sum_{t=t_1}^{t_2} |A_i(t) - A_j(t)|, \quad (1)$$

10 where  $t_1 = \max\{PO_i, PO_j, Me(PO)\}$  and  $t_2 = \min\{T_i, T_j, Me(T)\}$  are the common borders for the  
 11 considered trees in the certain age interval, taking into account the above restrictions. The resulting  
 12 table of the distances was employed to perform hierarchical cluster analysis of the local set of trees.  
 13 The clustering at each step was performed using the method of complete linkage.

14 Standardization of the raw tree-ring width data was processed in two steps with ARSTAN. At  
 15 the first step, age trends described above were removed, thus standard (*std*) individual series and  
 16 generalized (averaged) chronologies were obtained. At the second step, we removed autocorrelation  
 17 of the first order (*ac1*) and obtained residual (*res*) individual series and chronologies.

18 Statistical characteristics of individual series and chronologies used included mean value  
 19 (*mean*, that is  $AvTRW$  for the raw data), standard deviation (*stdev*, that is  $VarTRW$  for the raw  
 20 data), mean coefficient of sensitivity (*sens*), autocorrelation of the first order (*ac1*), expressed  
 21 population signal (*eps*), interseries average correlation coefficient (*rbar*), and correlation of  
 22 individual series with their master chronology (*R*). Significance of differences between different  
 23 groups of trees was tested using Student's *t*-distribution.

24 *Climatic data*



1 Monthly climatic data for dendroclimatological analysis were obtained from the Climatic  
2 Research Unit (CRU) database ([http://climexp.knmi.nl/selectfield\\_obs2.cgi](http://climexp.knmi.nl/selectfield_obs2.cgi)) for a grid with a step of  
3 0.5° for the four points that are closest to the dendrochronological polygons (Fig. 1) for the period 1901-  
4 2014. The following data were used: the average temperature, total precipitation, and the Palmer  
5 Drought Severity Index (PDSI). Climate variables were compared at different points, as well as with  
6 the instrumental data from the weather station "Shira" for temperature (1966-2012) and  
7 precipitation (1937-2012). Correlation coefficients were calculated for the  
8 following periods: September-November, December-February, March-May, June-August and for the  
9 full-year period from September to August (Table 1).

10 The interannual changes of temperature and precipitation for the most important summer period  
11 are illustrated in Fig. 3. While the CRU data are well-correlated among each other, the correlation  
12 with the data from the weather station "Shira" is much lower, especially for  
13 precipitation. This discrepancy may be because 1) the CRU data were obtained by interpolation from  
14 other sources, possibly reflecting regional climate rather than weather at a specific point or 2) the  
15 possibility of inaccurate instrumentation or human error at the weather station during a certain  
16 period. We decided to use the CRU climatic data for further analysis because these data have longer  
17 duration and are expected to have higher reliability over the full period.

18 The BER sampling population is located 7 km from grid point 3, whereas the sampling  
19 population EFR is 3 km from the center of the area (point 8) bounded by the neighboring grid points. For  
20 the climatic response analysis we used data for grid point 3 for the BER chronologies and the  
21 averaged data for points 1-4 for the EFR chronologies.

## 22 *Genotyping with nuclear microsatellite loci*

23 To estimate genetic polymorphism of the two populations of Siberian larch and individual tree  
24 heterozygosity, we used the eight best performing and the most polymorphic nuclear microsatellite  
25 loci (SSRs) that were previously developed for Japanese larch (*L. kaempferi* Sarg.) -loci *bcLK*, and for  
26 alpine larch (*L. lyallii* Parl.) and western larch (*L. occidentalis* Nutt.) -loci *UAKly* and *UBCLX* (Table 2),

1 and then adapted for the Siberian larch (Oreshkova et al., 2013). The characteristics of these markers  
2 and the PCR conditions of their amplification are presented in Table 2.

3 Individual samples of total DNA were extracted from 100-200 mg of needles per tree.  
4 Extractions were performed according to the standard protocol for plant  
5 tissues using cetyltrimethylammonium bromide, CTAB (Devey et al., 1996).

