Properties of PHA bi-, ter-, and quarter-polymers containing 4-hydroxybutyrate monomer units Natalia Zhila^{a,b,*}, Ekaterina Shishatskaya^{a,b} ^aSiberian Federal University, 79 Svobodnyi Avenue, Krasnoyarsk, 660041, Russian Federation ^bInstitute of Biophysics SB RAS, Federal Research Center "Krasnovarsk Science Center SB RAS", Akademgorodok, Krasnovarsk, 660036, Russian Federation *Corresponding author. Tel.: +7 391 2494428; fax: +7 391 2433400 *E-mail address*: nzhila@mail.ru (Natalia Zhila) **Abstract** The present study investigates physicochemical, mechanical, and biological properties of polyhydroxyalkanoate (PHA) copolymers containing 4-hydroxybutyrate (4HB) synthesized in Cupriavidus eutrophus B10646 culture. In poly(3-hydroxybutyrate/4-hydroxybutyrate) [P(3HB/4HB)] bipolymers, 4HB varied between 10.4 and 75.0 mol.%; in poly(3hydroxybutyrate/3-hydroxyvalerate/4-hydroxybutyrate) terpolymers, 4HB constituted 28.7-55.6 mol.%; and in poly(3-hydroxybutyrate/3-hydroxyvalerate/4-hydroxybutyrate/3-hydroxyhexanoate) guaterpolymers, 4HB varied between 9.3 and 13.3 mol.%. The degree of crystallinity of P(3HB/4HB) copolymers decreased consistently with an increase in 4HB content, reaching 38%. The incorporation of 3-hydroxyvalerate and 3-hydroxyhexanoate into copolymers enhanced that effect. The effect of 4HB monomer units on temperature properties of copolymers was exhibited as lowering of the melting temperature and crystallization temperature, which improved the processing-related properties of the copolymers. All copolymers containing 4HB showed enhanced elongation at break compared to poly(3-hydroxybutyrate). Polymer films prepared from PHAs with different chemical composition had similar microstructure and porosity and had no toxic effect on mouse fibroblast NIH 3T3 cells, proving their high biocompatibility. Keywords: polyhydroxyalkanoates, 4-hydroxybutyrate monomer units, physicochemical and mechanical properties

1. Introduction

Development of new materials is among the priorities for critical technologies at the global level. Special emphasis is placed on developing and investigating materials for biomedical applications, which are intended to come into contact with the internal environment of living organisms and which are necessary to enhance the efficacy of reconstructive processes in damaged tissues and organs [1]. The diversity of polymers that have widely varying properties, the possibility of constructing hybrids with different substances, and the availability of numerous techniques for processing them provide the basis for producing an extensive range of novel materials with new valuable properties. Polymers of hydroxy-derived carbonic acids of microbial origin, polyhydroxyalkanoates (PHAs), are a useful product of biotechnology. PHAs are biodegradable, biocompatible, and thermoplastic polymers with various chemical compositions, which do not undergo abiotic hydrolysis in aqueous medium and whose properties (molecular weight, crystallinity, mechanical strength, and biodegradability) vary considerably depending on their monomer composition and proportions of monomer units [2-4].

Of the various PHAs, poly(3-hydroxybutyrate/4-hydroxybutyrate) copolymers [P(3HB/4HB)] deserve special attention. They are elastomeric materials with enhanced elongation and tensile strength. The first products approved by the FDA for clinical uses were P(3HB/4HB)-based devices designed by Tepha (U.S.) (http://www.tepha.com/pipeline-drugdelivery.htm). P(3HB/4HB) copolymers can be synthesized by both wild-type and genetically modified strains under specialized cultivation conditions, in the culture medium supplemented with such precursors of 4-hydroxybutyrate (4HB) monomers as γ -hydroxybutyric acid, γ -butyrolactone, 1,4-butanediol, etc. These substances, however, inhibit the growth of microorganisms, decreasing cell biomass production, total copolymer yields, and the 4HB fraction of the copolymer. Therefore, there are only relatively limited data available regarding the properties and potential for wide-scale application of these copolymers. Published studies mainly report results of synthesis and investigation of P(3HB/4HB) copolymers comprising two

components, with different 4HB fractions [5-9]. A number of papers addressed the effect of 4HB monomer concentration on the temperature and molecular-weight characteristics of P(3HB/4HB) copolymers and their mechanical properties [3, 10-13]. There are few published data on PHA terpolymers containing 4HB. Several studies described poly(3-hydroxybutyrate/3-hydroxybutyrate/4-hydroxybutyrate) [(P(3HB/3HV/4HB)] copolymers with different monomer fractions and their temperature and molecular-weight properties [14-17]. Until recently, no data on the properties of PHA quaterpolymers containing 4HB monomer units have been found in the

