Hard X-ray photoelectron and X-ray absorption spectroscopy characterization of oxidized surfaces of iron sulfides

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ABSTRACT

Hard X-ray photoelectron spectroscopy (HAXPES) using an excitation energy range of 2 keV to 6 keV in combination with Fe K- and S K-edge XANES, measured simultaneously in total electron (TEY) and partial fluorescence yield (PFY) modes, have been applied to study near-surface regions of natural polycrystalline pyrite FeS₂ and pyrrhotite Fe_{1-x}S before and after etching treatments in an acidic ferric chloride solution. It was found that the following near-surface regions are formed owing to the preferential release of iron from oxidized metal sulfide lattices: (i) a thin, no more than 1–4 nm in depth, outer layer containing polysulfide species, (ii) a layer exhibiting less pronounced stoichiometry deviations and low, if any, concentrations of polysulfide, the composition and dimensions of which vary for pyrite and pyrrhotite and depend on the chemical treatment, and (iii) an extended almost stoichiometric underlayer yielding modified TEY XANES spectra, probably, due to a higher content of defects. We suggest that the extended layered structure should heavily affect the near-surface electronic properties, and processes involving the surface and interfacial charge transfer.

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1. Introduction

Pyrite and pyrrhotite are the most common sulfide minerals of iron. These minerals are frequently found together with non-ferrous base metals and precious metals in ores and play an important role in the biogeochemical sulfur cycle and other environmental processes [1–4]. Pyrite (FeS₂) has a cubic crystal lattice composed of low-spin ferrous iron and disulfide S₂²⁻ groups with a bulk band gap of about 0.95 eV [5–7]; it is one of the promising materials for photovoltaic [8,9], battery cathode [10,11], thermoelectric [12] and other applications. Pyrrhotites (Fe_{1-x}S, 0 < x < 0.2) crystallize in a NiAs-like structure consisting of high-spin Fe²⁺, monosulfide anions and a system of ordered cationic vacancies, having a very narrow gap of about 0.05 eV [1,13,14]. The chemical reactivity of iron sulfides in the environment and during mineral processing, as well as their electronic and optical properties strongly depend on the composition and structure of the real surfaces formed in natural and technological environ-

ments. It is known from X-ray photoelectron spectroscopy (XPS) and other surface-sensitive techniques [2–4,15–34] that iron can be easily released from the lattice of these compounds, leaving non-equilibrium metal-deficient surface layers. The resulting surface sulfur enrichment is generally modest for oxidation-resistant pyrite, with intrinsic (fractured) pyrite surfaces possibly even being S-deficient [2–6,17–26]. In contrast, the metal depleted layer incorporates di- and polysulfide species and low-spin Fe(II) and can be as thick as several micrometers at pyrrhotite reacted in acidic solutions under certain conditions [27–35]; for example, Pratt and co-workers [30–32] have reported Auger depth profiles of several reacted pyrrhotites. It remains, however, unclear how the undersurface species alter with depth, in particular, because Ar⁺ ion sputtering employed in many works could seriously affect the chemical state of Fe and S.

The characterization effectiveness of conventional XPS, which has been widely used to study intrinsic and reacted (oxidized) surfaces of pyrite and pyrrhotite, is limited to photoelectron kinetic energies lower than 1.5 keV, corresponding to inelastic mean free path (IMFP) and information depths (ID = 3 × IMFP) in the order of a few nanometers [37]. Hard X-ray photoelectron spectroscopy (HAXPES) operates in the energy range of photons and emitted elec-

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trons of up to 10–15 keV with the IMFP approaching several tens of nanometers [36–40]. HAXPES is a non-destructive technique that allows to determine chemical shifts of core levels and chemical states of the atoms of interest at varying depths. As far as we know, this technique has not yet been applied to reacted iron sulfides, although several studies on related materials, in particular, copper-depleted and copper-rich Cu(In,Ga)Se₂ samples [41,42] have been reported.