6 The fragment analysis and sizing of the amplified individual alleles of the microsatellite loci and  
7 their genotyping were done using 6% polyacrylamide gel electrophoresis (PAGE) in Tris-EDTA-borate  
8 electrode buffer. Gels were stained in ethidium bromide solution and visualized using the system of gel  
9 documentation. The fragment lengths were determined by comparison with the standard DNA ladder  
10 (plasmid pBR322 DNA digested by the HpaII restriction enzyme) using the Photo-Capt software. To  
11 more precisely determine the lengths of the PCR fragments (microsatellite alleles)  
12 multiple comparisons of variants of each locus were performed by running them on the  
13 same gel. Genetic diversity parameters including individual heterozygosity were estimated using the  
14 GenAlEx 6.41 software (Peakall and Smouse, 2006).

### 15 *Correlation Analysis*

16 All relationships between variables were analyzed using Pearson's correlation  
17 coefficients. Significance of correlation was tested using Student's *t*-distribution. We also applied  
18 multifactorial analysis of variance using the Variance Components ANOVA/ANCOVA module in  
19 the STATISTICA software (StatSoft Inc., Tulsa, OK, USA) to estimate relationship of IndHet with  
20 AvTRW and VarTRW (using IndHet as a dependent variable, population as fixed effect, and  
21 AvTRW and VarTRW as random effects), but it gave results similar to the correlation analysis,  
22 therefore, these data are not presented here.

### 23 **Results**

1 Genetic variation was high in both populations across all loci, varying from 3 to 15 alleles per  
2 locus (Table 3). Observed heterozygosity ( $H_o$ ) varied from 0.040 to 0.560 per locus and was 0.315  
3 and 0.260 on average for all loci in BER and EFR populations, respectively.

4 Both parameters AvTRW and VarTRW had positive, but weak and statistically nonsignificant  
5 correlations with IndHet (Table 4, Fig.4). At the same time, AvTRW and VarTRW were  
6 positively correlated at a highly significant level. Relationships of IndHet were estimated using  
7 absolute values for measured parameters (*raw*) of the individual series of radial growth, as well as  
8 with two types of standardized (*std* and *res*) parameters (Table 5, Fig.5). All correlation coefficients  
9 were close to zero and nonsignificant.

10 Since the radial growth largely depends on the tree age, a phenomenon referred to as the age trend,  
11 we also compared the groups of trees characterized by different levels of IndHet with the groups  
12 (clusters) of trees characterized by different age curves, determined by hierarchical classification  
13 using two methods of age curves estimation (spline / exponential function). The obtained age  
14 curves and the depth of the dataset aligned by the cambial age, i.e., the number of trees for each age, are  
15 shown in Fig. 6, the cluster subsets are shown in Figures 7 and 8, and the dendrograms of  
16 classification are shown in Fig.9. Different methods of calculating the  
17 age curves yielded significantly different results of classification, although certain common  
18 patterns may be found. Nevertheless, no common patterns in the distribution of trees with  
19 different IndHet were found in either case.

20 Each population (BER and EFR) was then partitioned into two subsets after  
21 removing trees younger than 50 years from the analysis. The first subset “low IndHet” – with the  
22 index of individual heterozygosity in the range of 0-0.25, and the second subset “high IndHet” – with  
23 IndHet in the range of 0.375-0.75. For each subset standard dendrochronological procedures were  
24 then performed, and the generalized standard (*std*) and residual (*res*) chronologies were obtained. The  
25 statistical characteristics of the chronologies obtained using the ARSTAN software are shown in  
26 Table 6. For each subset, standard dendroclimatological analysis was carried out. Correlation

1 coefficientsof thechronologieswiththe monthly total precipitation,average temperatureand the PDSI  
2 were found to be significant for some months (Fig.10).

3

#### 4 **Discussion**

5 The highly significant and positivecorrelation between AvTRW and VarTRW was interesting.  
6 This phenomenon can be explained if under unfavorable conditions most (if not all) trees grow  
7 slower regardless of their genotype, but under favorable conditions some trees may respond better  
8 via increased radial growth.