The authors of this study have assembled a collection of bacterial strains capable of synthesizing high yields of PHAs on various substrates and studied PHA synthesis under different conditions of carbon nutrition. Based on this, they managed to synthesize not only poly-3-hydroxybutyrate but also copolymers of various compositions: 3HB/diethylene glycol [P(3HB/DEG)] copolymer [18], bipolymers of 3HB with 3-hydroxyvalerate (3HV) and 3-hydroxy-4-methylvalerate [19], and a series of PHA ter- and quarterpolymers composed of various short- and medium-chain-length monomers in different proportions, including ones containing various concentrations of 4-hydroxybutyrate [20-23].

The purpose of this study was to investigate and compare physical, mechanical, and biological properties of PHA bi-, ter-, and quarter-polymers containing various concentrations of 4HB.

2. Experimental

2.1. Materials

available literature.

Samples of PHAs of different composition were synthesized by using *Cupriavidus* eutrophus B10646 wild strain, at the Institute of Biophysics SB RAS. Cells were batch-cultured under strictly aseptic conditions, following the previously developed technology [20-21]. PHAs of different compositions were synthesized using precursor substrates such as valeric acid, hexanoic acid and γ -butyrolactone. Polymer was extracted from cells with chloroform, and the

- 1 extracts were precipitated using hexane. The extracted polymers were re-dissolved and
- 2 precipitated again 3-4 times to prepare homogeneous specimens.
- 3 2.2. Analysis of PHA structure
- ¹H NMR spectra of PHA were recorded at room temperature in CDCl₃ on a BRUKER
- 5 AVANCE III 600 spectrometer (Germany) operating at 600.13 MHz.
- 6 2.3. Analysis of physicochemical properties of PHAs
- 7 Molecular weight and molecular-weight distribution of PHAs were examined with a gel
- 8 permeation chromatograph ("Agilent Technologies" 1260 Infinity, U.S.) with a refractive index
- 9 detector, using an Agilent PLgel Mixed-C column.
- Thermal analysis of PHA specimens was performed using a DSC-1 differential scanning
- calorimeter (METTLER TOLEDO, Switzerland). The specimens were heated at a rate of 5
- °C/min to 200 °C, then cooled to -20 °C, held for 20 minutes and re-heated to 320 °C. Glass
- transition temperature (Tg), crystallization temperature (Tc), melting point (Tmelt) and thermal
- degradation temperature (*Tdegr*) were determined from peaks in thermograms using the "StarE"
- software.
- 16 X-Ray structure analysis and determination of crystallinity of copolymers were
- 17 performed employing a D8 ADVANCE X-Ray powder diffractometer equipped with a
- 18 VANTEC fast linear detector (Bruker, AXS, Germany). Calculations were done by using the
- 19 Eva program of the diffractometer software.
- 20 2.4. Analysis of PHA surface microstructure
- Films of PHAs were prepared by casting chloroform solution (2% w/v) on degreased
- 22 glass and subsequent drying at room temperature for 2-3 days in a dust-free box. The film discs
- were 100 mm in diameter and 0.04 mm thick.
- 24 The microstructure of the surface of PHA films was analyzed using scanning electron
- 25 microscopy (S 5500, Hitachi, Japan).
- The roughness of film surface was determined using atomic-force microscopy (AFM) in