In the present work, HAXPES was used in combination with X-ray absorption near-edge structure spectroscopy (XANES) measured both in partial fluorescence yield (PFY) and near-surface-sensitive total electron yield (TEY) modes, to probe the unoccupied density of states and the chemical states of the probed elements. A number of XANES studies on iron sulfides have been reported previously [33,43–56], but the difference between TEY and PFY K-edge spectra of air-oxidized and chemically etched materials has not been analyzed yet. Here, samples of each mineral (i.e., pyrite and pyrrhotite) polished in air were compared with those treated with an acidic ferric chloride solution to probe the effect of exposure to the leaching (etching) medium in hydrometallurgy and natural environments, and in materials science. The study revealed “layered” structures of the reacted undersurface both at pyrrhotite and pyrite, even if only oxidized in an ambient air, which are expected to exhibit altered electronic and chemical properties.

2. Experimental

Natural pyrite crystals (Ozernoye ore deposit, Russia) without visible inclusions of foreign phases had an average composition of FeS_{2.0} and contained the following impurities (in wt.%, as determined by X-ray fluorescence analysis): Cu 0.14, Si 1.03, Zn 0.11, Ca 0.19. Natural polycrystalline hexagonal pyrrhotite Fe₉S₁₀ from a Norilsk ore deposit contained about 2 wt.% impurity of pentlandite, (Fe,Ni)₉S₈, as described in detail elsewhere [33–36]. Plates of approximately 2 × 5 × 6 mm were cut from the massive minerals, abraded on silicon carbide paper, and then cleaned by wet filter paper to remove fine particles. For oxidative etching, the plates were conditioned in 0.5 M FeCl₃ + 0.5 M HCl solutions at 50 °C for 30 min, rinsed with distilled water and introduced in vacuum.

HAXPES and XANES measurements were carried out at the High Kinetic Energy Photoelectron Spectrometer (HIKE) endstation located at the BESSY KMC-1 beamline at Helmholtz-Zentrum Berlin (HZB). The endstation was operated with a background pressure in the order of 10^{−9} mBar. A Si(1 1 1) double-crystal pair was used to monochromatize the energy of the (horizontally-polarized) X-ray beam. The beam intensity was constant, as monitored by a N₂ ionization chamber, in the top-up mode of the storage ring. The monochromator resolution and the flux at the sample varied with photon energy; further details about the experimental setup can be found in refs. [39,40]. Photoemission spectra were collected using a Scienta R4000 hemispherical analyzer modified for high transmission and high resolution at electron kinetic energies up to 10 keV. The analyzer is positioned at about 90° to the incident beam, and the HAXPES spectra were collected using grazing incidence of the photon beam and normal emission of electrons from the sample surface. The survey and the detail HAXPES spectra were recorded at pass energies of 500 eV and 200 eV, respectively. The spectra were acquired at photon energies from 2 keV to 6 keV and, in some cases, to 9 keV; the slit width was of 0.5 mm for all the excitation photon energies. Atomic ratios of elements ($I_{i,h\nu}$) relative to S were calculated from the intensity area of the detail spectra for each energy ($A_{i,h\nu}$) employing photoionization cross-sections ($\sigma_{i,h\nu}$) tabulated in [57,58] and the ones extrapolated for the higher energies, and taking into account IMFP ($\lambda(KE)_i$) calculated for FeS₂ and Fe₉S₁₀

employing TPP–2M formula [38] and analyzer’s transmission function ($T(KE)_i$) [40–42] using following equation

$$I_{i,h\nu} = A_{i,h\nu} \cdot \sigma_{S,h\nu} \cdot \lambda(KE)_S \cdot T(KE)_S / [A_{S2p,h\nu} \cdot \sigma_{i,h\nu} \cdot \lambda(KE)_i \cdot T(KE)_i].$$

To calibrate the energy scale, the Au 4f_{7/2} line of a clean gold foil was measured and set to 84.0 eV. When necessary, the binding energies were corrected for electrostatic charging using the C 1 s peak (285.0 eV) from aliphatic carbon contamination. The spectra were fitted after subtraction of a Shirley background with Gaussian-Lorentzian peak shapes using CasaXPS program package (version 2.3.16 PR 1.6). The atomic ratio and S 2p fitting uncertainties were estimated to be within 5–10%.