9 It is difficult todraw a conclusionabout the relationships of AvTRW and VarTRW parameters  
10 withIndHet based on the data presented here.Although the correlations were nonsignificant, they  
11 were nonlinear rather than linear (Fig. 4). Therefore, the effect of individual heterozygosity could  
12 be very complex, and there may be an optimal intermediate level, when low individual  
13 heterozygosity could be as detrimental as a very high value (Altukhov et al., 1986; Altukhov, 1996,  
14 1998, 1999; Altukhov and Sheremet'eva, 2000; Altukhov and Moskaleichik, 2006; Olano-Marin et  
15 al., 2011; Thoß et al., 2011).

16 Attempts to reveal the relationshipsbetween IndHet and individual series statistical  
17 characteristics and age curve groups did not give significant results. Use of generalized  
18 chronologies of subsets with low and high IndHet was more successful. The most significant and  
19 stable differences were found for expressed population signal (*eps*), which was higher for more  
20 heterozygous chronologies at all stages of standardization (Table 6). The same but less significant  
21 regularity was observed for the interseries correlation coefficients (*R*) and sensitivity (*sens*)  
22 coefficients. These patternssuggesta trend towardsmore pronouncedcommon external signalsin  
23 treeswith higherheterozygosity because both *R* and *eps*are measures of common variation of  
24 individualgrowth series in the chronology, especially since *eps* can be interpreted as a measure of  
25 closeness between individual series and theoretical chronology of entire population (Wigley et al.,  
26 1984).As common environmental factors become more extreme, the populationsexhibit a higher

1 synchrony in growth patterns of individual trees and thus the common signal (Cook, 1985; Briffa  
2 and Jones, 1990). In the same environment, a common signal also depends on tolerance of plants to  
3 local conditions (Merian and Lebourgeois, 2011). Autocorrelation (*ac1*)  
4 in the heterozygous chronologies, on the contrary, was lower (although this difference was  
5 significant in only one population): that is, the radial growth in the current year was less dependent  
6 on growth in the previous year. Therefore, on the basis of identified trends, we can assume that for trees  
7 with higher heterozygosity there was a more pronounced effect of factors common for the entire  
8 population (climate, general characteristics of the landscape and the soil), especially climatic  
9 variables with their high-frequency variation. For less heterozygous trees, the impact  
10 of individual stress factors, such as microenvironment and competitive relationship, was more  
11 important, which can be cautiously interpreted as their individual development is less stable.

12 Climatic response varied depending on heterozygosity. There was a stronger negative response  
13 to the warm season temperatures for the data subsets with high IndHet in both populations and a  
14 stronger positive response to the PDSI and the spring-summer precipitation, as a factor decreasing  
15 water deficit stress in plants, in the BER population. On the contrary, in the more humid and thus  
16 less extreme environmental conditions of the EFR population, the positive effect of increased  
17 precipitation and less severe drought (PDSI) was more pronounced for the data subset with low  
18 IndHet. The dendroclimatic analysis, however, generally confirmed an expected pattern of positive  
19 relationship between heterozygosity and common signal strength in moderately extreme conditions  
20 of water availability.

21 The lack of correlation between IndHet and characteristics of radial growth can be explained by  
22 the ascertainment bias caused by typically selecting only the most polymorphic microsatellite  
23 markers in the genome, which may lead to reduced sensitivity for judging genome-wide levels of  
24 genetic diversity. Väli et al. (2008) tested this potential limitation of microsatellite-based  
25 approaches by correlating nucleotide diversity in noncoding regions of eight different carnivore  
26 populations assessed by sequencing 10 introns (5.4–5.7 Kb) in 20 individuals of each population

1 with mean multilocus heterozygosities based on microsatellite genotyping (10–27 markers) of the  
2 same animals. Although there was a positive correlation between microsatellite marker  
3 heterozygosity and nucleotide diversity at the population level, no significant correlation was found  
4 at the individual level. These results imply that the variability of microsatellite marker sets typically  
5 used in population studies may not accurately reflect the underlying genomic diversity. This  
6 suggests that researchers should consider using resequencing-based approaches for assessing  
7 genetic diversity when accurate inference is critical, as it maybe in our case.