- 1 semicontact mode (Smart SPMTM, AIST-NT, Zelenograd, Russia).
- 2 Surface properties of the polymer films were examined using a DSA-25E drop shape
- analyzer (Krüss, Germany) and software DSA-4 for Windows.
- 4 2.5. Analysis of physical/mechanical properties of PHAs
- 5 Physical and mechanical properties of the films prepared from PHAs with different
- 6 compositions were investigated using an Instron 5565 electromechanical tensile testing machine
- 7 (U.K.). Dumbbell-shaped samples 50 mm long, 6.1 mm wide, and 25–30 μm thick were prepared
- 8 for studying physical and mechanical properties of the films. The speed of the crosshead was 3
- 9 mm/min at ambient temperature. Young's modulus (E, MPa), tensile strength (σ, MPa) and
- 10 elongation at break (ε, %) were automatically calculated by the Instron software (Bluehill 2,
- 11 Elancourt, France).
- 12 2.6. Cell attachment assay
- Films were cut into disks 10 mm in diameter, using a mold cutter, and sterilized with
- 14 H₂O₂ plasma in the Sterrad NX system (Johnson & Johnson, USA) for 45 min. The ability of
- 15 ultrafine PHA films to facilitate cell attachment was studied using NIH 3T3 mouse fibroblast
- 16 cells. Morphology of cells attached to film surface was determined using DAPI and FITC
- 17 fluorescent dyes (DNA and cytoplasm markers).
- 18 Characterization was done using procedures similar to previously described ones [20-21].
- 19 **3. Results and Discussion**
- Strain *Cupriavidus eutrophus* B10646 cultivated under specialized conditions of carbon
- 21 nutrition synthesized polymers with different proportions of 4HB monomer units: P(3HB/4HB)
- bipolymers with 4HB 10.4-75.0 mol.% (3HB constituting the other fraction); P(3HB/3HV/4HB)
- 23 terpolymers with 4HB 28.7-55.6 mol.% (with 3HV 10.1 -20.5 and 3HB 27.4-51.5 mol.%), and
- poly(3-hydroxybutyrate/3-hydroxyvalerate/4-hydroxybutyrate/3-hydroxyhexanoate)
- 25 [(P(3HB/3HV/4HB/3HHx))] quaterpolymers with 4HB 9.3 13.3 mol.% (with 3HV 6.4 -20.9,
- 26 3HHx 1.4-2.5, and 3HB 63.9-82.9 mol.%) (Table 1).

The chemical composition of monomers in the PHAs was identified using NMR spectrometry. Figure 1 shows examples of NMR spectra characterizing the chemical composition of PHA bi-, ter-, and quaterpolymers.

3.1. Physicochemical properties of PHA copolymers with different compositions

The proportions of monomers influenced physicochemical properties of PHAs, including their degrees of crystallinity and molecular-weight and temperature characteristics (Table 1).

3.1.1. Degree of crystallinity

Degree of crystallinity (C_x), which is determined by the ratio of amorphous to crystalline regions, is a fundamental property of polymeric materials, influencing their fine structure, thermomechanical properties, techniques employed to process polymers into products, and properties of these products. PHAs are semi-crystalline materials, and PHA crystallization is determined by the structure of the carbon chain. PHA crystallization occurs at different temperatures (T_c), and some portions of the bulk are not crystallized. Thus, these polymers comprise two phases (crystalline and amorphous ones), and their ratio is largely determined by the composition and proportions of monomers. However, the available information on the degrees of crystallinity of PHAs with different concentrations of 4HB is too scarce to definitively determine the effect of this monomer on C_x .

Incorporation of 4HB monomer units into the carbon chain of 3-hydroxybutyrate (3HB) considerably changed the ratio of amorphous to crystalline regions in all copolymers, decreasing C_x to different extents (Table 1). The P(3HB/4HB) bipolymers containing between 10.4 and 75.0 mol.% 4HB showed the degree of crystallinity below 55%, and this value consistently decreased as the proportion of 4HB increased. The copolymers with 4HB below 50% (10.4, 15.0 and 29.3 mol.%) had the degree of crystallinity about 50% (53, 50 and 46%); in the copolymer consisting on nearly equal molar fractions of 4HB and 3HB (Sample 4, Table 1), the amorphous region was larger than the crystalline one (C_x was 40%). Finally, in the copolymer with 4HB constituting 75 mol.%, the degree of crystallinity dropped to 30%. Mitomo et al. investigated P(3HB/4HB)

copolymers with 4HB between 10 and 94 mol.% and reported a decrease in C_x to 18-50% relative to P(3HB) (72%), but the authors did not find any clear relationship between this decrease and the percentage of 4HB [24]. Other studies also presented contradictory data on the effects of the molar fraction of 4HB in P(3HB/4HB) on C_x. For instance, in a study by Ramachandran and Amirul, C_x reached 41% in the sample with a very low 4HB fraction (3 mol.%) and 2-10% in copolymers with higher proportions of 4HB (15-40 mol.%) [13]. Iqbal and Amirul investigated a wider range of P(3HB/4HB) copolymers, which contained 4HB between 10 and 65 mol.%; the C_x of the copolymers with 4HB increasing from 10 to 30 mol.% dropped dramatically (from 31.6 to 12.9%) while the samples with higher 4HB percentage were amorphized, and crystalline regions were undetectable [12].

A more noticeable decrease in the degree of crystallinity was observed in P(3HB/3HV/4HB) terpolymers (Samples 6-8, Table 1). Even in the copolymers that did not contain very high proportions of 4HB (28.7 and 38.4 mol.%) and 3HV (10.1-20.5 mol.%), which also decreased C_x , the degree of crystallinity was below 50% (35-33%). Thus, 4HB and 3HV together had a stronger effect on the ratio of amorphous to crystalline regions in the copolymer than either of these monomers alone. The C_x of the terpolymer containing 4HB 55.6 mol.% (with 3HB and 3HV 27.4 and 17.0 mol.%, respectively) was 26%.