X-ray absorption spectra were acquired simultaneously in total electron yield (TEY) and partial fluorescence yield (PFY) modes, by using a photon beam incidence angle of 45°. The leakage current was measured with a Keithley picoammeter; fluorescence photons were detected using a Bruker XFlash® 4010 fluorescence detector in the S Kα or Fe Kα photon energy windows for S K-edge and Fe K-edge, correspondingly. An energy step of 0.2 eV was employed for these measurements.

3. Results

3.1. HAXPES

3.1.1. Pyrite

Fig. 1 shows the HAXPES detail spectra of the Fe 2p, O 1s, S 2p and valence band (VB) energy regions of pyrite samples abraded in air (upper panels) or etched in 0.5 M ferric chloride solution (bottom panels), as measured using various excitation photon energies. The spectra are normalized to the maximum intensity value for comparison of peak shape. Peak broadening in spectra measured with higher excitation energies is ascribed to increased X-ray line widths monochromatized with the Si(1 1 1) crystal. This effect can be especially seen in the spectra measured with 6 keV excitation energy [39]. The Fe 2p spectra show a narrow peak at 707.2 eV which is recognized as a Fe 2p_{3/2} signal from low-spin Fe(II) in the pyrite lattice. A second Fe 2p_{3/2} contribution found at a higher binding energy (BE) is mainly due to a multiplet structure (four maxima plus satellite) with a highest intensity at ~709.8 eV from surface Fe(III) oxyhydroxides [17–22]. The presence of this Fe 2p feature is in agreement with the O 1s spectra containing an O^{2−} contribution (530.4 eV), which reduces in spectra taken with increasing excitation energy and, therefore, probing depth. Minor contributions from Fe(III)-S and high-spin Fe(II)-S species [22] may be roughly fitted by one maximum at about 708.8 eV. Additional oxygen species that can be identified in the O 1s spectra derive from water adsorbed to pyrite (533.5 eV), ferric hydroxides (535.5 eV, not in electronic equilibrium with pyrite), and oxygen in carbonaceous contamination and OH groups (~532 eV).

The S 2p spectra are dominated by the doublet with the S 2p_{3/2} peak at 162.6 eV, which is due to S₂^{2−} anions in pyrite. Additional minor lines are ascribed to monosulfide (161.8 eV), and polysulfide (163.5 eV) and satellite at about 164.5 eV [17–24] and slightly vary with the excitation photon energy. The VB spectra are dominated by non-bonding Fe 3d⁶ orbital states in the vicinity of 2 eV below the Fermi level [5–7]. The relative intensity of this peak decreases with increasing the excitation energy owing to lower Fe 3d photoionization cross-section values [57]. The spectra from the chemically etched pyrite (lower panels) are quite similar to the abraded samples. The main differences are found in the O 1s spectra, where the contributions of O^{2−} species are not detected and contributions of adsorbed water are substantially reduced. These changes in oxygen content suggest a removal of surface Fe(III) oxide species and the formation of a more hydrophobic surface. The intensity of polysul-

Fig. 1. Hard X-ray photoemission spectra of pyrite samples abraded in air (upper panels) and etched in 0.5 M $\text{FeCl}_3 + 0.5 \text{ M HCl}$ solution (50°C , 30 min). The spectra are height-normalized for comparison; figures near the spectra indicate the employed excitation energies (in keV).

fide species signal in the S 2p spectra slightly increases, while that of monosulfide decreases.