8 Another problem could be associated with a relatively high frequency of null-alleles that can  
9 mask heterozygotes. The high  $F$ -values observed in several loci in both populations (Table 3) can  
10 be a signature of null-allele presence. Inbreeding can also inflate  $F$ -values, and self-pollination  
11 seems higher in larch compared to other conifers (Knowles et al., 1987; Oreshkova et al.,2013), but  
12 it cannot explain uneven distribution of  $F$ -values across loci.

13 SSR markers alone did not allow us to discriminate two main hypotheses: overdominance vs.  
14 dominance, but only to test the association of IndHet with the average tree-ring width (AvTRW)  
15 and with the variance of the tree-ring width (VarTRW) used as proxy traits for heterosis and  
16 homeostasis, respectively. In the following studies we plan to use also supposedly adaptive genetic  
17 markers, i.e. microsatellites closelylinked with functionalandadaptivegenes, and sequence data –  
18 that are SNPsin the coding (preferably nonsynonymous SNPs) regions, as well as supposedly  
19 selectively neutral SNPs in noncoding regions for comparison.A description of thedifferent types  
20 ofgenomicmarkersproposedin our study and alsorecommendedfor the study ofthe impact of  
21 globalclimate changeon the genetic variabilityof populations and species is provided in Angeloni et  
22 al. (2012).

23

## 24 **Conclusions**

25 Dependence of some radial growth characteristics of Siberian larch trees on their individual  
26 heterozygosity was investigated. Application of different approaches demonstrated that partitioning

1 the populations into two groups (subsets) with low and high individual heterozygosity, respectively,  
2 and the subsequent comparison of their chronologies provided additional valuable information. It  
3 can be assumed that radial growth of trees with high IndHet responded more strongly to the climatic  
4 changes because of their faster recovery after extreme stress. On the contrary, radial growth of trees  
5 with low IndHet is more autoregressive and is more affected by continuously acting stress factors.  
6 In our further work we plan to increase the number of loci to make them more genome wide for  
7 more accurate estimation of individual heterozygosity and for better detection of environmental  
8 signals.

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- 21

1 **Table 1**

2 Correlations between various climatic data series for the time interval 1966-2012.

Sites	Temperature					Precipitation					PDSI				
	Fall	Winter	Spring	Summer	Year	Fall	Winter	Spring	Summer	Year	Fall	Winter	Spring	Summer	Year
1-2	0.996	0.998	0.998	0.991	0.998	0.967	0.968	0.950	0.928	0.954	0.853	0.823	0.891	0.924	0.908
1-3	0.993	0.996	0.998	0.990	0.996	0.886	0.843	0.843	0.834	0.896	0.781	0.688	0.783	0.880	0.815
1-4	0.999	0.999	0.999	0.998	0.999	0.961	0.930	0.948	0.945	0.965	0.888	0.824	0.923	0.937	0.915
2-3	0.999	0.999	0.999	0.998	0.999	0.954	0.932	0.949	0.954	0.966	0.818	0.776	0.877	0.921	0.896
2-4	0.997	0.999	0.997	0.992	0.998	0.963	0.958	0.924	0.938	0.949	0.815	0.758	0.916	0.917	0.898
3-4	0.996	0.998	0.998	0.993	0.998	0.947	0.968	0.893	0.942	0.953	0.918	0.865	0.914	0.938	0.920
1-Mean	0.998	0.999	0.999	0.997	0.999	0.973	0.960	0.965	0.951	0.972	0.936	0.908	0.943	0.964	0.948
2-Mean	0.999	1.000	0.999	0.998	0.999	0.992	0.990	0.988	0.984	0.987	0.925	0.913	0.963	0.970	0.965
3-Mean	0.998	0.999	0.999	0.998	0.999	0.967	0.960	0.952	0.963	0.975	0.936	0.908	0.934	0.965	0.947
4-Mean	0.999	1.000	0.999	0.998	1.000	0.987	0.989	0.969	0.984	0.986	0.964	0.941	0.982	0.978	0.974
1-Shira	0.965	0.974	0.964	0.901	0.977	0.328	0.287	0.276	0.372	0.362					
2-Shira	0.967	0.970	0.965	0.914	0.975	0.359	0.403	0.339	0.514	0.420					
3-Shira	0.967	0.971	0.968	0.920	0.977	0.372	0.610	0.441	0.611	0.479					
4-Shira	0.967	0.975	0.967	0.911	0.979	0.417	0.507	0.433	0.516	0.452					
Mean-Shira	0.968	0.973	0.967	0.914	0.977	0.376	0.460	0.381	0.523	0.439					