In the P(3HB/3HV/4HB/3HHx) quaterpolymers, the proportions of 4HB were not very high (9.3, 10.1, and 13.3 mol.%); the major component was 3HB (63.9 – 82.9 mol.%), with the other three monomers (4HB, 3HV, and 3HHx) totaling between 17.1 and 36.1 mol.%. The C_x of these copolymers was below 50%. A search of the literature did not reveal any data on the C_x of the PHA terpolymers and quaterpolymers of the same or similar composition. There are data, however, showing that incorporation of other monomer units (3HV, 4HB or 3HHx) into the carbon chain of 3HB leads to formation of different types of the crystalline lattice, depending on the contents of the incorporated monomers [6, 25-27]. Our results suggest that the degree of crystallinity of PHAs can be decreased by synthesizing P(3HB/4HB) bipolymers, and that the

- degree of crystallinity decreases more noticeably with an increase in the 4HB content. The C_x
- 2 can also be decreased by incorporating a greater number of monomer units other than 3HB, i.e.
- 3 by synthesizing terpolymers and quaterpolymers containing not only 4HB but also 3HV and
- 4 3HHx, which contribute to achieving a balance between the amorphous and crystalline regions in
- 5 the polymer.

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3.1.2. Molecular weight properties

PHA molecular weight is a highly variable parameter, which is determined by a number of factors such as the type of the PHA-producing microorganism, carbon source, duration of cultivation, and technique of polymer extraction. For instance, molecular weight of P(3HB) may vary between 200 and 3000 kDa [24, 28-29]. Analysis of P(3HB/4HB) bipolymers did not reveal any clear relationship between the chemical composition and 4HB content, on the one hand, and weight average molecular weight (M_w) , on the other. The M_w values of different copolymers varied between 570 and 830 kDa, with no correlation with the increasing 4HB fraction, and these values were close to those of P(3HB). However, number average molecular weight (M_n) was 1.5-2.0 times lower than the M_n of P(3HB). That also influenced the value of polydispersity, which increased to 3.0-4.7 as 4HB content was increased, suggesting higher non-uniformity of the polymer - the presence of a greater number of fragments with different degrees of polymerization. A number of studies [12-13, 24, 29-30] addressed molecular weight properties of P(3HB/4HB) bipolymers with different percentages of 4HB (5 to 94 mol.%) but did not reveal any direct relationship between 4HB content and weight average molecular weight (M_w) of the polymer. In different studies, the M_w varied between 100-200 and 400-600 kDa, tending to decrease somewhat in the polymers with 4HB higher than 40-50 mol.%. The number average molecular weight (M_n) reported by different authors for the copolymers containing comparable proportions of 4HB (23-24 mol.%) varied widely, between 104 and 590 kDa [7, 11]. That also affected polydispersity of P(3HB/4HB), which varied between 0.6 – 1.0-2.0 [30] and 2.5-3.5 [12-13].

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No direct relationship was found between the chemical composition and 4HB content, on the one hand, and M_w and M_n , on the other, in terpolymers either (Table 1). The M_w of different samples ranged between 490 and 580 kDa and the M_n between 160 and 180 kDa. Polydispersity, which shows the proportions of fragments with different degrees of polymerization in the polymer, ranged between 2.9 and 3.4 and was generally higher than P(3HB) polydispersity (Table 1). Terpolymers consisting of the same monomer units were investigated in a number of studies [14-17, 31]. In those studies, 4HB content of the copolymers varied widely (between 10 and 94 mol.%). The M_w and Θ values varied between 177 and 1100 kDa and between 1.13 and 6.46, respectively, and they were not related to monomer proportions and 4HB content.

Similar results were obtained in the study of quaterpolymers (Table 1). M_w varied between 580 and 790 kDa; increased polydispersity ranged between 4.5 and 5.3 in different samples. The highest values were measured in the sample with the lowest 3HB content and the highest total content of the three other monomers, including 4HB. Thus, 4HB content was generally found to affect M_n and polydispersity.

3.1.3. Temperature properties

The melting temperature (T_{melt}) of PHAs, including the P(3HB) homopolymer, is about 100 °C lower than their temperature of onset of thermal degradation (T_{degr}). This is an essential technological property, as it enables fabrication of polymer products from melts. Incorporation of 4HB monomer units into the 3HB chain produced different effects on temperature properties of PHA copolymers, depending on 4HB content and presence of other monomers (3HV, 3HHx) in the polymer.