Intensity ratios are presented as a function of excitation energy in Fig. 2, after accounting for differences in photoionization cross section, IMFP and transmission function of the electron analyzer. The results of the S 2p and Fe 2p band fitting are also presented as a function of excitation photon energy in Fig. 2, with approximate information depths [37–42]. Whereas the calculated O/S and C/S ratios rapidly fall with increasing photon energy, the Fe/S ratios are higher at an excitation energy of 2 keV but decrease to an approximately stoichiometric value of 0.5 (at 3 keV), at which it remains at higher excitation energies. The plots demonstrate that the concentrations of oxygen, carbon and, to a lower extent, iron at the etched sample decreases relative to air oxidized pyrite. Although the deviations from FeS_2 stoichiometry are small, those are in agreement with enhanced amounts of polysulfide and reduced proportions of disulfide species. It should be mentioned that “disulfide” components with BE values ca. 162.5 eV can be attributed to terminal S atoms in S_n^{2-} ($n \geq 2$) chains, while “polysulfide” components having BE values between 163 eV and 164 eV may be inner S atoms bearing lesser negative charge in the S_n^{2-} anions [34,59]. The intensities of polysulfide and monosulfide components decrease with

increasing excitation energy; some increase of the monosulfide signal at 6 keV seems to be an artifact of the line broadening.

3.1.2. Pyrrhotite

Fig. 3 (upper panels) shows the Fe 2p spectra of the in-air abraded pyrrhotite sample. The spectra can be fitted using a three-peak multiplet centered at a BE ca. 708 eV, ascribed to high-spin Fe(II) bonded to S in ferrous sulfide [21,22]. A second Fe $2p_{3/2}$ multiplet is also present at a BE around 710 eV, which is in line with Fe(III)–O species; this second signal becomes weaker as the excitation energy increases from 2 keV to 3 keV and it slightly decreases at higher energies. The O 1s spectra reveal intense maxima at a BE of 529.9 eV, ascribed to O^{2-} in ferric oxyhydroxides. The relative intensity of this oxygen state contribution insignificantly varies with increasing photon energy, while the total concentration of oxygen diminishes, as can be seen in Fig. 4. This observation suggests that the oxidized ferric species (oxyhydroxides) occur at the surface as islands or particles with OH^- mainly at the outer shell and O^{2-} in the core. Regarding the S 2p spectra, the line of monosulfide (161.3 eV) is dominant, and the contributions of disulfide and especially polysulfide are minor and generally decrease at higher excitation energies.

Fig. 2. Atomic ratios and the results of fitting of the S 2p and Fe 2p spectra as a function of excitation photon energy for pyrite abraded in air (upper panels) and etched in 0.5 M FeCl_3 + 0.5 M HCl solution.

The spectra of the sample treated in 0.5 M FeCl_3 + 0.5 M HCl are completely different (lower panels in Fig. 3). A narrow Fe 2p_{3/2} peak at 707.3 eV, which is attributable to low-spin Fe(II) in the S-rich layer [33–36], emerges along with a smaller feature conventionally approximated by one maximum located at ~708.5 eV from high-spin Fe(II)-S and possible Fe(III)-S species. Small changes of these contributions with varying photon excitation energies (when taking into account spectral resolution issues discussed above and some growth of a high-spin Fe(II)-S component) suggest that the state of iron remains nearly constant for profile depths down to ~30 nm. The S 2p spectra show that a doublet, which is ascribed to polysulfide (163.5 eV), is very strong in the 2 keV excited spectrum and that decreases with higher excitation energies (Fig. 4, lower panel). In contrast, the mono- and disulfide contributions increase in measurements with greater information depths but are still significantly lower when compared to the results of the air-oxidized pyrrhotite. There are also very minor signals from S-O species at the BEs above 166 eV. The atomic Fe/S ratio for this sample is high (about 1.3) at 2 keV, owing to the surface ferric oxyhydroxides, and approaches the stoichiometric ratio (~0.85) at 3 keV and higher photon energies. For the etched mineral, the Fe/S ratios are as low as 0.38 at 2 keV and 0.3 at 3 keV, and exceed 0.5

at higher energies, remaining well below the stoichiometric value. The O/S ratios slowly decrease with higher excitation energies but remain significantly high for all employed excitation energies. The O 1s spectra retain some O^{2-} signals even in the 6 keV-excited spectra, which most likely indicates that oxygen penetrates deep into the metal-deficient structure during the chemical treatment or following exposure to the atmosphere. Similar findings have been reported in Auger profiling (and other techniques) studies of acid-reacted pyrrhotites [30–32]. In the valence band spectra, peaks located at BEs in the range of 12–16 eV are derived from S 3s type states, resembling molecular orbitals of S_2^{2-} groups of pyrite, and indicate S–S bonding. The Fe 3d state peaks near the VB maximum appear weak and are probably widened and shifted as compared to pyrite.