3

4



1 **Table 2**

2 Microsatellite loci genotyped in Siberian larch in this study.

<b>Locus</b>	<b>Motif</b>	<b>Annealing T (°C)</b>	<b>Number of alleles<sup>a</sup></b>	<b>Fragment size, bp</b>	<b>Reference</b>
<i>bcLK056</i>	(AG) <sub>20</sub>	Touchdown 63-53°C	12/10	140-200	IsodaandWatanabe, 2006
<i>bcLK066</i>	(TG) <sub>12</sub>		5/4	140-172	
<i>bcLK224</i>	(AG) <sub>17</sub>		9/4	130-168	
<i>bcLK260</i>	(TG) <sub>14</sub> (AG) <sub>9</sub>		5/5	80-126	
<i>bcLK232</i>	(AG) <sub>19</sub>		10/4	135-178	
<i>bcLK235</i>	(TC) <sub>9</sub> (AC) <sub>2</sub> AG(AC) <sub>14</sub>	58°C	9/15	168-220	Chenetal.,2009
<i>UBCLXtet-1-22</i>	(TATC) <sub>9</sub> (TA) <sub>12</sub>		8/3	175-250	
<i>UAKLly6</i>	(GT) <sub>17</sub>		13/9	212-264	

3 <sup>a</sup>First number is a number of microsatellite alleles published earlier; second one is a number of  
 4 alleles discovered in this study.

1 **Table 3**

2 Genetic variation of eight microsatellite loci in two Siberian larch populations.

Population <sup>a</sup>	Parameter	<i>bcLK056</i>	<i>bcLK224</i>	<i>bcLK066</i>	<i>bcLK260</i>	<i>bcLK235</i>	<i>UBC-1-22</i>	<i>UAKLly6</i>	<i>bcLK232</i>	Mean±SE
BER	$N_a$	10	4	4	5	15	3	9	4	6.8±1.5
	$N_e$	6.2	2.8	1.4	2.1	8.8	1.2	5.6	1.7	3.7±1.0
	$H_o$	0.340	0.180	0.260	0.340	0.560	0.040	0.380	0.420	0.315±0.056
	$H_e$	0.839	0.637	0.270	0.517	0.886	0.185	0.821	0.407	0.570±0.095
	$F$	0.595	0.717	0.037	0.343	0.368	0.784	0.537	-0.032	0.419±0.106
EFR	$N_a$	9	3	4	5	9	3	7	3	5.4±0.9
	$N_e$	5.4	1.8	1.2	1.4	4.3	1.4	4.3	1.2	2.6±0.6
	$H_o$	0.420	0.200	0.180	0.120	0.440	0.260	0.320	0.140	0.260±0.043
	$H_e$	0.816	0.455	0.168	0.287	0.768	0.295	0.767	0.165	0.465±0.099
	$F$	0.486	0.561	-0.073	0.582	0.427	0.120	0.583	0.154	0.355±0.089
Mean ± standard error (SE) over both populations	$N_a$	9.5±0.5	3.5±0.5	4.0±0.0	5.0±0.0	12.0±3.0	3.0±0.0	8.0±1.0	3.5±0.5	6.1±0.9
	$N_e$	5.8±0.4	2.3±0.5	1.3±0.1	1.7±0.3	6.5±2.2	1.3±0.1	4.9±0.7	1.4±0.2	3.2±0.6
	$H_o$	0.380±0.040	0.190±0.010	0.220±0.040	0.230±0.110	0.500±0.060	0.150±0.110	0.350±0.030	0.280±0.140	0.288±0.035
	$H_e$	0.828±0.011	0.546±0.091	0.219±0.051	0.402±0.115	0.827±0.059	0.240±0.055	0.794±0.027	0.286±0.121	0.518±0.068
BER & EFR	$F$	0.540±0.055	0.639±0.078	-0.018±0.055	0.463±0.120	0.398±0.030	0.452±0.332	0.560±0.023	0.061±0.093	0.387±0.067