All P(3HB/4HB) bipolymers showed melting temperatures that were somewhat lower than T_{melt} of P(3HB) (178 °C). The T_{melt} values of the P(3HB/4HB) copolymers that contained 4HB between 10.4 and 75.0 mol.% ranged between 150 and 162 °C, and the copolymer containing 4HB 10.4 mol.% had the lowest T_{melt} . In contrast to T_{melt} , T_{degr} values were similar in all bipolymers, irrespective of the 4HB content, ranging between 295 and 299 °C, which was

1 comparable with the T_{degr} of P(3HB) (295 °C). Not all PHA types showed glass transition. The 2 glass transition temperature (T_g) of P(3HB/4HB) copolymers containing 4HB 10.4 and 15.0 3 mol.% was (3.4 °C) and (-2.6 °C), respectively; the thermograms of the copolymers with higher 4HB contents (29.3 – 75.0 mol.%) taken under measurement conditions did not show any glass 4 5 transition regions (Samples 3-5, Table 1). The highest crystallization temperature was exhibited by pure P(3HB), and of the copolymers, Samples 3 and 4 had the highest T_c (Table 1). The T_c of 6 the copolymers with relatively low 4HB contents (10.4-15.0 mol.%) was about 57-66 °C; as the 7 8 4HB content increased, the T_c rose to 88-97°C. The lower crystallization temperature of the 9 copolymers compared to P(3HB) suggests that the copolymers remain highly ductile for longer 10 time periods and, thus, are more readily processable. There are literature data both confirming and 11 contradicting our results. The temperature properties of P(3HB/4HB) have been examined by very 12 many researchers, but the published data vary greatly, even for copolymers containing similar 13 proportions of monomer units. For instance, in a study by Mitomo et al., the melting temperature 14 (T_{melt}) of P(3HB/4HB) copolymers containing 4HB between 19 and 94 mol.% consistently 15 decreased with the increase in the 4HB fraction [24]. The T_{melt} of the copolymer with the lowest 16 4HB fraction was 158 °C, dropping dramatically, to 44 and 54 °C, when the 4HB content was increased to 74 and 94 mol.%, respectively, and the T_g decreased by one order of magnitude, 17 18 from -4 to -40°C. A similar T_{melt} decrease, to 40-54 °C, was observed when the 4HB content of 19 the copolymer was increased to 75-100 mol.% [7, 14]. Doi et al., however, found that the T_{melt} of 20 the copolymer with the high 4HB fraction (84 mol.%) was at least 130°C [5]. A number of 21 authors showed that T_{melt} and T_g varied depending on 4HB content: as 4HB content was increased 22 from 10-40 to 50-90 mol.%, both parameters dropped from 150-160 and -2 -10 °C to 40-50 and -23 35 -48 °C, respectively [6, 29]. The authors of another study [13], however, did not reveal any 24 effect of the increase in the 4HB content from 3 to 40 mol.% on the T_{melt} of the copolymers, 25 which was about 145-155 °C for all samples. Iqbal and Amirul reported a somewhat lower

melting temperature (121- 132°C) for the P(3HB/4HB) copolymers with comparable 4HB contents (20 to 30 mol.%) [12].

The values of T_{melt} obtained for terpolymers and quaterpolymers were 9-17 °C lower than the T_{melt} of P(3HB), decreasing as the 4HB content increased. At the same time, the T_{degr} were comparable with the corresponding parameter of P(3HB). Determination of the T_g and T_c in the study of terpolymers and quaterpolymers gave the following results. Two terpolymers with different proportions of monomer units had the same T_g , -5 °C. However, the copolymer with the highest 4HB content, 55.6 mol.%, showed no peak characterizing glass transition (Sample 8, Table 1). For the quaterpolymers with 3HB as the major component (63.9, 74.7, and 82.9 mol.%) and the total content of the other monomer units (3HV, 4HB, and 3HHx) varied but kept below 50% (36.1, 25.3, and 17.1 mol.%, respectively), the T_g was -0.7, -3.1, and -4.4 °C (Samples 9-11, Table 1). The T_c of quaterpolymers (51-70 °C) was generally higher than the T_c of P(3HB). The literature search showed the following. Madden et al. investigated temperature properties of 3HB/3HV/4HB with 3HB as the major component (74-93 mol.%) and low contents of 4HB (5-13 mol.%) and 3HV (2-10 mol.%) and revealed a drop in the T_{melt} from 160 to 100°C and energy of crystallization from 70 to 49 J/g [31]. It is important that the decrease in the T_{melt} and the T_{degr} of the terpolymers and quaterpolymers studied did not significantly decrease the difference between these parameters. Thus, the PHA copolymers investigated in this study, whatever their composition and monomer proportions, retained one of the essential properties of PHAs – thermoplasticity.