3.2. XANES

Fig. 5 shows S K- and Fe K-edge XANES measured simultaneously in TEY and PFY modes for pyrites oxidized in air and etched in the FeCl_3 solution. The leading peak *a* in the S K-XANES results from transitions from the S 1s to the antibonding S 3p states in S_2^{2-} anions; a shoulder *b* together with a small pre-edge peak *b* in

Fig. 3. HAXPES of pyrrhotite polished in air (upper) and etched in 0.5 M FeCl_3 + 0.5 M HCl solution (50 °C, 30 min). The spectra are height-normalized; figures near the spectra indicate the employed excitation energy (in keV).

the Fe K-edge spectra are commonly attributed to electron transitions from the core Fe 1s to vacant Fe 3d e_g states mixed with Fe 4p and with S 3p σ^* states (1 s–3d transitions are forbidden by dipole selection rules) [43–48]. The resonances *c* correspond to Fe 4p,s states mixed with S 3p σ^* orbitals; the peak *d* is due to transition to Fe 4p,s states [43–48], and the feature *e* can be assigned to transitions to atomic S 3p orbitals and multiple scattering resonances [45] or Fe 4sp–S 3d states [60]. The Fe K-edge XANES measured in the PFY mode do not change as a result of oxidation and etching and correspond to the bulk pyrite. In TEY mode spectra, the resonances *b* are lower for air-oxidized sample and almost disappear after the oxidative leaching; the relative intensities of the shoulder *c* are also smaller than for the bulk. The TEY mode S K-edge spectra revealed clearly different pre-edge peaks which are several times more intense relative to the absorption jump and narrower than in the PFY mode.

The XAS spectra of NiAs-structured pyrrhotite and stoichiometric troilite FeS have been measured, calculated and analyzed in a number of studies; the spectroscopic features are largely similar to those in pyrite, despite different electronic structures, and their detailed description can be found in the literature [43–56]. The main differences observed in the S K-edge XANES (Fig. 6) are narrower pre-edge peaks with lower heights relative to the absorption edge, which are attributable to the absence of S–S bonding

[47]. Similar to pyrite, both the S K-edge and Fe K-edge spectra collected in PFY mode did not change significantly after sample oxidation, indicating that the modified region is shorter than the probing depth of the technique. The pre-edge maxima of the Fe K-XANES of pyrrhotite almost disappeared in the spectra measured in TEY mode. Additional intensity shifted by 2–2.5 eV to higher energy relative to the main pre-edge peak arose in the S K-XANES TEY spectra that can be assigned to S–S bonding in the metal-deficient structure, as its position is close to that in pyrite.

4. Discussion

Omitting water-derived adsorbates and carbon contamination, the HAXPES data suggests the presence of surface ferric oxyhydroxides at pyrite and pyrrhotite, probably as islands of several nm in height (Fig. 7). Additionally, the near-surface region of pyrite exhibits enhanced contents of S, polysulfide-type species and some monosulfide ions as compared to the FeS_2 composition. The thickness of this region is significantly lower than the measurement information depths of 10–15 nm [37,38], estimated at 1–2 nm for air-exposed pyrite and no greater than 3–4 nm for the ferric chloride etched mineral. Furthermore, there likely exists an extended region with insignificant compositional changes (“Fe-depleted layer”) beneath the “polysulfide” layer, at least, in the

Fig. 4. Atomic ratios and the results of fitting of the S 2p and Fe 2p spectra as a function of excitation photon energy for pyrrhotite abraded in air (upper panels) and etched in 0.5 M FeCl₃ + 0.5 M HCl solution (lower panels).

Fig. 5. S K-edge and Fe K-edge XANES from pyrite (1) abraded in air and (2) etched in 0.5 M FeCl₃ + 0.5 M HCl solution (30 min, 50 °C) collected in TEY and PFY modes.