3 <sup>a</sup> 50 trees were genotyped in each population.  $N_a$  – number of different alleles;  $N_e$  – number of effective alleles =  $\frac{1}{\sum_{i=1}^n p_i^2}$ ;  $H_o$  – observed heterozygosity  
4 =  $\frac{\text{number of heterozygotes}}{N}$ ;  $H_e$  – expected heterozygosity =  $1 - \sum_{i=1}^n p_i^2$ ;  $F$  – fixation index =  $(H_e - H_o)/H_e = 1 - (H_o/H_e)$ ; where  $N$  is number of trees  
5 genotyped, and  $p_i$  is the frequency of the  $i$ th allele in the population.

1 **Table 4**

2 Correlations between average tree ring width (AvTRW), variance of tree ring width (VarTRW) and  
 3 individual heterozygosity of trees (IndHet).

Population <sup>a</sup>	Parameter	AvTRW/VarTRW	IndHet/AvTRW	IndHet/VarTRW
BER	<i>R</i>	0.805	0.215	0.265
	<i>P</i>	0.000*	0.134	0.063
EFR	<i>R</i>	0.660	0.203	0.203
	<i>P</i>	0.000*	0.156	0.158
Combined (BER+EFR)	<i>R</i>	0.726	0.146	0.122
	<i>P</i>	0.000*	0.147	0.225

4 <sup>a</sup> 50 trees were genotyped in each population. *R* - correlation coefficient, *P* - significance level (\**P*<  
 5 0.001).

6

1 **Table 5**

2 Correlations of individual heterozygosity (IndHet) of trees with their radial increment growth  
 3 statistics in two populations (BER and EFR).

Population	Parameter	raw					std			res	
		mean (AvTRW)	stdev(VarTRW)	sens	acl	R	stdev	sens	acl	stdev	sens
BER	<i>r</i>	0.215	0.222	0.109	-0.142	-0.173	0.045	0.088	-0.117	0.047	0.050
	<i>p</i>	0.134	0.122	0.449	0.325	0.231	0.757	0.542	0.420	0.744	0.732
EFR	<i>r</i>	0.172	0.202	0.119	-0.035	0.190	0.017	0.115	-0.068	0.059	0.006
	<i>p</i>	0.234	0.159	0.412	0.809	0.186	0.907	0.426	0.637	0.684	0.969
Combined (BER+EFR)	<i>r</i>	0.126	0.111	0.054	-0.062	0.024	0.023	0.048	-0.038	0.005	0.002
	<i>p</i>	0.213	0.272	0.597	0.540	0.814	0.822	0.635	0.710	0.964	0.985

4 *r* - correlation coefficient with IndHet, *p*- significance level (other parameters and abbreviations are  
 5 explained in Materials and methods).

6

1 **Table 6**

2 The mean values and standard deviations (mean  $\pm$  standard deviation) of statistics for original (*raw*)  
 3 and standardized (*std* and *res*) radial growth chronologies of two populations (BER and EFR)  
 4 partitioned for groups with low and high individual heterozygosity (IndHet) of trees.