3.2. Physical/mechanical properties of PHAs with different compositions

Surface properties of polymer products are important for evaluating their potential performance characteristics. To investigate surface properties and mechanical properties of 4HB-containing PHAs with different compositions, we prepared 25 ± 0.3 - μ m thick smooth transparent films.

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3.2.1. Characterization of the surface properties

Results of studying the microstructure of polymer film surface are shown in Figure 2 and Table 2. SEM images of the samples prepared from polymers with different chemical composition and differing in their physicochemical properties looked dissimilar; the surfaces of copolymer films, in contrast to the surface of P(3HB)-based ones, were developed (to different degrees), porous, and uneven. The surface of the P(3HB) films was smoother and denser, with a few small pores, of diameter of no more than 0.5 μ m. The surface structure of the films prepared from bipolymers was noticeably different from the surface structure of P(3HB) film (Fig. 3f) in that it was rougher and had pores of diameter between 0.5 and 5 μ m and prominent circular structures (Fig. 3a, b). The surface of the films prepared from terpolymers and quaterpolymers was also rougher, with very many pores of different diameters (between 0.5 and 6 μ m) (Fig. 3c, d, e).

A significant parameter, which indirectly characterizes biocompatibility and influences cell adhesion and viability, is hydrophilic/hydrophobic balance of the surface [32]. It is evaluated by measuring contact angles for water. These measurements provide the basis for determining such important parameters of the surface as cohesive forces, surface tension, and interfacial free energy. Morphological characteristics of films with different compositions influenced the values of contact angles for water and parameters determined from them: surface energy and polar component of surface free energy (Table 2).

Different contact angles for water were obtained for films prepared from P(3HB/4HB) bipolymers, 4HB-containing terpolymers, quaterpolymers, and P(3HB): 78.6 – 92.5°, 81.7-96.6°, 88.9 – 99.7°, and 97.4°, respectively. The values of surface free energy were also different: 36.7 – 44.5 erg/cm², 20.7 – 39.3 erg/cm², 34.5 – 40.9 erg/cm², and 30.4 erg/cm², respectively. The values of the polar component of surface free energy increased in copolymers, in PHA bipolymers and terpolymers in particular, compared with P(3HB), indirectly indicating a more hydrophilic surface.

Another important property necessary to characterize the surface is roughness, which determines adhesion. Nanometer-scale roughness can determine adhesion, spreading, and motile activity of cells and affect synthesis of specific proteins [33]. However, some data suggest that cells are attached better to rough surfaces than to polished ones, whereas other data indicate that changes in roughness are not accompanied by any cellular effects [34]. The data in Table 3 show considerable differences between the values of roughness of the films with different compositions. Roughness is determined from the arithmetic average of the roughness profile (Ra) and root mean squared roughness (Rq).

The values of surface roughness of the films prepared from PHA copolymers differed considerably depending on the monomer composition and proportions (Table 3). The P(3HB/4HB) (25.0/75.0 mol.%) copolymer showed the highest value of the Ra – 568.2 nm, which was almost 8 times higher than the corresponding parameter of P(3HB) films – 71.8 nm. The lowest value of surface roughness – 92.9 nm – was determined for the P(3HB/4HB) (70.7/29.3) bipolymers. The P(3HB/4HB) (25.0/75.0) bipolymer had the highest Rq: 681.1 nm. Our results are in good agreement with the data reported by other authors [35-36], suggesting that incorporation of 3HV monomer units into the P(3HB) chain increases the film surface roughness. The surfaces of the films of polylactic acid (PLA) and P(3HB/3HHx) were smoother than the surface of the films based on the P(3HB/4HB/3HHx) terpolymers [37]. However, some data suggest that the increase in the 4HB content of the copolymer and oxygen plasma treatment decreased surface roughness, making the film surface smoother [11, 38]. Thus, results obtained in the present study show that copolymers differing in chemical composition and proportions of monomers can be used to prepare films with markedly dissimilar surface microstructure and properties.

3.2.2 Mechanical properties of films

Physical/mechanical properties of PHAs were studied using films prepared from solutions of the copolymers. Table 3 presents some results of measuring mechanical properties of films prepared from PHAs of various compositions.