Fig. 6. S K-edge and Fe K-edge XANES from pyrrhotite (1) abraded in air and (2) etched in 0.5 M FeCl_3 + 0.5 M HCl solution (30 min, 50 °C) collected in TEY and PFY modes.

Fig. 7. Scheme illustrating layered structures of pyrite and pyrrhotite oxidized in air and etched in aqueous 0.5 M FeCl_3 + HCl solution derived from HAXPES and XANES spectra.

etched sample. It should be noted that attempts to more precisely calculate the thicknesses involved were limited due to multi-layered and laterally inhomogeneous compositions and complex relief of the studied mineral surfaces.

The HAXPES analysis of air-oxidized pyrrhotite revealed, underneath ferric oxyhydroxide species, the iron-deficient layer (Fig. 7), probably 1–5 nm thick, containing 20–25% of sulfur as disulfide and minor polysulfide species. After the oxidative etching, the amount of oxyhydroxides decreases, and a metal-deficient region composed of an essentially S-rich layer with polysulfide species forms, the thickness of which may be roughly estimated as less than 5 nm. Finally, the underlying layer of at least 30 nm in depth has an atomic S/Fe ratio of approximately 2 and also contains mono- and disulfide anions, Fe(II) in low-spin and high-spin state and, possibly, Fe(III), and some oxygen.

The information depths of the XANES spectra in TEY mode controlled by the penetration ranges of Auger electrons with the largest kinetic energies emitted from the absorbing atoms [61,62] are somewhat larger but still comparable to those of HAXPES at the higher excitation photon energies, while the spectra in PFY mode characterize, in fact, the bulk of the materials. The XANES data (Figs. 5 and 6) show that the oxidative etching and even air oxidation moderately modify the near-surface composition of iron sulfides, in particular refractory pyrite, altering the electronic structure of the materials at depths of many tens of nanometers (Fig. 7).

The structures observed are definitely due to preferential release of iron and the formation of heavily defective metal-deficient layers comprising cation vacancies and complexes of defects, S-S bonding and possibly oxygen incorporation. This should modify the elec-

tronic properties of semiconducting metal chalcogenides, including the Fermi level pinning, carrier mobility and conductivity. Up to now, researchers have largely focused their attention on outer surface layers, while the underlying extended defective region could seriously affect surface processes in which the charge transport is important. We hypothesize, in particular, that such structures should take part in the inhibited anodic oxidation and oxidative leaching of pyrite, pyrrhotite and other metal sulfides [1–4,63–66]. Noteworthy, the above phenomena arise as a result of the sample handling in atmosphere, that is, in the early stages of metal sulfide oxidation. Further work should be focused on studying detailed characteristics of the reacted layers in order to understand their role.

5. Conclusions

HAXPES and XANES characterization of pyrite and pyrrhotite revealed that the composition and chemical state of iron and sulfur varied with depth from the surface to at least several tens of nanometers as a result of oxidation of the minerals in air and the oxidative etching in Fe(III) solution. Iron is released from the metal sulfide lattice, creating strongly modified regions at pyrrhotite, whereas the compositional changes at pyrite are less pronounced. In addition to surface ferric oxyhydroxides formed at the surfaces exposed to air, several sublayers may be distinguished in the under-surface regions of the reacted iron sulfide phases. Polysulfide species are accumulated only in thin, no more than 1–4 nm in depth, outer layers. The region with smaller deviations from the stoichiometry and low, if any, concentration of polysulfide lays

underneath; its dimensions widely vary for pyrite and pyrrhotite depending on the chemical treatment. In pyrrhotite samples etched in ferric chloride solution, this layer with about 30 nm or more thickness comprises mono- and disulfide anions, and low-spin Fe(II). The next, almost stoichiometric layers exhibit altered the XANES spectra in TEY mode, implying spatially extended distortion of the crystalline structures, even for chemically resistive pyrite, already after oxidation in air. We suggest that these highly defective regions are the most important in terms of the pinning of the Fermi level and near-surface charge transfer, in particular, in mineral passivation, and require further investigation.

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