Type of chronology	Statistics	Chronology			
		BER		EFR	
		low IndHet	high IndHet	low IndHet	high IndHet
<i>raw</i>	mean(AvTRW)	1.42 $\pm$ 0.63	1.37 $\pm$ 0.68	0.80 $\pm$ 0.72***	1.85 $\pm$ 0.77**
	<i>stdev</i> (VarTRW)	0.74 $\pm$ 0.26	0.75 $\pm$ 0.34	1.13 $\pm$ 0.45	1.11 $\pm$ 0.45
	<i>sens</i>	0.36 $\pm$ 0.07**	0.39 $\pm$ 0.07**	0.44 $\pm$ 0.11	0.47 $\pm$ 0.10
	<i>ac1</i>	0.66 $\pm$ 0.14	0.65 $\pm$ 0.15	0.67 $\pm$ 0.14**	0.59 $\pm$ 0.12**
	<i>rbar</i>	0.57 $\pm$ 0.12*	0.62 $\pm$ 0.15*	0.56 $\pm$ 0.10	0.59 $\pm$ 0.08
	<i>eps</i>	0.94 $\pm$ 0.05***	0.96 $\pm$ 0.02***	0.94 $\pm$ 0.04***	0.97 $\pm$ 0.01***
	<i>R</i>	0.74 $\pm$ 0.10	0.75 $\pm$ 0.10	0.69 $\pm$ 0.14***	0.76 $\pm$ 0.08***
<i>std</i>	<i>stdev</i>	0.48 $\pm$ 0.09*	0.51 $\pm$ 0.12*	0.53 $\pm$ 0.11	0.51 $\pm$ 0.10
	<i>sens</i>	0.36 $\pm$ 0.07**	0.39 $\pm$ 0.07**	0.43 $\pm$ 0.11	0.46 $\pm$ 0.10
	<i>ac1</i>	0.57 $\pm$ 0.14	0.56 $\pm$ 0.17	0.54 $\pm$ 0.11***	0.43 $\pm$ 0.14***
	<i>rbar</i>	0.56 $\pm$ 0.13**	0.62 $\pm$ 0.15**	0.57 $\pm$ 0.09**	0.62 $\pm$ 0.09**
	<i>eps</i>	0.93 $\pm$ 0.05***	0.96 $\pm$ 0.02***	0.94 $\pm$ 0.03***	0.97 $\pm$ 0.01***
<i>res</i>	<i>stdev</i>	0.37 $\pm$ 0.06*	0.39 $\pm$ 0.07*	0.43 $\pm$ 0.09	0.45 $\pm$ 0.09
	<i>sens</i>	0.43 $\pm$ 0.08*	0.46 $\pm$ 0.08*	0.48 $\pm$ 0.12	0.49 $\pm$ 0.10
	<i>rbar</i>	0.62 $\pm$ 0.09	0.65 $\pm$ 0.10	0.60 $\pm$ 0.07	0.62 $\pm$ 0.09
	<i>eps</i>	0.94 $\pm$ 0.06*	0.96 $\pm$ 0.03*	0.95 $\pm$ 0.03**	0.97 $\pm$ 0.01**
Number of cores		41	54	55	27

5 Significance level of differences between groups with low and high individual heterozygosity:

6 \* $p < 0.10$ , \*\* $p < 0.05$ , \*\*\* $p < 0.01$ .

1 **FIGURE LEGENDS**

2

3 **Fig. 1.** Map of the study area. Numbers 1-4 and 8 indicate grid points for climatic data CRU, 5 - the  
4 middle of the square grid for meteorological station "Shira", 6 and 7 -  
5 dendrochronological polygons for populations Efremkino (EFR) and Berenzhak (BER), respectively.

6

7 **Fig. 2.** Tree ring width (TRW) of the individual trees and the local measured chronology (red line) in  
8 the BER population along the years measured.

9

10 **Fig. 3.** Summer temperature and precipitation in the study area based on data from different sources  
11 (see Material and methods).

12

13 **Fig. 4.** Correlation of the average tree ring width (AvTRW) and the variance of tree ring width  
14 (VarTRW) with individual heterozygosity (IndHet) of trees, and AvTRW vs. VarTRW measured in  
15 two populations (50 trees each) combined.

16

17 **Fig. 5.** Scattering diagrams of the studied statistical characteristics for the measured and  
18 standardized individual chronologies of radial increment growth with the individual heterozygosity  
19 (IndHet) of trees parameter.

20

21 **Fig. 6.** Age curves for the population BER, calculated using different methods. A(t), mm – age curve  
22 (function of age trend) of radial growth in millimeters, N – number of trees for each age.

23

24 **Fig. 7.** Clusters of age curves calculated as splines.

25

26 **Fig. 8.** Clusters of age curves calculated as exponential and linear functions.

27

28 **Fig. 9.** Hierarchical dendrograms for the BER population dataset (clusterization is based on the  
29 age curves).

30

31 **Fig. 10.** The climatic response in the chronologies of the two local population datasets (BER and EFR)  
32 with lower and higher heterozygosity. Dotted line indicates the significance threshold for  $P < 0.05$ .