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All copolymer films showed considerably higher elastic properties, expressed as elongation at break, than P(3HB) films, but lower mechanical strength, expressed as Young's modulus and tensile strength. Elongation at break of P(3HB/4HB) films consistently increased from 5.7 to 323.4% as 4HB content increased from 10.4 to 75.0 mol.%, while elongation at break of P(3HB) films was no more than 2-3%. At the same time, P(3HB/4HB) films showed some decrease in tensile strength and a dramatic decrease in Young's modulus, which dropped to between 242.9 and 975.7 MPa, relative to Young's modulus of P(3HB) films - 1764.0 MPa. Analysis of the literature data, including reviews by Laycock, also suggested that elasticity of polymer films increased with an increase in 4HB content of the copolymers, but the data on mechanical properties of P(3HB/4HB) bipolymers reported by different authors varied widely [3, 39]. For instance, Ramachandran and Amirul investigated copolymers with 4HB content of no more than 20-40 mol.% and reported their Young's modulus values of between 10 and 119 MPa, tensile strength of between 1 and 12 MPa, and elongation at break of between 1 and 300-400% [13]. Comparable values of the three parameters of copolymers with the same 4HB content were reported by Huong et al. [30]. By contrast, Iqbal and Amirul reported lower values of mechanical properties for copolymers containing higher percentages of 4HB (47 and 65 mol.%) [12]. These contradictions are most likely associated with different processes of preparing films; moreover, most of the authors do not provide geometric properties of their samples.

Films prepared from terpolymers containing 3HB, 4HB, and 3HV also showed enhanced elasticity and an even greater decrease in mechanical strength (Table 3). The data reported in the literature for P(3HB/3HV/4HB) terpolymers are very contradictory. In the copolymer with 4HB content of 84 mol.% [14, 37], elongation at break reached 300%, which was higher by an order of magnitude than in P(3HB/3HV). Young's modulus increased to 392 MPa in the copolymer

1 with 3HV 23 mol.% and 4HB 66 mol.%, which was 13 times higher than Young's modulus of

P(3HB/4HB). The copolymer containing 3HV 34 mol.% and 4HB 55 mol.% showed an increase

in Young's modulus to 618 MPa.

For P(3HB/3HV/4HB/3HHx) quaterpolymers, which had a higher degree of crystallinity, elongation at break was somewhat lower than for bipolymers and terpolymers, but although it was no more than 100%, it was significantly higher than elongation at break of P(3HB). Mechanical strength parameters were lower for all quaterpolymer samples. Young's modulus dropped to 127.8-419.4 MPa and tensile strength to 7.3-11.7 MPa, and these values were two orders of magnitude lower than the values of the corresponding parameters of P(3HB). We did not reveal any direct relationship between physical/mechanical properties of the quaterpolymers and proportions of monomers, including 4HB, in them, but we did observe a dramatic increase in elongation at break and a decrease in Young's modulus compared to P(3HB), suggesting higher ductility of the quaterpolymers.

3.3. Biological properties of PHAs with different compositions

Biological properties of bi-, ter-, and quaterpolymer films are illustrated by photographs showing fibroblasts stained with fluorescent dyes – markers of nuclear DNA (DAPI) and cell cytoplasm (phalloidin conjugated to FITC) (Fig. 3). Polystyrene of culture plates was used as control. DAPI and FITC staining didn't reveal any cytotoxic effect of P(3HB) and PHA bi-, ter-, and quaterpolymers. Morphology of physiologically active cells showed that the best results were obtained on the PHA films.

Thus, none of the PHA types investigated in this study showed cytotoxicity, i.e. the study proved their high biocompatibility and better performance as cell scaffolds compared to P(3HB) and polystyrene.

Conclusions

In this study, we compared properties of PHA bi-, ter-, and quaterpolymers that contained different concentrations of 4HB monomer units. 4HB monomer units produced the strongest

- 1 effect on the degree of crystallinity of PHAs, reducing this parameter to 30-40% compared to the
- 2 high-crystallinity P(3HB) (67%). Synthesis of PHA ter- and quaterpolymers that, in addition to
- 3 4HB, contained 3HV and 3HHx monomer units increased the effect of 4HB on C_x. Copolymers
- 4 containing 4HB were more ductile than homogenous P(3HB): their values of elongation at break
- 5 were higher than that of P(3HB). However, their mechanical strength was lower. All copolymers
- 6 containing 4HB were highly biocompatible and suitable for fabricating cell scaffolds for cellular
- 7 and tissue engineering.

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Table 1 Chemical composition and physicochemical properties of PHA copolymers with

2 different proportions of monomers

Speci men no.	PHA composition, mol.%			M _w , kDa	$M_{n,}$ kDa	D	C_x , $\frac{C_x}{\%}$	$T_{g,}{}^{\mathrm{o}}\mathrm{C}$	$T_{c,}{}^{\mathrm{o}}\mathrm{C}$	T _{melt} , °C	$T_{degr,}$ ${}^{\mathrm{o}}\mathrm{C}$
	homopolymer of poly(3-hydroxybutyrate)										
	P(3HB) 100		920	368	2.5	67	n.d	107	178	295	
	PHA bipolymers										
	3HB/4HB										
1	89,6		10.4	570	150	3.8	53	3.4	66	150	297
2	85.0		15.0	750	250	3.0	50	-2.6	57	158	296
3	70.7		29.3	830	220	3.8	46	n.d.	96	162	298
4	43.9	43.9 5		770	190	4.1	40	n.d.	97	162	299
5	25.0	,	75.0	700	150	4.7	30	n.d.	88	158	295
	PHA terpolymers										
	3HB/3HV/4HB										
6	50.8	20.5	28.7	580	180	3.2	35	-5	64	166	278
7	51.5	10.1	38.4	490	170	2.9	33	-5	61	164	280
8	27.4	17.0	55.6	540	160	3.4	26	n.d.	25	166	296
	PHA quaterpolymers										
	3HB/3HV/4HB/3HHx										
9	63.9 20.9	9 13.3	1.9	790	150	5.3	45	-0.7	51	169	292
10	74.7 12.	7 10.1	2.5	580	130	4.5	44	-3.1	70	165	290
11	82.9 6.4	9.3	1.4	760	150	5.1	39	-4.4	63	161	289

n.d.- non detected

Table 2 Surface properties of the films prepared from solutions of P(3HB/4HB),

P(3HB/3HV/4HB), and P(3HB/3HV/4HB/3HHx) copolymers with different monomer contents

(numbers according to Table 1)

Specimen no.	Contact angle for water, °	Contact angle for diiodomethane, °	Surface free energy, erg/cm ²	Polar component of surface free energy, erg/cm ²
P(3HB)	97.4±2.6	58.5±1.4	30.4±1.0	1.2±0.2
1	89.8±1.6	48.9±1.1	36.8±1.1	1.9±0.1
2	88.0±2.4	49.6±0.7	36.9±0.2	2.4±0.1
3	78.6±1.2	42.0±1.1	44.5±1.1	4.2±0.1
4	92.5±1.3	47.8±1.4	36.7±1.1	1.2±0.1
5	90.6±1.3	45.5±1.1	38.4±1.1	1.5±0.2
6	83.7±5.2	54.5±1.1	36.0±0.5	4.2±0.2
7	96.6±1.1	80.5±2.3	20.7±0.4	3.4±0.1
8	81.7±0.8	48.6±2.1	39.3±0.5	4.2±0.1
9	88.9±3.7	45.4±1.9	38.7±1.2	1.9±0.2
10	89.0±1.8	40.2±1.2	40.9±1.1	1.4±0.0
11	99.7±2.4	50.2±1.0	34.5±1.2	0.3±0.0

Table 3 Physical/mechanical properties of films prepared from PHAs with different compositions (numbers according to Table 1)

Specimen no.	Tensile strength, MPa	Young's modulus, MPa	Elongation at break, %	Ra – arithmetic average of the roughness profile, nm	Rq – root mean squared roughness, nm
P(3HB)	20. 9	1764.0	3.2	71.8	80.3
1	14.8	975.7	5.7	92.9	113.1
3	7.8	242.9	30.7	177.6	248.1
5	15.4	424.8	323.4	568.2	681.1
7	5.3	130.5	47.6	126.5	170.7
8	8.8	34.3	365.4	184.5	221.8
9	7.3	127.8	93.7	132.5	172.9
11	11.7	419.4	49.0	283.8	348.5

1 Figure Legends

- Figure 1. ¹H NMR spectra of copolymer samples: a P(3HB); b P(3HB/56.1 mol. %-
- 3 4HB); c P(3HB/20.5 mol.%-3HV/28.7 mol.%-4HB); d P(3HB/20.9 mol.%-3HV/13.3 mol.%-3H
- 4 4HB/1.9 mol.%-3HHx).
- 5 Figure 2. SEM images of the films prepared from PHAs with different compositions: A –
- 6 P(3HB/29.3 mol%-4HB), B P(3HB/75.0 mol%-4HB), C P(3HB/10.1 mol.%-3HV/38.4
- 7 mol.%-4HB), D P(3HB/17.0 mol.%-3HV/55.6 mol.%-4HB), E P(3HB/20.9 mol.%-3HV/13.3
- 8 mol.%-4HB/1.9 mol.%-3HHx), F P(3HB).
- 9 Figure 3. FITC and DAPI fluorescent staining of NIH 3T3 mouse fibroblast cells
- cultivated on films of PHAs of different composition at Day 3 of cultivation.